

**SPECIFICATION and CONTRACT  
DOCUMENTS FOR  
2024 Pavement  
Rehabilitation Project**



**MORGAN HILL, CALIFORNIA  
PUBLIC WORKS  
DEPARTMENT**

**PREPARED BY  
ENGINEERING DEPARTMENT**

**MARCH 2024**

## ABLE OF CONTENTS

<b>NOTICE INVITING BIDS .....</b>	<b>1</b>
<b>INSTRUCTIONS TO BIDDERS .....</b>	<b>4</b>
<b>BID PROPOSAL .....</b>	<b>14</b>
<b>BID SCHEDULE I – GENERAL .....</b>	<b>17</b>
<b>BID SCHEDULE II – EAST DUNNE AVENUE OVERPASS .....</b>	<b>20</b>
<b>2024 PAVEMENT REHABILITATION PROJECT .....</b>	<b>20</b>
<b>SUBCONTRACTOR LIST .....</b>	<b>25</b>
<b>NONCOLLUSION DECLARATION .....</b>	<b>26</b>
<b>BID BOND .....</b>	<b>27</b>
<b>BIDDER'S QUESTIONNAIRE .....</b>	<b>29</b>
<b>CONTRACT .....</b>	<b>33</b>
<b>PAYMENT BOND .....</b>	<b>38</b>
<b>PERFORMANCE BOND .....</b>	<b>40</b>
<b>GENERAL CONDITIONS .....</b>	<b>43</b>
<b>Article 1 - Definitions .....</b>	<b>43</b>
1.1    Definitions .....	43
<b>Article 2 - Roles and Responsibilities .....</b>	<b>47</b>
2.1    City .....	47
2.2    Contractor .....	47
2.3    Subcontractors .....	51
2.4    Coordination of Work .....	52
2.5    Submittals .....	53
2.6    Shop Drawings .....	54
2.7    Access to Work .....	54
2.8    Personnel .....	54
<b>Article 3 - Contract Documents .....</b>	<b>55</b>
3.1    Interpretation of Contract Documents .....	55
3.2    Order of Precedence .....	56
3.3    Caltrans Standard Specifications .....	57
3.4    For Reference Only .....	57
3.5    Current Versions .....	58
3.6    Conformed Copies .....	58
3.7    Ownership .....	58
<b>Article 4 - Bonds, Indemnity, and Insurance .....</b>	<b>58</b>
4.1    Payment and Performance Bonds .....	58
4.2    Indemnity .....	59
4.3    Insurance .....	59
<b>Article 5 - Contract Time .....</b>	<b>64</b>
5.1    Time is of the Essence .....	64
5.2    Schedule Requirements .....	64
5.3    Delay and Extensions of Contract Time .....	67
5.4    Liquidated Damages .....	71
<b>Article 6 - Contract Modification .....</b>	<b>72</b>
6.1    Contract Modification .....	72
6.2    Contractor Change Order Requests .....	74
6.3    Adjustments to Contract Price .....	75
6.4    Unilateral Change Order .....	76
6.5    Non-Compliance Deemed Waiver .....	76
<b>Article 7 - General Construction Provisions .....</b>	<b>77</b>
7.1    Permits, Fees, Business License, and Taxes .....	77
7.2    Temporary Facilities .....	77
7.3    Noninterference and Site Management .....	77

7.4	Signs.....	78
7.5	Project Site and Nearby Property Protections.....	78
7.6	Materials and Equipment.....	80
7.7	Substitutions.....	81
7.8	Testing and Inspection.....	82
7.9	Project Site Conditions and Maintenance.....	84
7.10	Instructions and Manuals.....	86
7.11	As-built Drawings.....	86
7.12	Existing Utilities.....	86
7.13	Notice of Excavation.....	87
7.14	Trenching and Excavations of Four Feet or More.....	87
7.15	Trenching of Five Feet or More.....	88
7.16	New Utility Connections.....	88
7.17	Lines and Grades.....	89
7.18	Historic or Archeological Items.....	89
7.19	Environmental Control.....	89
7.20	Noise Control.....	90
7.21	Mined Materials.....	90
<b>Article 8 - Payment</b>	<b>.....</b>	<b>90</b>
8.1	Schedule of Values.....	90
8.2	Progress Payments.....	91
8.3	Adjustment of Payment Application.....	91
8.4	Early Occupancy.....	92
8.5	Retention.....	93
8.6	Payment to Subcontractors and Suppliers.....	94
8.7	Final Payment.....	94
8.8	Release of Claims.....	94
8.9	Warranty of Title.....	95
	CONTRACT BALANCE FORM.....	96
<b>Article 9 - Labor Provisions</b>	<b>.....</b>	<b>97</b>
9.1	Discrimination Prohibited.....	97
9.2	Labor Code Requirements.....	97
9.3	Prevailing Wages.....	97
9.4	Payroll Records.....	98
9.5	Labor Compliance.....	98
9.6	Wage Theft Prevention.....	99
<b>Article 10 - Safety Provisions</b>	<b>.....</b>	<b>100</b>
10.1	Safety Precautions and Programs.....	100
10.2	Hazardous Materials.....	101
10.3	Material Safety.....	101
10.4	Hazardous Condition.....	102
10.5	Emergencies.....	102
<b>Article 11 - Completion and Warranty Provisions</b>	<b>.....</b>	<b>102</b>
11.1	Final Completion.....	102
11.2	Warranty.....	103
11.3	Use Prior to Final Completion.....	104
11.4	Substantial Completion.....	105
<b>Article 12 - Dispute Resolution</b>	<b>.....</b>	<b>105</b>
12.1	Claims.....	105
12.2	Claims Submission.....	106
12.3	City's Response.....	108
12.4	Meet and Confer.....	109
<b>Article 13 - Suspension and Termination</b>	<b>.....</b>	<b>111</b>
13.1	Suspension for Cause.....	111
13.2	Suspension for Convenience.....	112

13.3	Termination for Default.....	113
13.4	Termination for Convenience.....	114
13.5	Actions Upon Termination for Default or Convenience.....	115
<b>Article 14 - Miscellaneous Provisions</b>	.....	<b>116</b>
14.1	Assignment of Unfair Business Practice Claims .....	116
14.2	Provisions Deemed Inserted. ....	117
14.3	Waiver. ....	117
14.4	Titles, Headings, and Groupings.....	117
14.5	Statutory and Regulatory References. ....	117
14.6	Survival.....	117
<b>SPECIAL CONDITIONS</b>	.....	<b>118</b>
<b>Technical Specification</b>	.....	<b>130</b>

## NOTICE INVITING BIDS

- 1. Bid Submission.** The City of Morgan Hill (“City”), will accept sealed bids for its 2024 Pavement Rehabilitation Project (“Project”), by or before April 10, 2024, at 1:00 p.m., at its DEVELOPMENT SERVICES CENTER, located at 17575 PEAK AVENUE MORGAN HILL, California, at which time the bids will be publicly opened and read aloud.
- 2. Project Information.**

**2.1 Location and Description.** The Project is located at various location with the City of Morgan Hill, CA 95037, and is described as follows:

*3" Pavement Milling and Filling, 2" Grind and Overlay, Full Depth Asphalt Concrete Pavement Repairs, Crack Sealing, Type II Slurry Seal, Detector Loops, Replacement of all Existing Traffic Delineation and Markings, Utility Adjustments, and all related work on various streets within the City Limits.*

**2.2 Time for Final Completion.** The Project must be fully completed within 65 calendar days from the start date set forth in the Notice to Proceed. City anticipates that the Work will begin on or about June 15, 2024, but the anticipated start date is provided solely for convenience and is neither certain nor binding.

**2.3 Estimated Cost.** The estimated construction cost is \$3.3 million Dollars.

- 3. License and Registration Requirements.**

**3.1 License.** This Project requires a valid California contractor's license for the following classification(s): Class A License

**3.2 DIR Registration.** City will not accept a Bid Proposal from or enter into the Contract with a bidder, without proof that the bidder and its Subcontractors are registered with the California Department of Industrial Relations (“DIR”) to perform public work pursuant to Labor Code Section 1725.5, subject to limited legal exceptions.

- 4. Contract Documents.** The plans, specifications, bid forms and contract documents for the Project, and any addenda thereto (“Contract Documents”) may be obtained from the City of Morgan Hill, at 17575 Peak Avenue, Morgan Hill, CA, (408) 778-6480. Electronic copies of the Contract Documents are available on USB thumb drive for twenty-five dollars (\$25.00). If mailing by USPS, a ten-dollar (\$10.00) charge will be added. To download plans and specifications at no charge, register at [www.publicpurchase.com](http://www.publicpurchase.com).

## **5. Bid Proposal and Security.**

**5.1 Bid Proposal Form.** Each Bid must be submitted using the Bid Proposal form provided with the Contract Documents.

**5.2 Bid Security.** The Bid Proposal must be accompanied by bid security of ten percent of the maximum bid amount, in the form of a cashier's or certified check made payable to City of Morgan Hill, or a bid bond executed by a surety licensed to do business in the State of California on the Bid Bond form included with the Contract Documents. The bid security must guarantee that within ten (10) days after City issues the Notice of Award, the successful bidder will execute the Contract and submit payment and performance bonds, insurance certificates and endorsements, valid Certificates of Reported Compliance as required under the California Air Resources Board's In-Use Off-Road Diesel-Fueled Fleets Regulation (13 CCR § 2449 et seq.) ("Off-Road Regulation"), if applicable, and any other submittals required by the Contract Documents and the Notice of Award.

## **6. Prevailing Wage Requirements.**

**6.1 General.** Pursuant to California Labor Code Section 1720 *et seq.*, this Project is subject to the prevailing wage requirements applicable to the locality in which the Work is to be performed for each craft, classification or type of worker needed to perform the Work, including employer payments for health and welfare, pension, vacation, apprenticeship and similar purposes.

**6.2 Rates.** The prevailing rates are available online at <http://www.dir.ca.gov/DLSR>. Each Contractor and Subcontractor must pay no less than the specified rates to all workers employed to work on the Project. The schedule of per diem wages is based upon a working day of eight hours. The rate for holiday and overtime work must be at least time and one-half.

**6.3 Compliance.** The Contract will be subject to compliance monitoring and enforcement by the California Department of Industrial Relations, pursuant to Labor Code Section 1771.4.

- 7. Performance and Payment Bonds.** The successful bidder will be required to provide performance and payment bonds each for one hundred percent of the Contract Price as further described in the Contract Documents.
- 8. Substitution of Securities.** Substitution of appropriate securities in lieu of retention amounts from progress payments is permitted under Public Contract Code Section 22300.

9. **Subcontractor List.** Each Subcontractor must be registered with the DIR to perform work on public projects. Each bidder must submit a completed Subcontractor List form with its Bid Proposal, including the name, location of the place of business, California contractor license number and DIR registration number and 50 percent of the Work to be performed (based on the base bid price) for each Subcontractor who will perform Work or service or fabricate or install Work for the prime contractor in excess of one-half (1/2) of one percent (1%) of the bid price, using the Subcontractor List form included with the Contract Documents. For street or highway construction, this requirement applies to any subcontract of \$10,000 or more.
10. **Instructions to Bidders.** All bidders should carefully review the Instructions to Bidders for more detailed information before submitting a Bid Proposal. The definitions provided in Article 1 of the General Conditions apply to all of the Contract Documents, as defined therein, including this Notice Inviting Bids.

By: Michelle Bigelow

Date: March 8, 2024

Publication Dates: 1) March 15, 2024

2) March 22, 2024

END OF NOTICE INVITING BIDS

## INSTRUCTIONS TO BIDDERS

Each Bid Proposal submitted to the City of Morgan Hill (“City”) for its 2024 Pavement Rehabilitation Project (“Project”) must be submitted in accordance with the following instructions and requirements:

### 1. Bid Submission.

**1.1 General.** Each Bid Proposal must be signed, sealed and submitted to City, using the form provided in the Contract Documents, by or before the date and time set forth in Section 1 of the Notice Inviting Bids, or as amended by subsequent addendum. Faxed or emailed Bid Proposals will not be accepted, unless otherwise specified. Late submissions will be returned unopened. City reserves the right to postpone the date or time for receiving or opening bids. Each bidder is solely responsible for all of its costs to prepare and submit its bid and by submitting a bid waives any right to recover those costs from City. The bid price(s) must include all costs to perform the Work as specified, including all labor, materials, supplies, and equipment and all other direct or indirect costs such as applicable federal, state, and local taxes, insurance and overhead.

**1.2 Bid Envelope.** The envelope containing the sealed Bid Proposal and all required forms and attachments must be clearly labeled and addressed as follows:

BID PROPOSAL  
Morgan Hill Development Services Center  
2024 Pavement Rehabilitation Project  
City of Morgan Hill  
17575 Peak Avenue  
Morgan Hill, CA 95037  
Attention: Bid Opening  
Bid Date: \_\_\_\_\_  
Bid Time: \_\_\_\_\_

The envelope must also be clearly labeled, as follows, with the bidder’s name, address, and its registration number with the California Department of Industrial Relations (“DIR”) for bidding on public works contracts (Labor Code sections 1725.5 and 1771.1):

[Contractor company name]  
[Street address]  
[City, state, zip code]  
DIR Registration No. \_\_\_\_\_

**1.3 DIR Registration.** Subject to limited legal exceptions for joint venture bids and federally-funded projects, City will not accept a Bid Proposal from a bidder without proof that the bidder is registered with the DIR to perform public work under Labor Code Section 1725.5.

Please note: If City is unable to confirm that the bidder is currently registered with the DIR, City may disqualify the bidder and return its bid unopened (Labor Code Section 1725.5 and 1771.1(a)).

- 2. Bid Proposal Form and Enclosures.** Each Bid Proposal must be completed in ink using the Bid Proposal form included with the Contract Documents. The Bid Proposal form must be fully completed without interlineations, alterations, or erasures. Any necessary corrections must be clear and legible, and must be initialed by the bidder's authorized representative. A Bid Proposal submitted with exceptions or terms such as "negotiable," "will negotiate," or similar, will be considered nonresponsive. Each Bid Proposal must be accompanied by bid security, as set forth in Section 4 below, and by a completed Subcontractor List and NonCollusion Declaration using the forms included with the Contract Documents, and any other required enclosures, as applicable.
- 3. Authorization and Execution.** Each Bid Proposal must be signed by the bidder's authorized representative. A Bid Proposal submitted by a partnership must be signed in the partnership name by a general partner with authority to bind the partnership. A Bid Proposal submitted by a limited liability company (LLC) must be signed in the name of the LLC by a member or manager with authority to bind the LLC. A Bid Proposal submitted by a corporation must be signed with the legal name of the corporation, followed by the signature and title of an officer of the corporation with full authority to bind the corporation to the terms of the Bid Proposal.
- 4. Bid Security.** Each Bid Proposal must be accompanied by bid security of ten percent of the maximum bid amount, in the form of a cashier's check or certified check, made payable to the City, or bid bond using the form included in the Contract Documents and executed by a surety licensed to do business in the State of California. The bid security must guarantee that, within ten days after issuance of the Notice of Award, the bidder will: execute and submit the enclosed Contract for the bid price; submit payment and performance bonds for 100% of the maximum Contract Price; submit the insurance certificates and endorsements; and submit valid Certificates of Reported Compliance as required by the Off-Road Regulation, if applicable, and any other submittals, if any, required by the Contract Documents or the Notice of Award.

- 4.1 Withdrawal of Bid Proposals.** A Bid Proposal may not be withdrawn for a period of 90 days after the bid opening without forfeiture of the bid security, except as authorized for material error under Public Contract Code Section 5100 *et seq.*
- 5. Requests for Information.** Questions or requests for clarifications regarding the Project, the bid procedures, or any of the Contract Documents must be submitted in writing to Yat Cho, Project Engineer, at [yat.cho@morganhill.ca.gov](mailto:yat.cho@morganhill.ca.gov). Oral responses are not authorized and are not binding on the City. Bidders should submit any such written inquiries at least five Working Days before the scheduled bid opening. Questions received any later might not be addressed before the bid deadline. An interpretation or clarification by City in response to a written inquiry will be issued in an addendum.
- 6. Pre-Bid Investigation.**
- 6.1 General.** Each bidder is solely responsible at its sole expense for diligent and thorough review of the Contract Documents, examination of the Project site, and reasonable and prudent inquiry concerning known and potential site and area conditions prior to submitting a Bid Proposal. Each bidder is responsible for knowledge of conditions and requirements which reasonable review and investigation would have disclosed. However, except for any areas that are open to the public at large, bidders may not enter property owned or leased by the City or the Project site without prior written authorization from City.
- 6.2 Document Review.** Each bidder is responsible for review of the Contract Documents and any informational documents provided "For Reference Only," e.g., as-builts, technical reports, test data, and the like. A bidder is responsible for notifying City of any errors or omissions, inconsistencies, or conflicts it discovers in the Contract Documents prior to submitting a Bid Proposal, subject to the limitations of Public Contract Code Section 1104. Notification of any such errors, omissions, inconsistencies, or conflicts must be submitted in writing to the City no later than five Working Days before the scheduled bid opening. (See Section 5, above.) City expressly disclaims responsibility for assumptions a bidder might draw from the presence or absence of information provided by City.
- 6.3 Project Site.** Questions regarding the availability of soil test data, water table elevations, and the like should be submitted to the City in writing, as specified in Section 5, above. Any subsurface exploration at the Project site must be done at the bidder's expense, but only with prior written authorization from City. All soil data and analyses available for inspection or provided in the Contract Documents apply only to the test

hole locations. Any water table elevation indicated by a soil test report existed on the date the test hole was drilled. The bidder is responsible for determining and allowing for any differing soil or water table conditions during construction. Because groundwater levels may fluctuate, difference(s) in elevation between ground water shown in soil boring logs and ground water actually encountered during Project construction will not be considered changed Project site conditions. Actual locations and depths must be determined by bidder's field investigation. The bidder may request access to underlying or background information on the Project site in City's possession that is necessary for the bidder to form its own conclusions, including, if available, record drawings or other documents indicating the location of subsurface lines, utilities, or other structures.

**6.4 Utility Company Standards.** The Project must be completed in a manner that satisfies the standards and requirements of any affected utility companies or agencies (collectively, "utility owners"). The successful bidder may be required by the third-party utility owners to provide detailed plans prepared by a California registered civil engineer showing the necessary temporary support of the utilities during coordinated construction work. Bidders are directed to contact the affected third-party utility owners about their requirements before submitting a Bid Proposal.

7. **Bidders Interested in More Than One Bid.** No person, firm, or corporation may submit or be a party to more than one Bid Proposal unless alternate bids are specifically called for. However, a person, firm, or corporation that has submitted a subcontract proposal or quote to a bidder may submit subcontract proposals or quotes to other bidders.
8. **Addenda.** Any addenda issued prior to the bid opening are part of the Contract Documents. Subject to the limitations of Public Contract Code section 4104.5, City reserves the right to issue addenda prior to bid time. Each bidder is solely responsible for ensuring it has received and reviewed all addenda prior to submitting its bid. Bidders should check City's website periodically for any addenda or updates on the Project at: <http://www.publicpurchase.com>
9. **Brand Designations and "Or Equal" Substitutions.** Any specification designating a material, product, thing, or service by specific brand or trade name, followed by the words "or equal," is intended only to indicate quality and type of item or service desired, and bidders may request use of any equal material, product, thing, or service. All data substantiating the proposed substitute as an "equal" item or service must be submitted with the written request for substitution. This provision does not apply to materials, products, things, or services that may lawfully be designated by a specific brand or trade name under Public Contract Code Section 3400(c).

- 9.1 Pre-Bid Requests.** Any request for submission made before the Contract is awarded must be submitted to the City Engineer at least ten (10) days before the opening of bids so that all interested bidders may be notified of any approved alternative.
- 9.2 Post-Award Requests.** After the Contract is awarded, Contractor may submit a substitution within fourteen (14) days after the date of award of the Contract, or as specified in the Special Conditions.
- 10. Bid Protest.** Any bid protest against another bidder must be submitted in writing and received by City at the City Attorney's Office at 17575 Peak Avenue, Morgan Hill, CA, (Fax: (408) 779-1592 or Email to [cynthia.hasson@morganhill.ca.gov](mailto:cynthia.hasson@morganhill.ca.gov)), before 5:00 p.m. no later than two Working Days following bid opening ("Bid Protest Deadline") and must comply with the following requirements:
- 10.1 General.** Only a bidder who has actually submitted a Bid Proposal is eligible to submit a bid protest against another bidder. Subcontractors are not eligible to submit bid protests. A bidder may not rely on the bid protest submitted by another bidder, but must timely pursue its own protest. If required by City, the protesting bidder must submit a non-refundable fee in the amount specified by City, based upon City's reasonable costs to administer the bid protest. Any such fee must be submitted to City no later than the Bid Protest Deadline, unless otherwise specified. For purposes of this Section 10, a "Working Day" means a day that City is open for normal business, and excludes weekends and holidays observed by City. Pursuant to Public Contract Code Section 4104, inadvertent omission of a Subcontractor's DIR registration number on the Subcontractor List form is not grounds for a bid protest, provided it is corrected within 24 hours of the bid opening or as otherwise provided under Labor Code Section 1771.1(b).
- 10.2 Protest Contents.** The bid protest must contain a complete statement of the basis for the protest and must include all supporting documentation. Material submitted after the Bid Protest Deadline will not be considered. The protest must refer to the specific portion or portions of the Contract Documents upon which the protest is based. The protest must include the name, address, email address, and telephone number of the protesting bidder and any person submitting the protest on behalf of or as an authorized representative of the protesting bidder.
- 10.3 Copy to Protested Bidder.** Upon submission of its bid protest to City, the protesting bidder must also concurrently transmit the protest and all supporting documents to the protested bidder, and to any other bidder who has a reasonable prospect of receiving an award depending upon the

outcome of the protest, by email or hand delivery to ensure delivery before the Bid Protest Deadline.

**10.4 Response to Protest.** The protested bidder may submit a written response to the protest, provided the response is received by City before 5:00 p.m., within two Working Days after the Bid Protest Deadline or after actual receipt of the bid protest, whichever is sooner (the “Response Deadline”). The response must include all supporting documentation. Material submitted after the Response Deadline will not be considered. The response must include the name, address, email address, and telephone number of the person responding on behalf of or representing the protested bidder if different from the protested bidder.

**10.5 Copy to Protesting Bidder.** Upon submission of its response to the bid protest to City, the protested bidder must also concurrently transmit by email or hand delivery, by or before the Response Deadline, a copy of its response and all supporting documents to the protesting bidder and to any other bidder who has a reasonable prospect of receiving an award depending upon the outcome of the protest.

**10.6 Exclusive Remedy.** The procedure and time limits set forth in this Section are mandatory and are the bidder’s sole and exclusive remedy in the event of a bid protest. A bidder’s failure to comply with these procedures will constitute a waiver of any right to further pursue a bid protest, including filing a Government Code Claim or initiation of legal proceedings.

**10.7 Right to Award.** City reserves the right, acting in its sole discretion, to reject any bid protest that it determines lacks merit, to award the Contract to the bidder it has determined to be the responsible bidder submitting the lowest responsive bid, and to issue a Notice to Proceed with the Work notwithstanding any pending or continuing challenge to its determination.

**11. Reservation of Rights.** City reserves the unfettered right, acting in its sole discretion, to waive or to decline to waive any immaterial bid irregularities; to accept or reject any or all bids; to cancel or reschedule the bid; to postpone or abandon the Project entirely; or to perform all or part of the Work with its own forces. The Contract will be awarded, if at all, within ninety days after opening of bids or as otherwise specified in the Special Conditions, to the responsible bidder that submitted the lowest responsive bid. Any planned start date for the Project represents the City’s expectations at the time the Notice Inviting Bids was first issued. City is not bound to issue a Notice to Proceed by or before such planned start date, and it reserves the right to issue the Notice to Proceed when the City determines, in its sole discretion, the appropriate time for commencing the

- Work. The City expressly disclaims responsibility for any assumptions a bidder might draw from the presence or absence of information provided by the City in any form. Each bidder is solely responsible for its costs to prepare and submit a bid, including site investigation costs.
- 12. Bonds.** Within ten calendar days following City's issuance of the Notice of Award to the apparent low bidder, the bidder must submit payment and performance bonds to City as specified in the Contract Documents using the bond forms included in the Contract Documents. All required bonds must be calculated on the maximum total Contract Price as awarded, including additive alternates, if applicable.
- 13. License(s).** The successful bidder and its Subcontractor(s) must possess the California contractor's license(s) in the classification(s) required by law to perform the Work. The successful bidder must also obtain a City business license within ten days following City's issuance of the Notice of Award. Subcontractors must also obtain a City business license before performing any Work.
- 14. Ineligible Subcontractor.** Any Subcontractor who is ineligible to perform work on a public works project under Labor Code Sections 1777.1 or 1777.7 is prohibited from performing work on this Project.
- 15. Evidence of Responsibility.** Within twenty-four (24) hours following a request by City, a bidder must submit to City satisfactory evidence showing the bidder's financial resources, the bidder's experience in the type of work being required by City, the bidder's organization available for the performance of the Contract and any other required evidence of the bidder's qualifications to perform the proposed Contract. City may consider such evidence before making its decision awarding the proposed Contract.
- 16. In-Use Off-Road Diesel-Fueled Fleets.** If the Project involves the use of vehicles subject to the California Air Resources Board's In-Use Off-Road Diesel-Fueled Fleets Regulation (13 CCR § 2449 et seq.) ("Off-Road Regulation"), then within ten calendar days following City's issuance of the Notice of Potential Award to the successful bidder, the bidder must submit to City valid Certificates of Reported Compliance for its fleet and its listed Subcontractors, if applicable, in accordance with the Off-Road Regulation, unless exempt under the Off-Road Regulation.

END OF INSTRUCTIONS TO BIDDERS

17. **Subcontractor Work Limits.** The prime contractor must perform at least 50% of the Work on the Project, calculated as a percentage of the base bid price, with its own forces, except for any Work identified as "Specialty Work" in the Contract Documents. The total bid amount for any such Specialty Work, as shown on the Bid Schedule, may be deducted from the base bid price before computing the 50% self-performance requirement. The remaining Work may be performed by qualified Subcontractor(s).
18. **Additive and Deductive Alternates.** As required by Public Contract Code Section 20103.8, if this bid solicitation includes additive or deductive items, the method checked below will be used to determine the lowest bid. If no method is checked, subparagraph (a) will be used to determine the lowest bid. City retains the right to add to or deduct from the Contract any of the additive or deductive alternates included in the Bid Proposal.
- XXX (a) The lowest bid will be the lowest bid price on the base contract without consideration of the prices on the additive or deductive items.**
- (b) The lowest bid will be the lowest total of the bid prices on the base contract and those additive or deductive items that were specifically identified in the bid solicitation or Bid Proposal as being used for the purpose of determining the lowest bid price.
- (c) The lowest bid will be the lowest total of the bid prices on the base contract and those additive or deductive items taken in order from a specifically identified list of those items that, when in the solicitation, and added to, or subtracted from, the base contract, are less than, or equal to, a funding amount publicly disclosed by City before the first bid is opened.
- (d) The lowest bid will be determined in a manner that prevents any information that would identify any of the bidders or the proposed subcontractors or suppliers from being revealed to City before the ranking of all bidders from lowest to highest has been determined.
18. **Bidder's Questionnaire.** A completed, signed Bidder's Questionnaire using the form provided with the Contract Documents and including all required attachments must be submitted within 48 hours following a request by City. A bid that does not fully comply with this requirement may be rejected as nonresponsive. A bidder who submits a Bidder's Questionnaire which is subsequently determined to contain false or misleading information, or material omissions, may be disqualified as non-responsible.
19. **Bid Schedule.** Each bidder must complete the Bid Schedule form with unit prices as indicated, and submit the completed Bid Schedule with its Bid Proposal.

- 19.1 Incorrect Totals.** In the event a computational error for any bid item (base bid or alternate) results in an incorrect extended total for that item, the submitted base bid or bid alternate total will be adjusted to reflect the corrected amount as the product of the estimated quantity and the unit cost. In the event of a discrepancy between the actual total of the itemized or unit prices shown on the Bid Schedule for the base bid, and the amount entered as the base bid on the Bid Proposal form, the actual total of the itemized or unit prices shown on the Bid Schedule for the base bid will be deemed the base bid price. Likewise, in the event of a discrepancy between the actual total of the itemized or unit prices shown on the Bid Schedule for any bid alternate, and the amount entered for the alternate on the Bid Proposal form, the actual total of the itemized prices shown on the Bid Schedule for that alternate will be deemed the alternate price. Nothing in this provision is intended to prevent a bidder from requesting to withdraw its bid for material error under Public Contract Code § 5100 et seq.
- 19.2 Estimated Quantities.** The quantities shown on the Bid Schedule are estimated and the actual quantities required to perform the Work may be greater or less than the estimated amount. The Contract Price will be adjusted to reflect the actual quantities required for the Work based on the itemized or unit prices provided in the Bid Schedule, with no allowance for anticipated profit for quantities that are deleted or decreased, and no increase in the unit price, and without regard to the percentage increase or decrease of the estimated quantity and the actual quantity.
- 20. Federal Subcontracting Requirements.** This Project is funded in whole or in part by the federal government. Contractor must comply with all applicable federal requirements as further specified in the Contract Documents, and when procuring Subcontractors, must take all necessary affirmative steps pursuant to 2 CFR § 200.321(b), subject to the limitations of law, to ensure that minority businesses, women's business enterprises, and labor surplus area firms are used when possible. Affirmative steps must include:
- 20.1 Solicitation Lists.** Placing qualified small and minority businesses and women's business enterprises on solicitation lists.
- 20.2 Soliciting Potential Sources.** Assuring that small and minority businesses and women's business enterprises are solicited whenever they are potential sources.

- 20.3 Maximizing Participation.** Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses and women's business enterprises.
- 20.4 Establishing Delivery Schedules.** Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority businesses, and women's business enterprises.
- 20.5 Organizational Assistance.** Using the services and assistance, as appropriate, of such organizations as the Small Business Administration and the Minority Business Development Agency of the Department of Commerce.

## BID PROPOSAL

### 2024 PAVEMENT REHABILITATION PROJECT

\_\_\_\_\_  
("Bidder") hereby submits this Bid Proposal to the City of Morgan Hill ("City") for the above-referenced project ("Project") in response to the Notice Inviting Bids and in accordance with the Contract Documents referenced therein.

1. **Base Bid.** Bidder proposes to perform and fully complete the Work for the Project as specified in the Contract Documents, within the time required for full completion of the Work, including all labor, materials, supplies, and equipment and all other direct or indirect costs including, but not limited to, taxes, insurance, and all overhead for the following price ("Base Bid"):

\$ \_\_\_\_\_.

- 1a. **Bid Alternates.** Bidder submits the following prices for the specified bid alternates:

Alternate #1: Woodview and Sutter Pavement Rehabilitation

Add: \$ \_\_\_\_\_

2. **Addenda.** Bidder agrees that it has confirmed receipt of or access to, and reviewed, all addenda issued for this Bid. Bidder waives any claims it might have against the City based on its failure to receive, access, or review any addenda for any reason. Bidder specifically acknowledges receipt of the following addenda:

Addendum:	Date Received:
#01	_____
#02	_____
#03	_____
#04	_____

Addendum:	Date Received:
#05	_____
#06	_____
#07	_____
#08	_____

3. **Bidder's Certifications and Warranties.** By signing and submitting this Bid Proposal, Bidder certifies and warrants the following:

- 3.1 **Examination of Contract Documents.** Bidder has thoroughly examined the Contract Documents, and represents that, to the best of Bidder's knowledge there are no errors, omissions, or discrepancies in the Contract Documents subject to the limitations of Public Contract Code Section 1104.
- 3.2 **Examination of Worksite.** Bidder has had the opportunity to examine the Worksite and local conditions at the Project location.
- 3.3 **Bidder Responsibility.** Bidder is a responsible bidder, with the necessary ability, capacity, experience, skill, qualifications, workforce, equipment, and resources to perform or cause the Work to be performed in accordance with the Contract Documents and within the Contract Time.

- 3.4 Responsibility for Bid.** Bidder has carefully reviewed this Bid Proposal and is solely responsible for any errors or omissions contained in its completed Bid. All statements and information provided in this Bid Proposal and enclosures are true and correct to the best of Bidder's knowledge.
- 3.5 Nondiscrimination.** In preparing this Bid, the Bidder has not engaged in discrimination against any prospective or present employee or Subcontractor on grounds of race, color, ancestry, national origin, ethnicity, religion, sex, sexual orientation, age, disability, or marital status.
- 3.6 Iran Contracting Act.** Bidder certifies that it is not identified on a list created under the Iran Contracting Act, Public Contract Code Section 2200 *et seq.* (the "Act"), as a person engaging in investment activities in Iran, as defined in the Act, or is otherwise expressly exempt under the Act.
- 4. Award of Contract.** By signing and submitting this Bid Proposal, Bidder agrees that if Bidder is awarded the Contract for the Project, then within ten days following issuance of the Notice of Award to Bidder, Bidder will do all of the following:
- 4.1 **Execute Contract.** Enter into the Contract with City in accordance with the terms of this Bid Proposal, by signing and submitting to City the Contract prepared by City using the form included with the Contract Documents;
- 4.2 **Submit Required Bonds.** Submit to City a payment bond and a performance bond, each for one hundred percent (100%) of the Contract Price, using the bond forms provided and in accordance with the requirements of the Contract Documents;
- 4.3 **Insurance Requirements.** Submit to City the insurance certificate(s) and endorsement(s) as required by the Contract Documents; and
- 4.4 Certificates of Reported Compliance. Submit to City valid Certificates of Reported Compliance for its fleet and its listed Subcontractors, if applicable, if the Project involves the use of vehicles subject to the Off-Road Regulation. (See Section 16 of the Instructions to Bidders.)
- 5. Wage Theft Prevention.** All Bidders are expected to have read and understand the "Wage Theft Prevention Policy" adopted by the City Council on July 26, 2017. The undersigned Bidder certifies that neither Bidder nor its principals have been found by a final court judgement or final administrative action of an investigatory agency to have violated federal, state, or local wage and hour laws within the past five years from the date of the submitted bid. Bidder or its principals who are unable to so certify, must disclose wage and hour violations, and shall provide a copy of (i) the court order and judgment and/or final administrative decision; and (ii) documents demonstrating either that the order/judgment has been satisfied, or if

the order/judgment has not been fully satisfied, a written and signed description of Bidder's efforts to date to satisfy the order/judgment. Signing this bid shall constitute signature of this Certification.

The City, at its sole discretion, may disqualify a bidder based on one or more disclosed judgments consistent with the criteria set forth in the Policy.

- 6. Bid Security.** As a guarantee that if awarded the Contract, Bidder will perform its obligations under Section 4 above Bidder is enclosing bid security in the amount of ten percent (10%) of its maximum bid amount in one of the following forms (check one):

- A cashier's check or certified check payable to City of Morgan Hill and issued by \_\_\_\_\_ Bank in the amount of \$\_\_\_\_\_.
- A bid bond, using the Bid Bond form included with the Contract Documents, payable to City of Morgan Hill and executed by a surety licensed to do business in the State of California.

This Bid Proposal is hereby submitted on \_\_\_\_\_, 20\_\_\_\_:

s/ \_\_\_\_\_

Name and Title [print]

Company Name \_\_\_\_\_

License # and Classification \_\_\_\_\_

DIR Registration # \_\_\_\_\_

Address \_\_\_\_\_

Phone \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Fax \_\_\_\_\_

END OF BID PROPOSAL

**BID SCHEDULE I – GENERAL**  
**2024 PAVEMENT REHABILITATION PROJECT**

**This Bid Schedule must be completed in ink and included with the sealed Bid Proposal.** Pricing must be provided for each Bid Item as indicated. Items marked "(SW)" are Specialty Work that must be performed by a qualified Subcontractor. The lump sum or unit cost for each item must be inclusive of all costs, whether direct or indirect, including profit and overhead. The sum of all amounts entered in the "Extended Total Amount" column must be identical to the Base Bid price entered in Section 1 of the Bid Proposal Form. Quantities shown are required for bid purposes and may or may not be final pay quantities. Actual quantities, if different, must be substantiated during the Project by the Contractor (either by field measurement, trucking tags, or other means acceptable to the Engineer).

AL = Allowance      CF = Cubic Feet      CY = Cubic Yard      EA = Each      LB = Pounds  
 LF = Linear Foot      LS = Lump Sum      SF = Square Feet      TON = Ton (2000 lbs.)

Bid Item No.	Description of Bid Item	Estimated Quantity/Unit of Measure	Unit Price	Extended Total Amount
1	Mobilization (up to 5%)	LS	\$	\$
2	Mobilization for Cattracking and Striping	1 EA	\$	\$
3	Traffic Control Systems	LS	\$	\$
4	Remove Pavement Striping, Markings, and Makers	LS	\$	\$
5	3" Full Pavement Grind/Mill	294,021 SF	\$	\$
6	3" Fill (1/2" HMA)	6,600 TONS	\$	\$
7	2" Asphalt Concrete Overlay	1100 TONS	\$	\$
8	6" Full Depth Asphalt Concrete Pavement Repair (Revokable)	15,000 SF	\$	\$
9	6' Wide Wedge Grind (2")	27,236 SF	\$	\$
	20' Wide Conform Grind (2")	1,700 SF	\$	\$
10	Type II Slurry Seal	29,141 SY	\$	\$
11	Crack Sealing (Revokable)	100,000 LF	\$	\$
12	Locate, Lower and Raise Air Relief Valve Box and Cover	2 EA	\$	\$
13	Locate, Lower and Raise Monument Box and Cover	13 EA	\$	\$

14	Locate, Lower and Raise Sewer Cleanout Box and Cover	2 EA	\$	\$
15	Locate, Lower and Raise Manhole Frame and Cover	49 EA	\$	\$
16	Locate, Lower and Raise Water Valve Box and Cover	70 EA	\$	\$
17	Locate, Lower and Raise Hand Hole Box and Cover	4 EA	\$	\$
18	Locate, Raise Valve Box and Cover	12 EA	\$	\$
19	Locate, Raise Manhole Frame and Cover	18 EA	\$	\$
20	Locate, Raise Monument Box and Cover	6 EA	\$	\$
21	High Visibility X-Walk Striping – 12" Yellow	428 LF	\$	\$
22	High Visibility X-Walk Striping – 24" Yellow	395 LF	\$	\$
23	4" Yellow (Misc. Lane Line)	63 LF	\$	\$
24	12" Striping White	2,022 LF	\$	\$
25	Type IV (L) Arrow	15 EA	\$	\$
26	Type IV (R) Arrow	6 EA	\$	\$
27	Type VII (R) Arrow	2 EA	\$	\$
28	Type I (10) Arrow	4 EA	\$	\$
29	White Word Marking "STOP"	19 EA	\$	\$
30	White Word Marking "ONLY"	4 EA	\$	\$
31	Yellow Word Marking "XING"	5 EA	\$	\$
32	Yellow Word Marking "SCHOOL"	5 EA	\$	\$
33	Yellow Word Marking "SLOW"	5 EA	\$	\$
34	White Word Marking "25"	2 EA	\$	\$
35	White Word Marking "30"	4 EA	\$	\$
36	White Word Marking "35"	1 EA	\$	\$
37	Caltrans Detail 1	1,344 LF	\$	\$

38	Caltrans Detail 2	3,358 LF	\$	\$
39	Caltrans Detail 9	2,294 LF	\$	\$
40	Caltrans Detail 21	300 LF	\$	\$
41	Caltrans Detail 22	6,820 LF	\$	\$
42	Caltrans Detail 27B	3,039 LF	\$	\$
43	Caltrans Detail 38	1,359 LF	\$	\$
44	Caltrans Detail 39	750 LF	\$	\$
45	Caltrans Detail 39A	211 LF	\$	\$
46	Type E Traffic Detection Loops	25 EA	\$	\$
47	Type E (Modified) Traffic Detection Loops	8 EA	\$	\$
48	Two-Way Blue Fire Hydrant Marker	63 EA	\$	\$
49	Supplemental Work (Revokable)	1 LS	\$100,000	\$100,000

Bid Schedule I Total	
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END OF BID SCHEDULE I

**BID SCHEDULE II – EAST DUNNE AVENUE OVERPASS**  
**2024 PAVEMENT REHABILITATION PROJECT**

**This Bid Schedule must be completed in ink and included with the sealed Bid Proposal.** Pricing must be provided for each Bid Item as indicated. Items marked "(SW)" are Specialty Work that must be performed by a qualified Subcontractor. The lump sum or unit cost for each item must be inclusive of all costs, whether direct or indirect, including profit and overhead. The sum of all amounts entered in the "Extended Total Amount" column must be identical to the Base Bid price entered in Section 1 of the Bid Proposal Form. Quantities shown are required for bid purposes and may or may not be final pay quantities. Actual quantities, if different, must be substantiated during the Project by the Contractor (either by field measurement, trucking tags, or other means acceptable to the Engineer).

AL = Allowance      CF = Cubic Feet      CY = Cubic Yard      EA = Each      LB = Pounds  
 LF = Linear Foot      LS = Lump Sum      SF = Square Feet      TON = Ton (2000 lbs.)

Bid Item No.	Description of Bid Item	Estimated Quantity/Unit of Measure	Unit Price	Extended Total Amount
1	Mobilization (Up to 5%)	LS	\$	\$
2	Mobilization for Cattracking and Striping	1 EA	\$	\$
3	Traffic Control Systems	LS	\$	\$
4	Remove Pavement Striping, Markings, and Makers	LS	\$	\$
5	4" Full Depth Pavement Repair	3000 SF	\$	\$
6	20' Wide Grind (6" Deep)	3750 SF	\$	\$
7	2" Full Pavement Grind/Mill	167,000 SF	\$	\$
8	2" Fill (1/2" HMA)	2,200 Tons	\$	\$
9	Type II Slurry Seal	2625 SY	\$	\$
10	Remove Curb and Gutter	140 LF	\$	\$
11	Remove Sidewalk and Curb Ramp	1275 SF	\$	\$
12	Concrete Sidewalk	330 SF	\$	\$
13	Concrete Curb Ramp	405 SF	\$	\$
14	Concrete Curb (Type A1)	98 LF	\$	\$
15	Concrete Curb and Gutter (Type A2)	230 LF	\$	\$

16	15" Deep Full Depth Asphalt Concrete	35 Tons	\$	\$
17	Detectable Warning Surface	180 SF	\$	\$
18	Locate, Lower and Raise Hand Hole Box and Cover	11 EA	\$	\$
19	Locate, Lower and Raise Water Valve Box and Cover	10 EA	\$	\$
20	Locate Lower and Raise Manhole Frame and Cover	13 EA	\$	\$
21	Type E Traffic Detection Loops	58 EA	\$	\$
22	Type E (Modified) Traffic Detection Loops	16 EA	\$	\$
23	8" Striping White	45 LF	\$	\$
24	12" Striping White	110 LF	\$	\$
25	Caltrans Detail 9	5813 LF	\$	\$
26	Caltrans Detail 25	3341 LF	\$	\$
27	Caltrans Detail 27B	407 LF		
28	Caltrans Detail 37B	392 LF		
29	Caltrans Detail 38	1312 LF	\$	\$
30	Caltrans Detail 39	3064 LF	\$	\$
31	Caltrans Detail 39A	269 LF	\$	
32	Bike Lane Symbol with Person and Bike Lane Arrow	3 EA	\$	\$
33	Type I (24'-0") Arrow	6 EA	\$	\$
34	Type I (10' -0") Arrow	2 EA	\$	\$
35	Type III (L) Arrow	2 EA	\$	\$
36	Type III (R) Arrow	4 EA		
37	Type IV (L) Arrow	8 EA	\$	\$
38	Type IV (R) Arrow	2 EA	\$	\$
39	Type VII (R) Arrow	2 EA		
40	Yield Line Triangles	8 EA	\$	\$
41	Preformed Green Thermoplastic Markings (Detail A)	1860 SF	\$	\$

42	Preformed Green Thermoplastic Markings (Detail B)	1680 SF	\$	\$
43	Preformed Green Thermoplastic Markings (Detail C)	450 SF	\$	\$
44	New Signage with Post, including Foundation	12 EA	\$	\$
45	Remove and Relocate Signage with New Post, including Foundation	5 EA	\$	\$
46	Yield Line Triangles	8 EA	\$	\$
47	High Visibility X-Walk Striping (Thermo) 12" White	952 LF	\$	\$
48	High Visibility X-Walk Striping (Thermo) 24" White	900 LF	\$	\$
49	Caltrans Encroachment Permit	LS	\$	\$

Bid Schedule II Total	
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## END OF BID SCHEDULE II

\*Final Pay Quantity

**TOTAL BASE BID: Bid Schedule I Total + Bid Schedule II Total inclusive:**

\$ \_\_\_\_\_

**Note:** The amount entered as the "Total Base Bid" should be identical to the Base Bid amount entered in Section 1 of the Bid Proposal form.

## BID SCHEDULE III – ADD ALTERNATE WOODVIEW/SUTTER PAVEMENT REHABILITATION

### 2024 PAVEMENT REHABILITATION PROJECT

**This Bid Schedule must be completed in ink and included with the sealed Bid Proposal.** Pricing must be provided for each Bid Item as indicated. Items marked "(SW)" are Specialty Work that must be performed by a qualified Subcontractor. The lump sum or unit cost for each item must be inclusive of all costs, whether direct or indirect, including profit and overhead. The sum of all amounts entered in the "Extended Total Amount" column must be identical to the Base Bid price entered in Section 1 of the Bid Proposal Form. Quantities shown are required for bid purposes and may or may not be final pay quantities. Actual quantities, if different, must be substantiated during the Project by the Contractor (either by field measurement, trucking tags, or other means acceptable to the Engineer).

AL = Allowance  
LF = Linear Foot

CF = Cubic Feet  
LS = Lump Sum

CY = Cubic Yard  
SF = Square Feet

EA = Each  
TON = Ton (2000 lbs.)  
LB = Pounds

Bid Item No.	Description of Bid Item	Estimated Quantity/Unit of Measure	Unit Price	Extended Total Amount
1	Mobilization	LS	\$	\$
2	Traffic Control Systems	LS	\$	\$
3	Remove Pavement Striping, Markings, and Makers	LS	\$	\$
4	3" Full Pavement Grind/Mill	101,634 SF	\$	\$
5	3" Fill (1/2" HMA)	2,000 TONS	\$	\$
6	Type II Slurry Seal	2,795 SY	\$	\$
7	Locate, Lower and Raise Monument Box and Cover	6 EA	\$	\$
8	Locate, Lower and Raise Manhole Frame and Cover	13 EA	\$	\$
9	Locate, Lower and Raise Water Valve Box and Cover	27 EA	\$	\$
10	Locate, Lower and Raise Hand Hole Box and Cover	3 EA	\$	\$
11	12" Striping White	467 LF	\$	\$
12	Type IV (L) Arrow	2 EA	\$	\$
13	Type IV (R) Arrow	4 EA	\$	\$
14	Type VII (L) Arrow	2 EA	\$	\$

15	White Word Marking "STOP"	2 EA	\$	\$
16	Caltrans Detail 2	1,639 LF	\$	\$
17	Caltrans Detail 22	519 LF	\$	\$
18	Caltrans' Detail 38	313 LF	\$	\$
19	Type E Traffic Detection Loops	12 EA	\$	\$
20	Type E (Modified) Traffic Detection Loops	5 EA	\$	\$
21	Two-Way Blue Fire Hydrant Marker	12 EA	\$	\$

Bid Schedule III Total	
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END OF BID SCHEDULE III

## **SUBCONTRACTOR LIST**

For each Subcontractor who will perform a portion of the Work in an amount in excess of one-half of 1% of the Bidder's total Base Bid,<sup>1</sup> the bidder must list a description of the Work, the name of the Subcontractor, its California contractor license number, the location of its place of business, its DIR registration number, and the portion of the Work that the Subcontractor is performing based on a percentage of the Base Bid price.

**Bidders: Please print legibly. Illegible forms may be rejected.**

## END OF SUBCONTRACTOR LIST

<sup>1</sup> For street or highway construction this requirement applies to any subcontract of \$10,000 or more.

<sup>2</sup> A Subcontractor is considered local if its principal place of business is within the city limits of Morgan Hill.

## NONCOLLUSION DECLARATION

(To be executed by bidder and submitted with bid)

The undersigned declares:

I am the \_\_\_\_\_ [title] of \_\_\_\_\_ [business name], the party making the foregoing bid.

The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid and will not pay, any person or entity for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

This declaration is intended to comply with California Public Contract Code § 7106 and Title 23 U.S.C. § 112.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on \_\_\_\_\_ [date], at \_\_\_\_\_ [city], \_\_\_\_\_ [state].

s/ \_\_\_\_\_

---

Name [print]

## BID BOND

\_\_\_\_ ("Bidder") has submitted a bid, dated \_\_\_\_\_, 20\_\_\_\_ ("Bid"), to the City of Morgan Hill ("City") for work on the 2024 Pavement Rehabilitation Project ("Project"). Under this duly executed bid bond ("Bid Bond"), Bidder as Principal and \_\_\_\_\_, its surety ("Surety"), are bound to City as obligee in the penal sum of ten percent (10%) of the maximum amount of the Bid (the "Bond Sum"). Bidder and Surety bind themselves and their respective heirs, executors, administrators, successors and assigns, jointly and severally, as follows:

1. **General.** If Bidder is awarded the Contract for the Project, Bidder will enter into the Contract with City in accordance with the terms of the Bid.
2. **Submittals.** Within ten days following issuance of the Notice of Award to Bidder, Bidder must submit to City the following:
  - 2.1 **Contract.** The executed Contract, using the form provided by City in the Project contract documents ("Contract Documents");
  - 2.2 **Payment Bond.** A payment bond for one hundred percent (100%) of the maximum Contract Price, executed by a surety licensed to do business in the State of California using the Payment Bond form included with the Contract Documents;
  - 2.3 **Performance Bond.** A performance bond for one hundred percent (100%) of the maximum Contract Price, executed by a surety licensed to do business in the State of California using the Performance Bond form included with the Contract Documents;
  - 2.4 **Insurance.** The insurance certificate(s) and endorsement(s) required by the Contract Documents, and any other documents required by the Instructions to Bidders; and
  - 2.5 **Certificates of Reported Compliance.** Valid Certificates of Reported Compliance for its fleet and its listed Subcontractors, if applicable, in accordance with the In-Use Off-Road Diesel-Fueled Fleets Regulation (13 CCR § 2449 et seq.) ("Off-Road Regulation"), if the Project involves the use of vehicles subject to the Off-Road Regulation; and any other documents required by the Instructions to Bidders or Notice of Potential Award.
3. **Enforcement.** If Bidder fails to execute the Contract or to submit the bonds, and insurance certificates and endorsements, and valid Certificates of Reported Compliance, as required by the Contract Documents, Surety guarantees that Bidder forfeits the Bond Sum to City. Any notice to Surety may be given in the manner specified in the Contract and delivered or transmitted to Surety as follows:

Attn: \_\_\_\_\_  
Address: \_\_\_\_\_  
City/State/Zip: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Fax: \_\_\_\_\_  
Email: \_\_\_\_\_

- 4. Duration; Waiver.** If Bidder fulfills its obligations under Section 2, above, then this obligation will be null and void; otherwise it will remain in full force and effect for ninety days following the bid opening or until this Bid Bond is returned to Bidder, whichever occurs first. Surety waives the provisions of Civil Code Sections 2819 and 2845.

This Bid Bond is entered into and is effective on \_\_\_\_\_, 20\_\_\_\_\_.

**SURETY:**

\_\_\_\_\_  
s/ \_\_\_\_\_

Name: \_\_\_\_\_  
Title: \_\_\_\_\_

(Attach Acknowledgement, Notary Seal, and Attorney-In-Fact Certificate)

**CONTRACTOR:**

\_\_\_\_\_  
s/ \_\_\_\_\_

Name: \_\_\_\_\_  
Title: \_\_\_\_\_

**APPROVED AS TO FORM:**

By: \_\_\_\_\_  
Donald A. Larkin, City Attorney

Date: \_\_\_\_\_

**BIDDER'S QUESTIONNAIRE**  
**2024 PAVEMENT REHABILITATION PROJECT**

Within forty-eight (48) hours following a request by City, a bidder must submit to City a completed, signed Bidder's Questionnaire using this form and all required attachments, including clearly labeled additional sheets as needed. City may request the Questionnaire from one or more of the apparent low bidders following the bid opening, and may use the completed Questionnaire as part of its investigation to evaluate a bidder's qualifications for this Project. The Questionnaire must be filled out completely, accurately, and legibly. Any errors, omissions, or misrepresentations in completion of the Questionnaire may be grounds for rejection of the bid or termination of a Contract awarded pursuant to the bid.

**Part 1: General Information**

Bidder Business Name: \_\_\_\_\_ ("Bidder")

Check One:  Corporation / State of Incorporation \_\_\_\_\_  
 Limited Liability Company/ State of Formation \_\_\_\_\_  
 Partnership \_\_\_\_\_  
 Sole Proprietorship \_\_\_\_\_  
 Joint Venture of: \_\_\_\_\_  
 Other: \_\_\_\_\_

Main Office Address:

\_\_\_\_\_  
\_\_\_\_\_

Phone: \_\_\_\_\_

Fax: \_\_\_\_\_

Local Office Address and Phone: \_\_\_\_\_  
\_\_\_\_\_

Website address: \_\_\_\_\_

Owner of Business: \_\_\_\_\_

Contact Name and Title: \_\_\_\_\_

Contact phone and email: \_\_\_\_\_

Bidder's California Contractor's License Number(s):  
\_\_\_\_\_  
\_\_\_\_\_

Bidder's DIR Registration Number: \_\_\_\_\_

## **Part 2: Bidder Experience**

1. How many years has Bidder been in business under its present business name? \_\_\_\_\_ years

2. Has Bidder completed projects similar in type and size to this Project as a general contractor?  Yes  No

3. Has Bidder ever been disqualified from a bid on grounds that it is not responsible, or otherwise disqualified or debarred from bidding under state or federal law?  Yes  No

If yes, provide additional information on a separate sheet regarding the disqualification or debarment, including the name and address of the agency or owner of the project, the type and size of the project, the reasons that Bidder was disqualified or debarred, and the month and year in which the disqualification or debarment occurred.

4. Has Bidder ever been terminated for cause, alleged default, or legal violation from a construction project, either as a general contractor or as a subcontractor?

Yes  No

If yes, provide additional information on a separate sheet regarding the termination, including the name and address of the agency or owner of the subject project, the type and size of the project, whether Bidder was under contract as a general contractor or a subcontractor, the reasons that Bidder was terminated, and the month and year in which the termination occurred.

5. Provide information about Bidder's past projects performed as general contractor as follows:

5.1 Six most recently completed public works projects within the last three years;

5.2 Three largest completed projects within the last three years; and

5.3 Any project which is similar to this Project including scope and character of the work.

6. Use separate sheets to provide all of the following information for each project identified in response to the above three categories:

- 6.1 Project name
- 6.2 Location
- 6.3 Owner
- 6.4 Owner contact (name, address, email, and phone number)
- 6.5 Prime contractor, if applicable (name, address, email, and phone number);
- 6.6 Architect or engineer name
- 6.7 Architect or engineer contact (name, email, and phone number)
- 6.8 Project and/or construction manager (name and current phone number)
- 6.9 Description of project, scope of work performed
- 6.10 Initial contract value (at time of bid award)
- 6.11 Final cost of construction (including change orders)
- 6.12 Original scheduled completion date
- 6.13 Time extensions granted (number of days)
- 6.14 Actual date of completion
- 6.15 Number and amount of stop notices or mechanic's liens filed
- 6.16 Amount of liquidated damages assessed against Bidder
- 6.17 Nature and resolution of any project-related claim, lawsuit, mediation and/or arbitration involving Bidder.

### **Part 3: Safety**

1. Provide Bidder's Experience Modification Rate (EMR) for the last three years:

Year	EMR

2. Complete the following, based on information provided in Bidder's CalOSHA Form 300 or Form 300A, Annual Summary of Work-Related Illnesses and Injuries, from the most recent past calendar year:

- 2.1 Number of lost workday cases: \_\_\_\_\_
- 2.2 Number of medical treatment cases: \_\_\_\_\_
- 2.3 Number of deaths: \_\_\_\_\_

3. Has Bidder ever been cited, fined, or prosecuted by any local, state, or federal agency, including OSHA, CalOSHA, or EPA, for violation of any law, regulation, or requirements pertaining to health and safety?

\_\_\_\_\_ Yes      \_\_\_\_\_ No

If yes, provide additional information on a separate sheet regarding each such citation, fine, or prosecution, including the name and address of the agency or owner of the project, the type and size of the project, the reasons for and nature of the citation, fine, or prosecution, and the month and year in which the incident giving rise to the citation, fine, or prosecution occurred.

4. Name, title, and email for person responsible for Bidder's safety program:

Name	Title	Email
------	-------	-------

Name	Title	Email
------	-------	-------

#### **Part 4: Verification**

In signing this document, I, the undersigned, declare that I am duly authorized to sign and submit this Bidder's Questionnaire on behalf of the named Bidder, and that all responses and information set forth in this Bidder's Questionnaire and accompanying attachments are, to the best of my knowledge, true, accurate and complete as of the date of submission. **I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

By [name, title]: \_\_\_\_\_

**END OF BIDDER'S QUESTIONNAIRE**

## CONTRACT

This public works contract ("Contract") is entered into by and between the City of Morgan Hill ("City") and \_\_\_\_\_ a (State of formation)(type of entity) ("Contractor") for work on the 2024 Pavement Rehabilitation Project ("Project").

The parties agree as follows:

1. **Award of Contract.** In response to the Notice Inviting Bids, Contractor has submitted a Bid Proposal to perform the Work to construct on the Project. On \_\_\_\_\_, 20\_\_\_\_, City authorized award of this Contract to Contractor for the amount set forth in Section 4, below.
2. **Contract Documents.** The Contract Documents incorporated into this Contract include and are comprised of all of the documents listed below. The definitions provided in Article 1 of the General Conditions apply to all of the Contract Documents, including this Contract.
  - 2.1 Notice Inviting Bids;
  - 2.2 Instructions to Bidders;
  - 2.3 Addenda, if any;
  - 2.4 Bid Proposal and attachments thereto;
  - 2.5 Contract;
  - 2.6 Payment and Performance Bonds;
  - 2.7 General Conditions;
  - 2.8 Special Conditions;
  - 2.9 Project Plans and Specifications;
  - 2.10 Change Orders, if any;
  - 2.11 Notice of Award;
  - 2.12 Notice to Proceed; and
  - 2.13 The following: City Standard Details
3. **Contractor's Obligations.** Contractor will perform all of the Work required for the Project, as specified in the Contract Documents. Contractor must provide, furnish, and supply all things necessary and incidental for the timely performance and completion of the Work, including all necessary labor, materials, supplies, tools, equipment, transportation, onsite facilities, and utilities, unless otherwise specified in the Contract Documents. Contractor must use its best efforts to diligently prosecute and complete the Work in a professional and expeditious manner and to meet or exceed the performance standards required by the Contract Documents.

4. **Payment.** As full and complete compensation for Contractor's timely performance and completion of the Work in strict accordance with the terms and conditions of the Contract Documents, City will pay Contractor

Dollars

(\$ \_\_\_\_\_) (the "Contract Price"), for all of Contractor's direct and indirect costs to perform the Work, including all labor, materials, supplies, equipment, federal, state, and local taxes, insurance, bonds and all overhead costs, in accordance with the payment provisions in the General Conditions.

5. **Time for Completion.** Contractor will fully complete the Work for the Project, meeting all requirements for Final Completion, within 65 calendar days from the commencement date given in the Notice to Proceed ("Contract Time"). By signing below, Contractor expressly waives any claim for delayed early completion.
6. **Liquidated Damages.** As further specified in Section 5.4 of the General Conditions, if Contractor fails to complete the Work within the Contract Time, City will assess liquidated damages in the amount of One-Thousand-Dollars (\$1000.00) per day for each day of unexcused delay in achieving Final Completion, and such liquidated damages may be deducted from City's payments due or to become due to Contractor under this Contract.

7. **Labor Code Compliance.**

- 7.1 **General.** This Contract is subject to all applicable requirements of Chapter 1 of Part 7 of Division 2 of the Labor Code, including requirements pertaining to wages, working hours and workers' compensation insurance, as further specified in Article 9 of the General Conditions.
- 7.2 **Prevailing Wages.** This Project is subject to the prevailing wage requirements applicable to the locality in which the Work is to be performed for each craft, classification or type of worker needed to perform the Work, including employer payments for health and welfare, pension, vacation, apprenticeship, and similar purposes. Copies of these prevailing rates are available online at <http://www.dir.ca.gov/DLSR>.
- 7.3 **DIR Registration.** City will not enter into the Contract with a bidder without proof that the bidder and its Subcontractors are registered with the California Department of Industrial Relations to perform public work pursuant to Labor Code Section 1725.5, subject to limited legal exceptions.
8. **Workers' Compensation Certification.** Pursuant to Labor Code Section 1861, by signing this Contract, Contractor certifies as follows: "I am aware of the provisions of Labor Code Section 3700 which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the Work on this Contract."

9. **Conflicts of Interest.** Contractor, its employees, Subcontractors, and agents, may not have, maintain, or acquire a conflict of interest in relation to this Contract in violation of any City ordinance or requirement, or in violation of any California law, including Government Code Section 1090 *et seq.*, or the Political Reform Act, as set forth in Government Code Section 81000 *et seq.* and its accompanying regulations. Any violation of this Section constitutes a material breach of the Contract.
10. **Independent Contractor.** Contractor is an independent contractor under this Contract and will have control of the Work and the means and methods by which it is performed. Contractor and its Subcontractors are not employees of City and are not entitled to participate in any health, retirement, or any other employee benefits from City.
11. **Notice.** Any notice required by the Contract Documents must be made in writing, signed, dated, and sent to the other party by personal delivery, U.S. Mail, a reliable overnight delivery service, or by email as a PDF (or comparable) file. Notice is deemed effective upon delivery unless otherwise specified. Notice for each party must be given as follows:

City:

City of Morgan Hill  
17575 Peak Avenue  
Morgan Hill, CA 95037  
Phone: (409) 779-7259  
Attn: City Clerk  
Email: michelle.bigelow@morganhill.ca.gov  
Copy to: yat.cho@morganhill.ca.gov

Contractor:

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
City/State/Zip: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Attn: \_\_\_\_\_  
Email: \_\_\_\_\_  
Copy to: \_\_\_\_\_

## 12. General Provisions.

- 12.1 **Assignment and Successors.** Contractor may not assign its rights or obligations under this Contract, in part or in whole, without City's written consent. This Contract is binding on Contractor's and City's lawful heirs, successors and permitted assigns.

- 12.2 Third Party Beneficiaries.** There are no intended third-party beneficiaries to this Contract.
- 12.3 Governing Law and Venue.** This Contract will be governed by California law and venue will be in the Superior Court of Santa Clara County, and no other place. Contractor waives any right it may have pursuant to Code of Civil Procedure Section 394, to file a motion to transfer any action arising from or relating to this Contract to a venue outside of Santa Clara County, California.
- 12.4 Amendment.** No amendment or modification of this Contract will be binding unless it is in a writing duly authorized and signed by the parties to this Contract.
- 12.5 Integration.** This Contract and the Contract Documents incorporated herein, including authorized amendments or Change Orders thereto, constitute the final, complete, and exclusive terms of the agreement between City and Contractor.
- 12.6 Severability.** If any provision of the Contract Documents is determined to be illegal, invalid, or unenforceable, in whole or in part, the remaining provisions of the Contract Documents will remain in full force and effect.
- 12.7 Iran Contracting Act.** If the Contract Price exceeds \$1,000,000, Contractor certifies, by signing below, that it is not identified on a list created under the Iran Contracting Act, Public Contract Code Section 2200 *et seq.* (the “Act”), as a person engaging in investment activities in Iran, as defined in the Act, or is otherwise expressly exempt under the Act.
- 12.8 Authorization.** Each individual signing below warrants that he or she is authorized to do so by the party that he or she represents, and that this Contract is legally binding on that party. If Contractor is a corporation, signatures from two officers of the corporation are required pursuant to California Corporations Code Section 313. If Contractor is a partnership, signature by a general partner with authority to bind the partnership is required. If Contractor is a limited liability company (LLC), a signature by a member or manager with authority to bind the LLC is required.
- 12.9 Electronic Signatures.** Unless otherwise prohibited by law or City policy, the Parties agree that an electronic copy of a signed contract, or an electronically signed contract, has the same force and legal effect as a contract executed with an original ink signature. The term “electronic copy of a signed contract” refers to a transmission by facsimile, electronic mail, or other electronic means of a copy of an original signed contract in a portable document format. The term “electronically signed contract” means a contract that is executed by applying an electronic signature using technology approved by the City.

*[Signatures are on the following page.]*

AS SET FORTH IN CA. CORP. CODE § 313, TWO SIGNATURES ARE REQUIRED FOR CALIFORNIA CORPORATIONS:

- (1) CHAIRPERSON OF THE BOARD, PRESIDENT, OR VICE PRESIDENT; AND  
2) SECRETARY, ASSISTANT SECRETARY, CHIEF FINANCIAL OFFICER, OR ASSISTANT TREASURER.

The parties agree to this Contract as witnessed by the signatures below:

**CITY OF MORGAN HILL:**

Christina J. Turner  
City Manager

Date: \_\_\_\_\_

**Attest:**

Michelle Bigelow  
City Clerk

Date: \_\_\_\_\_

**Approved as to Form:**

Donald A. Larkin  
City Attorney

Date: \_\_\_\_\_

**CONTRACTOR:**  
**[NAME OF CONTRACTOR]**

Signature \_\_\_\_\_

Name/Title [print] \_\_\_\_\_

Date: \_\_\_\_\_

*Corporate entities must provide a second signature:*

Signature \_\_\_\_\_

Name/Title [print] \_\_\_\_\_

Date: \_\_\_\_\_

Contractor's License Number(s) \_\_\_\_\_

Expiration Date(s) \_\_\_\_\_

Seal:

Contractor's DIR Registration Number(s) \_\_\_\_\_

Expiration Date \_\_\_\_\_

**END OF CONTRACT**

## PAYMENT BOND

The City of Morgan Hill ("City") and

\_\_\_\_ ("Contractor") have entered into a contract for work on the 2024 Pavement Rehabilitation Project ("Project"). The Contract is incorporated by reference into this Payment Bond ("Bond").

- 1. General.** Under this Bond, Contractor as principal and \_\_\_\_\_, its surety ("Surety"), are bound to City as obligee in an amount not less than \_\_\_\_\_ Dollars (\$\_\_\_\_\_) ("Bond Sum"), under California Civil Code Sections 9550, *et seq.*, to ensure payment to authorized claimants. This Bond is binding on the respective successors, assigns, owners, heirs, or executors of Surety and Contractor
- 2. Surety's Obligation.** If Contractor or any of its Subcontractors fails to pay a person authorized in California Civil Code Section 9100 to assert a claim against a payment bond, any amounts due under the Unemployment Insurance Code with respect to work or labor performed under the Contract, or any amounts required to be deducted, withheld, and paid over to the Employment Development Department from the wages of employees of Contractor and its Subcontractors, under California Unemployment Insurance Code Section 13020, with respect to the work and labor, then Surety will pay the obligation.
- 3. Beneficiaries.** This Bond inures to the benefit of any of the persons named in California Civil Code Section 9100, so as to give a right of action to those persons or their assigns in any suit brought upon this Bond. Contractor must promptly provide a copy of this Bond upon request by any person with legal rights under this Bond.
- 4. Duration.** If Contractor promptly makes payment of all sums for all labor, materials, and equipment furnished for use in the performance of the Work required by the Contract, in conformance with the time requirements set forth in the Contract and as required by California law, Surety's obligations under this Bond will be null and void. Otherwise, Surety's obligations will remain in full force and effect.
- 5. Waivers.** Surety waives any requirement to be notified of alterations to the Contract or extensions of time for performance of the Work under the Contract. Surety waives the provisions of Civil Code Sections 2819 and 2845. City waives the requirement of a new bond for any supplemental contract under Civil Code Section 9550. Any notice to Surety may be given in the manner specified in the Contract and delivered or transmitted to Surety as follows:

Attn: \_\_\_\_\_  
Address: \_\_\_\_\_  
City/State/Zip: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Email: \_\_\_\_\_

6. **Law and Venue.** This Bond will be governed by California law, and venue for any dispute pursuant to this Bond will be in the Superior Court of Santa Clara County, and no other place. Surety will be responsible for City's attorneys' fees and costs in any action to enforce the provisions of this Bond.
7. **Effective Date; Execution.** This Bond is entered into and is effective on \_\_\_\_\_, 20\_\_\_.

SURETY:

s/ \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

(Attach Acknowledgment with Notary Seal and Power of Attorney)

CONTRACTOR:

s/ \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

**APPROVED AS TO FORM:**

By: \_\_\_\_\_  
Donald A. Larkin, City Attorney

Date: \_\_\_\_\_

END OF PAYMENT BOND

## PERFORMANCE BOND

The City of Morgan Hill ("City") and \_\_\_\_\_ ("Contractor") have entered into a contract for work on the \_\_\_\_\_ ("Project"). The Contract is incorporated by reference into this Performance Bond ("Bond").

- 1. General.** Under this Bond, Contractor as principal and \_\_\_\_\_, its surety ("Surety"), are bound to City as obligee for an amount not less than \_\_\_\_\_ Dollars (\$\_\_\_\_\_) (the "Bond Sum") to ensure Contractor's faithful performance of its obligations under the Contract. By executing this Bond, Contractor and Surety bind themselves and their respective heirs, executors, administrators, successors, and assigns, jointly and severally, to the provisions of this Bond.
- 2. Surety's Obligations.** Surety's obligations are co-extensive with Contractor's obligations under the Contract. If Contractor fully performs its obligations under the Contract, including its warranty obligations under the Contract, Surety's obligations under this Bond will become null and void. Otherwise, Surety's obligations under this bond will remain in full force and effect.
- 3. Waiver.** Surety waives any requirement to be notified of and further consents to any alterations to the Contract made under the applicable provisions of the Contract Documents, including changes to the scope of Work or extensions of time for performance of Work under the Contract. Surety waives the provisions of Civil Code Sections 2819 and 2845.
- 4. Application of Contract Balance.** Upon making a demand on this Bond for completion of the Work prior to acceptance of the Project, City will make the Contract Balance available to Surety for completion of the Work under the Contract. For purposes of this provision, the Contract Balance is defined as the total amount payable by City to Contractor as the Contract Price minus amounts already paid to Contractor, and minus any liquidated damages, credits, or backcharges to which City is entitled under the terms of the Contract.
- 5. Contractor Default.** Upon written notification from City that Contractor is in default under Article 13 of the Contract General Conditions, time being of the essence, Surety must act within the time specified in Article 13 to remedy the default through one of the following courses of action:

- 5.1** Arrange for completion of the Work under the Contract by Contractor, with City's consent, but only if Contractor is in default solely due to its financial inability to complete the Work;
  - 5.2** Arrange for completion of the Work under the Contract by a qualified contractor acceptable to City, and secured by performance and payment bonds issued by an admitted surety as required by the Contract Documents, at Surety's expense, or
  - 5.3** Waive its right to complete the Work under the Contract and reimburse City the amount of City's costs to have the remaining Work completed.
- 6. Surety Default.** If Surety defaults on its obligations under the Bond, City will be entitled to recover all costs it incurs due to Surety's default, including legal, design professional, or delay costs.
  - 7. Notice.** Any notice to Surety may be given in the manner specified in the Contract and delivered or transmitted to Surety as follows:

Attn: \_\_\_\_\_  
Address: \_\_\_\_\_  
City/State/Zip: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Fax: \_\_\_\_\_  
Email: \_\_\_\_\_
  - 8. Law and Venue.** This Bond will be governed by California law, and venue for any dispute pursuant to this Bond will be in the Superior Court of Santa Clara County, and no other place. Surety will be responsible for City's attorneys' fees and costs in any action to enforce the provisions of this Bond.

*[Signatures are on the following page.]*

**9. Effective Date; Execution.** This Bond is entered into and effective on \_\_\_\_\_, 20\_\_\_\_.

**SURETY:**

s/ \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

**CONTRACTOR:**

s/ \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

(Attach Acknowledgment with Notary Seal and Power of Attorney)

**APPROVED AS TO FORM:**

By: \_\_\_\_\_  
Donald A. Larkin, City Attorney

Date: \_\_\_\_\_

END OF PERFORMANCE BOND

## GENERAL CONDITIONS

### Article 1 - Definitions

**1.1 Definitions.** The following definitions apply to all of the Contract Documents unless otherwise indicated, e.g., additional definitions that apply solely to the Specifications or other technical documents. Defined terms and titles of documents are capitalized in the Contract Documents, with the exception of the following (in any tense or form): "day," "furnish," "including," "install," "work day," or "working day."

**Allowance** means a specific amount that must be included in the Bid Proposal for Work that may or may not be included in the Project, depending on conditions that will not become known until after bids are opened. If the Contract Price includes an Allowance and the cost of performing the Work covered by that Allowance is greater or less than the Allowance, the Contract Price will be increased or decreased accordingly.

**Article**, as used in these General Conditions, means a numbered Article of the General Conditions, unless otherwise indicated by the context.

**Change Order** means a written document duly approved and executed by City, which changes the scope of Work, the Contract Price, or the Contract Time.

**City** means the City of Morgan Hill, acting through its City Council, officers, employees, and authorized representatives.

**City Engineer** means the City Engineer for City and his or her authorized delegate(s) designated to oversee and manage the Project on City's behalf.

**Claim** means a separate demand by Contractor for a change in the Contract Time or Contract Price, that has previously been submitted to City in accordance with the requirements of the Contract Documents, and which has been rejected by City, in whole or in part; or a written demand by Contractor objecting to the amount of Final Payment.

**Contract** means the signed agreement between City and Contractor for performing the Work required for the Project, and all documents expressly incorporated therein.

**Contract Documents** means, collectively, all of the documents listed as such in Section 2 of the Contract, including the Notice Inviting Bids; the Instructions to Bidders; addenda, if any; the Bid Proposal, and attachments thereto; the Contract; the Notice of Award and Notice to Proceed; the payment and performance bonds; the General Conditions; the Special Conditions; the Project Plans and Specifications; any Change Orders; and any other documents

expressly made part of the Contract Documents. The Contract Documents do not include documents provided "For Reference Only," or documents that are intended solely to provide information regarding existing conditions.

**Contract Price** means the total compensation to be paid to Contractor for performance of the Work, as set forth in the Contract and as may be amended by Change Order or adjusted for an Allowance. The Contract Price is not subject to adjustment due to inflation or due to the increased cost of labor, material, supplies, or equipment following submission of the Bid Proposal. The Contract Price is deemed to include all applicable federal, state, and local taxes.

**Contract Time** means the number of days specified for complete performance of the Work, as set forth in the Contract and as may be amended by Change Order.

**Contractor** means the individual, partnership, corporation, or joint-venture that has signed the Contract with City to perform the Work.

**Day** means a calendar day unless otherwise specified.

**Design Professional** means the licensed individual(s) or firm(s) retained by City to provide architectural, engineering, or other design professional services for the Project. If no Design Professional has been retained for this Project, any reference to Design Professional is deemed to refer to the Engineer.

**DIR** means the California Department of Industrial Relations.

**Drawings** has the same meaning as Plans.

**Engineer** means the City Engineer for the City of Morgan Hill and his or her authorized delegate(s).

**Excusable Delay** is defined in Section 5.3(B), Excusable Delay.

**Extra Work** means new or unforeseen work added to the Project, as determined by the Engineer in his or her sole discretion, including Work that was not part of or incidental to the scope of the Work when the Contractor's bid was submitted; Work that is substantially different from the Work as described in the Contract Documents at bid time; or Work that results from a substantially differing and unforeseeable condition.

**Final Completion** means Contractor has fully completed all of the Work required by the Contract Documents to the City's satisfaction, including all punch list items, and any required commissioning or training, and has provided the City with all required submittals, including the instructions and manuals, product warranties, and as-built drawings.

**Final Payment** means payment to Contractor of the unpaid Contract Price, including release of undisputed retention, less amounts withheld or deducted pursuant to the Contract Documents.

**Furnish** means to purchase and deliver for the Project.

**Government Code Claim** means a claim submitted pursuant to California Government Code § 900 et seq.

**Hazardous Materials** means any substance or material identified now or in the future as hazardous under any Laws, or any other substance or material that may be considered hazardous or otherwise subject to Laws governing handling, disposal, or cleanup.

**Including**, whether or not capitalized, means “including, but not limited to,” unless the context requires otherwise.

**Inspector** means the individual(s) or firm(s) retained or employed by City to inspect the workmanship, materials, and manner of construction of the Project and its components to ensure compliance with the Contract Documents and all Laws.

**Install** means to fix in place for materials, and to fix in place and connect for equipment.

**Laws** means all applicable local, state, and federal laws, regulations, rules, codes, ordinances, permits, orders, and the like enacted or imposed by or under the auspices of any governmental entity with jurisdiction over any of the Work or any performance of the Work, including health and safety requirements.

**Non-Excusable Delay** is defined in Section 5.3(D), Non-Excusable Delay.

**Plans** means the City-provided plans, drawings, details, or graphical depictions of the Project requirements, but does not include Shop Drawings.

**Project** means the public works project referenced in the Contract.

**Project Manager** means the individual designated by City to oversee and manage the Project on City's behalf and may include his or her authorized delegate(s) when the Project Manager is unavailable. If no Project Manager has been designated for this Project, any reference to Project Manager is deemed to refer to the Engineer.

**Recoverable Costs** is defined in Section 5.3(F), Recoverable Costs.

**Request for Information or RFI** means a Contractor's written request for information about the Contract Documents, the Work, or the Project, submitted to City in the manner and format specified by City.

**Section**, when capitalized in these General Conditions, means a numbered section or subsection of the General Conditions, unless the context clearly indicates otherwise.

**Shop Drawings** means drawings, plan details or other graphical depictions prepared by or on behalf of Contractor, and subject to City acceptance, which are intended to provide details for fabrication, installation, and the like, of items required by or shown in the Plans or Specifications.

**Specialty Work** means Work that must be performed by a specialized Subcontractor with the specified license or other special certification, and that the Contractor is not qualified to self-perform.

**Specifications** means the technical, text specifications describing the Project requirements, which are prepared for and incorporated into the Contract by or on behalf of City, and does not include the Contract, General Conditions or Special Conditions.

**Subcontractor** means an individual, partnership, corporation, or joint-venture retained by Contractor directly or indirectly through a subcontract to perform a specific portion of the Work. The term Subcontractor applies to subcontractors, suppliers, fabricators, and equipment lessors of all tiers, unless otherwise indicated by the context. A third party such as a utility performing related work on the Project is not a Subcontractor, even if Contractor must coordinate its Work with the third party.

**Technical Specifications** has the same meaning as Specifications.

**Work** means all of the construction and services necessary for or incidental to completing the Project in conformance with the requirements of the Contract Documents.

**Work Day or Working Day**, whether or not capitalized, means a weekday when the City is open for business, and does not include holidays observed by City.

**Worksite** means the place or places where the Work is performed, which includes, but may extend beyond the Project site, including separate locations for staging, storage, or fabrication.

## Article 2 - Roles and Responsibilities

### 2.1 City.

- (A) **City Council.** The City Council has final authority in all matters affecting the Project, except to the extent it has delegated authority to the Engineer.
- (B) **Engineer.** The Engineer, acting within the authority conferred by the City Council, is responsible for administration of the Project on behalf of City, including authority to provide directions to the Design Professional and to Contractor to ensure proper and timely completion of the Project. The Engineer's decisions are final and conclusive within the scope of his or her authority, including interpretation of the Contract Documents.
- (C) **Project Manager.** The Project Manager assigned to the Project will be the primary point of contact for the Contractor and will serve as City's representative for daily administration of the Project on behalf of City. Unless otherwise specified, all of Contractor's communications to City (in any form) will go to or through the Project Manager. City reserves the right to reassign the Project Manager role at any time or to delegate duties to additional City representatives, without prior notice to or consent of Contractor.
- (D) **Design Professional.** The Design Professional is responsible for the overall design of the Project, and to the extent authorized by City, may act on City's behalf to ensure performance of the Work in compliance with the Plans and Specifications, including any design changes authorized by Change Order. The Design Professional's duties may include review of Contractor's submittals, visits to any Worksite, inspecting the Work, evaluating test and inspection results, and participation in Project-related meetings, including any pre-construction conference, weekly meetings, and coordination meetings. The Design Professional's interpretation of the Plans or Specifications is final and conclusive.

### 2.2 Contractor.

- (A) **General.** Contractor must provide all labor, materials, supplies, equipment, services, and incidentals necessary to perform and timely complete the Work in strict accordance with the Contract Documents, and in an economical and efficient manner in the best interests of City, and with minimal inconvenience to the public.
- (B) **Responsibility for the Work and Risk of Loss.** Contractor is responsible for supervising and directing all aspects of the Work to facilitate the efficient and timely completion of the Work. Contractor is

solely responsible for, and required to exercise full control over the Work, including the construction means, methods, techniques, sequences, procedures, safety precautions and programs, and coordination of all portions of the Work with that of all other contractors and Subcontractors, except to the extent that the Contract Documents provide other specific instructions. Contractor's responsibilities extend to any plan, method or sequence suggested, but not required by City or specified in the Contract Documents. From the date of commencement of the Work until either the date on which City formally accepts the Project or the effective date of termination of the Contract, whichever is later, Contractor bears all risks of injury or damage to the Work and the materials and equipment delivered to any Worksite, by any cause including fire, earthquake, wind, weather, vandalism, or theft.

(C) **Project Administration.** Contractor must provide sufficient and competent administration, staff, and skilled workforce necessary to perform and timely complete the Work in accordance with the Contract Documents. Before starting the Work, Contractor must designate in writing and provide complete contact information, including telephone numbers and email address, for the officer or employee in Contractor's organization who is to serve as Contractor's primary representative for the Project, and who has authority to act on Contractor's behalf. A Subcontractor may not serve as Contractor's primary representative.

(D) **On-Site Superintendent.** Contractor must, at all times during performance of the Work, provide a qualified and competent full-time superintendent acceptable to City, and assistants, as necessary, who must be physically present at the Project site while any aspect of the Work is being performed. The superintendent must have full authority to act and communicate on behalf of Contractor, and Contractor will be bound by the superintendent's communications to City. City's approval of the superintendent is required before the Work commences. If City is not satisfied with the superintendent's performance, City may request a qualified replacement of the superintendent. Failure to comply may result in temporary suspension of the Work, at Contractor's sole expense and with no extension of Contract Time, until an approved superintendent is physically present to supervise the Work. Contractor must provide written notice to City, as soon as practicable, before replacing the superintendent.

(E) **Standards.** Contractor must, at all times, ensure that the Work is performed in an efficient, skillful manner following best practices and in full compliance with the Contract Documents, Laws, and applicable manufacturer's recommendations. Contractor has a material and ongoing obligation to provide true and complete information, to the best of its knowledge, with respect to all records, documents, or communications

pertaining to the Project, including oral or written reports, statements, certifications, Change Order requests, or Claims.

(F) **Meetings.** Contractor, its project manager, superintendent, and any primary Subcontractors requested by City, must attend a pre-construction conference, if requested by City, as well as weekly Project progress meetings scheduled with City. If applicable, Contractor may also be required to participate in coordination meetings with other parties relating to other work being performed on or near the Project site or in relation to the Project, including work or activities performed by City, other contractors, or other utility owners.

(G) **Construction Records.** Contractor will maintain up-to-date, thorough, legible, and dated daily job reports, which document all significant activity on the Project for each day that Work is performed on the Project. The daily report for each day must include the number of workers at the Project site; primary Work activities; major deliveries; problems encountered, including injuries, if any; weather and site conditions; and delays, if any. Contractor will take date and time-stamped photographs to document general progress of the Project, including site conditions prior to construction activities, before and after photographs at offset trench laterals, existing improvements, and utilities, damage, and restoration. Contractor will maintain copies of all subcontracts, Project-related correspondence with Subcontractors, and records of meetings with Subcontractors. Upon request by the City, Contractor will permit review of and/or provide copies of any of these construction records.

(H) **Responsible Party.** Contractor is solely responsible to City for the acts or omissions of any Subcontractors, or any other party or parties performing portions of the Work or providing equipment, materials, or services for or on behalf of Contractor or the Subcontractors. Upon City's written request, Contractor must promptly and permanently remove from the Project, at no cost to City, any employee, Subcontractor, or employee of a Subcontractor who the Engineer has determined to be incompetent, intemperate, or disorderly, or who has failed or refused to perform the Work as required under the Contract Documents.

(I) **Correction of Defects.** Contractor must promptly correct, at Contractor's sole expense, any Work that is determined by City to be deficient or defective in any way, including workmanship, materials, parts, or equipment. Workmanship, materials, parts, or equipment that do not conform to the requirements under the Plans, Specifications, and every other Contract Document, as determined by City, will be considered defective and subject to rejection. Contractor must also promptly correct, at Contractor's sole expense, any Work performed beyond the lines and grades shown on the Plans or established by City, and any Extra Work

performed without City's prior written approval. If Contractor fails to correct or to take reasonable steps toward correcting defective Work within five days following notice from City, or within the time specified in City's notice to correct, City may elect to have the defective Work corrected by its own forces or by a third party, in which case the cost of correction will be deducted from the Contract Price. If City elects to correct defective Work due to Contractor's failure or refusal to do so, City or its agents will have the right to take possession of and use any equipment, supplies, or materials available at the Project site or any Worksite on City property, in order to effectuate the correction, at no extra cost to City. Contractor's warranty obligations under Section 11.2, Warranty, will not be waived nor limited by City's actions to correct defective Work under these circumstances. Alternatively, City may elect to retain defective Work, and deduct the difference in value, as determined by the Engineer, from payments otherwise due to Contractor. This paragraph applies to any defective Work performed by Contractor during the one-year warranty period under Section 11.2.

(J) **Contractor's Records.** Contractor must maintain all of its records relating to the Project in any form, including paper documents, photos, videos, electronic records, approved samples, and the construction records required pursuant to paragraph (G), above. Project records subject to this provision include, but are not limited to, complete Project cost records and records relating to preparation of Contractor's bid, including estimates, take-offs, and price quotes or bids.

- (1) Contractor's cost records must include all supporting documentation, including original receipts, invoices, and payroll records, evidencing its direct costs to perform the Work, including, but not limited to, costs for labor, materials, and equipment. Each cost record should include, at a minimum, a description of the expenditure with references to the applicable requirements of the Contract Documents, the amount actually paid, the date of payment, and whether the expenditure is part of the original Contract Price, related to an executed Change Order, or otherwise categorized by Contractor as Extra Work. Contractor's failure to comply with this provision as to any claimed cost operates as a waiver of any rights to recover the claimed cost.
- (2) Contractor must continue to maintain its Project-related records in an organized manner for a period of five years after City's acceptance of the Project or following Contract termination, whichever occurs first. Subject to prior notice to Contractor, City is entitled to inspect or audit any of Contractor's records relating to the Project or to investigate Contractor's plant or equipment during Contractor's normal business hours. Contractor's records may also be subject to examination and audit by the California State Auditor,

pursuant to Government Code § 8546.7. The record-keeping requirements set forth in this subsection 2.2(J) will survive expiration or termination of the Contract.

(K) ***Copies of Project Documents.*** Contractor and its Subcontractors must keep copies, at the Project site, of all Work-related documents, including the Contract, permit(s), Plans, Specifications, Addenda, Contract amendments, Change Orders, RFIs and RFI responses, Shop Drawings, as-built drawings, schedules, daily records, testing and inspection reports or results, and any related written interpretations. These documents must be available to City for reference at all times during construction of the Project.

### **2.3 Subcontractors.**

(A) ***General.*** All Work which is not performed by Contractor with its own forces must be performed by Subcontractors, subject to the Fifty percent limitation set forth in the Instructions to Bidders. City reserves the right to approve or reject any and all Subcontractors proposed to perform the Work, for reasons including the Subcontractor's poor reputation, lack of relevant experience, financial instability, and lack of technical ability or adequately trained workforce. Each Subcontractor must obtain a City business license before performing any Work.

(B) ***Contractual Obligations.*** Contractor must require each Subcontractor to comply with the provisions of the Contract Documents as they apply to the Subcontractor's portion(s) of the Work, including the generally applicable terms of the Contract Documents, and to likewise bind their subcontractors. Contractor will provide that the rights that each Subcontractor may have against any manufacturer or supplier for breach of warranty or guarantee relating to items provided by the Subcontractor for the Project, will be assigned to City. Nothing in these Contract Documents creates a contractual relationship between a Subcontractor and City, but City is deemed to be a third-party beneficiary of the contract between Contractor and each Subcontractor. Copies of subcontracts must be available to the Engineer upon request. Before a Subcontractor commences Work on the Project, Contractor must provide the Engineer a written statement with the name of the Subcontractor, a description of each portion of the Work performed by the Subcontractor, and the percentage of the overall Work to be performed by the Subcontractor.

(C) ***Termination.*** If the Contract is terminated, each Subcontractor's agreement must be assigned by Contractor to City, subject to the prior rights of any surety, but only if and to the extent that City accepts, in writing, the assignment by written notification, and assumes all rights and obligations of Contractor pursuant to each such subcontract agreement.

(D) ***Substitution of Subcontractor.*** If Contractor requests substitution of a listed Subcontractor under Public Contract Code Section 4107, Contractor is solely responsible for all costs City incurs in responding to the request, including legal fees and costs to conduct a hearing, and any increased subcontract cost to perform the Work that was to be performed by the listed Subcontractor. If City determines that a Subcontractor is unacceptable to City based on the Subcontractor's failure to satisfactorily perform its Work, or for any of the grounds for substitution listed in Public Contract Code Section 4107(a), City may request removal of the Subcontractor from the Project. Upon receipt of a written request from City to remove a Subcontractor pursuant to this paragraph, Contractor will immediately remove the Subcontractor from the Project and, at no further cost to City, will either (1) self-perform the remaining Work to the extent that Contractor is duly licensed and qualified to do so, or (2) substitute a Subcontractor that is acceptable to City, in compliance with Public Contract Code Section 4107, as applicable.

## 2.4 Coordination of Work.

(A) ***Concurrent Work.*** City reserves the right to perform have performed, or permit performance of other work on or adjacent to the Project site while the Work is being performed for the Project. Contractor is responsible for coordinating its Work with other work being performed on or adjacent to the Project site, including by any utility companies or agencies, and must avoid hindering, delaying, or interfering with the work of other contractors, individuals, or entities, and must ensure safe and reasonable site access and use as required or authorized by City. To the full extent permitted by law, Contractor must hold harmless and indemnify City against any and all claims arising from or related to Contractor's avoidable, negligent, or willful hindrance of, delay to, or interference with the work of any utility company or agency or another contractor or subcontractor.

(B) ***Coordination.*** If Contractor's Work will connect or interface with work performed by others, Contractor is responsible for independently measuring and visually inspecting such work to ensure a correct connection and interface. Contractor is responsible for any failure by Contractor or its Subcontractors to confirm measurements before proceeding with connecting Work. Before proceeding with any portion of the Work affected by the construction or operations of others, Contractor must give the Project Manager prompt written notification of any defects Contractor discovers which will prevent the proper execution of the Work. Failure to give notice of any known or reasonably discoverable defects will be deemed acknowledgement by Contractor that the work of others is not defective and will not prevent the proper execution of the Work. Contractor

must also promptly notify City if work performed by others, including work or activities performed by City's own forces, is operating to hinder, delay, or interfere with Contractor's timely performance of the Work. City reserves the right to backcharge Contractor for any additional costs incurred due to Contractor's failure to comply with the requirements in this Section 2.4.

- 2.5 Submittals.** Unless otherwise specified, Contractor must submit to Engineer for review and acceptance, all schedules, Shop Drawings, samples, product data and similar submittals required by the Contract Documents, or upon request by Engineer. Unless otherwise specified, all submittals, including Requests for Information (RFIs) are subject to the provisions of this Section, as well as specific submittal requirements that may be included elsewhere in the Contract Documents, including the Special Conditions or Specifications. The Engineer may require submission of a submittal schedule at or before a pre-construction conference, as may be specified in the Notice to Proceed.
- (A) **General.** Contractor is responsible for ensuring that its submittals are accurate and conform to the Contract Documents.
- (B) **Time and Manner of Submission.** Contractor must ensure that its submittals are prepared and delivered in a manner consistent with the current City-accepted schedule for the Work and within the applicable time specified elsewhere in the Contract Documents, or if no time is specified, in such time and sequence so as not to delay the performance of the Work or completion of the Project.
- (C) **Required Contents.** Each submittal must include the Project name and contract number, Contractor's name and address, the name and address of any Subcontractor or supplier involved with the submittal, the date, and references to applicable Specification section(s) and/or drawing and detail number(s).
- (D) **Required Corrections.** If corrections are required, Contractor must promptly make and submit any required corrections as specified in full conformance with the requirements of this Section, or other requirements that apply to that submittal.
- (E) **Effect of Review and Acceptance.** Review and acceptance of a submittal by City will not relieve Contractor from complying with the requirements of the Contract Documents. Contractor is responsible for any errors in any submittal, and review or acceptance of a submittal by City is not an assumption of risk or liability by City.

(F) **Enforcement.** Any Work performed or any material furnished, installed, fabricated, or used without City's prior acceptance of a required submittal is performed or provided at Contractor's risk, and Contractor may be required to bear the costs incident thereto, including the cost of removing and replacing such Work or material, repairs to other affected portions of the Work, and the cost of additional time or services required of City, including costs for the Design Professional, Project Manager, or Inspector.

(G) **Excessive RFIs.** An RFI will be considered excessive or unnecessary if the City determines that the explanation or response to the RFI is clearly and unambiguously discernable from the Contract Documents. City's costs to review and respond to excessive or unnecessary RFIs may be deducted from payments otherwise due to Contractor.

**2.6 Shop Drawings.** When Shop Drawings are required by the Specifications or requested by the Engineer, they must be prepared according to best practices at Contractor's expense. The Shop Drawings must be of a size and scale to clearly show all necessary details. Unless otherwise specified by City, Shop Drawings must be provided to the Engineer for review and acceptance at least 30 days before the Work will be performed. If City requires changes, the corrected Shop Drawings must be resubmitted to the Engineer for review within the time specified by the Engineer. For all Project components requiring Shop Drawings, Contractor will not furnish materials or perform any Work until the Shop Drawings for those components are accepted by City. Contractor is responsible for any errors or omissions in the Shop Drawings, shop fits and field corrections; any deviations from the Contract Documents; and for the results obtained by the use of Shop Drawings. Acceptance of Shop Drawings by City does not relieve Contractor of Contractor's responsibility.

**2.7 Access to Work.** Contractor must afford prompt and safe access to any Worksite by City and its employees, agents, or consultants authorized by City; and upon request by City, Contractor must promptly arrange for City representatives to visit or inspect manufacturing sites or fabrication facilities for items to be incorporated into the Work.

**2.8 Personnel.** Contractor and its Subcontractors must employ only competent and skillful personnel to perform the Work. Contractor and its Subcontractor's supervisors, security or safety personnel, and employees who have unescorted access to the Project site must possess proficiency in English sufficient to read, understand, receive, and implement oral or written communications or instructions relating to their respective job functions, including safety and security requirements. Upon written notification from the Engineer, Contractor and its Subcontractors must immediately discharge any personnel who are incompetent, disorderly, disruptive, threatening, abusive, or profane, or

otherwise refuse or fail to comply with the requirements of the Contract Documents or Laws, including Laws pertaining to health and safety. Any such discharged personnel may not be re-employed or permitted on the Project in any capacity without City's prior written consent.

## Article 3 - Contract Documents

### 3.1 Interpretation of Contract Documents.

(A) **Plans and Specifications.** The Plans and Specifications included in the Contract Documents are complementary. If Work is shown on one but not on the other, Contractor must perform the Work as though fully described on both, consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results. The Plans and Specifications are deemed to include and require everything necessary and reasonably incidental to completion of the Work, whether or not particularly mentioned or shown. Contractor must perform all Work and services and supply all things reasonably related to and inferable from the Contract Documents. In the event of a conflict between the Plans and Specifications, the Specifications will control, unless the Plan(s) at issue are dated later than the Specification(s) at issue. Detailed drawings take precedence over general drawings, and large-scale drawings take precedence over smaller scale drawings. Any arrangement or division of the Plans and Specifications into sections is for convenience and is not intended to limit the Work required by separate trades. A conclusion presented in the Plans or Specifications is only a recommendation. Actual locations and depths must be determined by Contractor's field investigation. Contractor may request access to underlying or background information in City's possession that is necessary for Contractor to form its own conclusions.

(B) **Duty to Notify and Seek Direction.** If Contractor becomes aware of a changed condition in the Project, or of any ambiguity, conflict, inconsistency, discrepancy, omission, or error in the Contract Documents, including the Plans or Specifications, Contractor must promptly submit a Request for Information to the Engineer and wait for a response from City before proceeding further with the related Work. The RFI must notify the City of the issue and request clarification, interpretation, or direction. The Engineer's clarification, interpretation, or direction will be final and binding on Contractor. If Contractor proceeds with the related Work before obtaining City's response, Contractor will be responsible for any resulting costs, including the cost of correcting any incorrect or defective Work that results. Timely submission of a clear and complete RFI is essential to avoiding delay. Delay resulting from Contractor's failure to submit a timely and complete RFI to the Engineer is Non-Excusable Delay. If Contractor

believes that City's response to an RFI justifies a change to the Contract Price or Contract Time, Contractor must perform the Work as directed, but may submit a timely Change Order request in accordance with the Contract Documents. (See Articles 5 and 6.)

(C) ***Figures and Dimensions.*** Figures control over scaled dimensions.

(D) ***Technical or Trade Terms.*** Any terms that have well-known technical or trade meanings will be interpreted in accordance with those meanings, unless otherwise specifically defined in the Contract Documents.

(E) ***Measurements.*** Contractor must verify all relevant measurements in the Contract Documents and at the Project site before ordering any material or performing any Work, and will be responsible for the correctness of those measurements or for costs that could have been avoided by independently verifying measurements.

(F) ***Compliance with Laws.*** The Contract Documents are intended to comply with Laws and will be interpreted to comply with Laws.

**3.2 Order of Precedence.** Information included in one Contract Document but not in another will not be considered a conflict or inconsistency. Unless otherwise specified in the Special Conditions, in case of any conflict or inconsistency among the Contract Documents, the following order of precedence will apply, beginning from highest to lowest, with the most recent version taking precedent over an earlier version:

- (A) Change Orders;
- (B) Addenda;
- (C) Contract;
- (D) Notice to Proceed;
- (E) Appendix B- Federal Contract Requirements (only if used);
- (F) Special Conditions;
- (G) General Conditions;
- (H) Payment and Performance Bonds;
- (I) Specifications;
- (J) Plans;
- (K) Notice of Award
- (L) Notice Inviting Bids;
- (M) Appendix A – Federal Bidding Requirements (only if used);
- (N) Instructions to Bidders;
- (O) Contractor's Bid Proposal and attachments;
- (P) The City's standard specifications, as applicable; and

(Q) Any generic documents prepared by and on behalf of a third party, that were not prepared specifically for this Project, such as Caltrans Standard Specifications or Caltrans Special Provisions.

**3.3 Caltrans Standard Specifications.** Any reference to or incorporation of the Standard Specifications of the State of California, Department of Transportation (“Caltrans”), including “Standard Specifications,” “Caltrans Specifications,” “State Specifications,” or “CSS,” means the most current edition of Caltrans’ Standard Specifications, unless otherwise specified (“Caltrans Standard Specifications”), including the most current amendments as of the date that Contractor’s bid was submitted for this Project. The following provisions apply to use of or reference to the Caltrans Standard Specifications or Special Provisions:

(A) **Limitations.** None of the “General Provisions” of the Caltrans Standard Specifications, i.e., Sections 1 through 9, applies to these Contract Documents with the exception of any specific provisions, if any, which are expressly stated to apply to these Contract Documents.

(B) **Conflicts or Inconsistencies.** If there is a conflict or inconsistency between any provision in the Caltrans Standard Specifications or Special Provisions and a provision of these Contract Documents, as determined by City, the provision in the Contract Documents will govern.

(C) **Meanings.** Terms used in the Caltrans Standard Specifications or Special Provisions are to be interpreted as follows:

- (1) Any reference to the “Engineer” is deemed to mean the City Engineer.
- (2) Any reference to the “Special Provisions” is deemed to mean the Special Conditions, unless the Caltrans Special Provisions are expressly included in the Contract Documents listed in Section 2 of the Contract.
- (3) Any reference to the “Department” or “State” is deemed to mean City.

**3.4 For Reference Only.** Contractor is responsible for the careful review of any document, study, or report provided by the City or appended to the Contract Documents solely for informational purposes and identified as “For Reference Only.” Nothing in any document, study, or report so appended and identified is intended to supplement, alter, or void any provision of the Contract Documents. Contractor is advised that City or its representatives may be guided by information or recommendations included in such reference documents, particularly when making determinations as to the acceptability of proposed

materials, methods, or changes in the Work. Any record drawings or similar final or accepted drawings or maps that are not part of the Contract Documents are deemed to be For Reference Only. The provisions of the Contract Documents are not modified by any perceived or actual conflict with provisions in any document that is provided For Reference Only.

**3.5 Current Versions.** Unless otherwise specified by City, any reference to standard specifications, technical specifications, or any City or state codes or regulations means the latest specification, code, or regulation in effect at the time the Contract is signed.

**3.6 Conformed Copies.** If City prepares a conformed set of the Contract Documents following award of the Contract, it will provide Contractor with two hard copy (paper) sets and one copy of the electronic file in PDF format. It is Contractor's responsibility to ensure that all Subcontractors, including fabricators, are provided with the conformed set of the Contract Documents at Contractor's sole expense.

**3.7 Ownership.** No portion of the Contract Documents may be used for any purpose other than construction of the Project, without prior written consent from City. Contractor is deemed to have conveyed the copyright in any designs, drawings, specifications, Shop Drawings, or other documents (in paper or electronic form) developed by Contractor for the Project, and City will retain all rights to such works, including the right to possession.

## **Article 4 - Bonds, Indemnity, and Insurance**

**4.1 Payment and Performance Bonds.** Within ten days following issuance of the Notice of Award, Contractor is required to provide a payment bond and a performance bond, each in the penal sum of not less than 100 percent of the Contract Price, and each executed by Contractor and its surety using the bond forms included with the Contract Documents.

(A) **Surety.** Each bond must be issued and executed by a surety admitted in California. If an issuing surety cancels the bond or becomes insolvent, within seven days following written notice from City, Contractor must substitute a surety acceptable to City. If Contractor fails to substitute an acceptable surety within the specified time, City may, at its sole discretion, withhold payment from Contractor until the surety is replaced to City's satisfaction, or terminate the Contract for default.

(B) **Supplemental Bonds for Increase in Contract Price.** If the Contract Price increases during construction by five percent or more over the original Contract Price, Contractor must provide supplemental or

replacement bonds within ten days of written notice from City pursuant to this Section, covering 100% of the increased Contract Price and using the bond forms included with the Contract Documents.

**4.2 Indemnity.** To the fullest extent permitted by law, Contractor must indemnify, defend, and hold harmless City, its Council, officers, officials, employees, agents, volunteers, and consultants, (individually, an "Indemnitee," and collectively the "Indemnitees") from and against any and all liability, loss, damage, claims, causes of action, demands, charges, fines, costs, and expenses (including, without limitation, attorney fees, expert witness fees, paralegal fees, and fees and costs of litigation or arbitration) (collectively, "Liability") of every nature arising out of or in connection with the acts or omissions of Contractor, its employees, Subcontractors, representatives, or agents, in bidding or performing the Work or in failing to comply with any obligation of Contractor under the Contract, except such Liability caused by the active negligence, sole negligence, or willful misconduct of an Indemnitee. This indemnity requirement applies to any Liability arising from alleged defects in the content or manner of submission of Contractor's bid for the Contract. Contractor's failure or refusal to timely accept a tender of defense pursuant to this Contract will be deemed a material breach of this Contract. City will timely notify Contractor upon receipt of any third-party claim relating to the Contract, as required by Public Contract Code Section 9201. Contractor waives any right to express or implied indemnity against any Indemnitee. Contractor's indemnity obligations under this Contract will survive the expiration or any early termination of the Contract.

**4.3 Insurance.** No later than ten days following issuance of the Notice of Award, Contractor must procure and provide proof of the insurance coverage required by this Section in the form of certificates and endorsements acceptable to City. The required insurance must cover the activities of Contractor and its Subcontractors relating to or arising from the performance of the Work, and must remain in full force and effect at all times during the period covered by the Contract through the date of City's acceptance of the Project. The coverages may be arranged under a single policy for the full limits required or by a combination of underlying policies with the balance provided by excess or "umbrella" policies, provided each such policy complies with the requirements set forth herein. If Contractor fails to provide any of the required coverage in full compliance with the requirements of the Contract Documents, City may, at its sole discretion, purchase such coverage at Contractor's expense and deduct the cost from payments due to Contractor, or terminate the Contract for default. Contractor further understands that City reserves the right to modify the insurance requirements set forth herein, with thirty (30) days' notice provided to Contractor, at any time as deemed necessary to protect the interests of City. The procurement of the required insurance will not be construed to limit Contractor's liability under this Contract or to fulfill Contractor's indemnification obligations under this Contract.

(A) **Deductibles and Self-Insured Retentions.** Any deductibles or self-insured retentions must be declared to and approved by City. If the City's Risk Manager determines that the deductibles and/or self-insured retentions are unacceptably high, at City's option, Contractor must either reduce or eliminate the deductibles and/or self-insured retentions as they apply to City and all required Additional Insured; or must provide a financial guarantee, to City's satisfaction, guaranteeing payment of losses and related investigation, claim administration, and legal expenses.

(B) **Policies and Limits.** The following insurance policies and limits are required for this Contract unless otherwise specified in the Special Conditions:

- (1) **Commercial General Liability Insurance (“CGL”).** Contractor shall maintain CGL and must include coverage for liability arising from Contractor's or its Subcontractor's acts or omissions in the performance of the Work against claims and liabilities for personal injury, death, or property damage providing protection in the minimum amount of: (i) Five million dollars (\$5,000,000.00) combined single limit each occurrence and either a general aggregate limit of ten million dollars (\$10,000,000.00) or a general aggregate limit of five million dollars (\$5,000,000.00) as applied on a “per project” or “per location” basis, or (ii) the maximum amount of such insurance available to Contractor under Contractor's combined insurance policies (including any excess or “umbrella” policies), whichever is greater.
  - a. CGL policy may not exclude explosion, collapse, underground excavation hazard, or removal of lateral support.
  - b. CGL policy must include contractor's protected coverage, blanket contractual, and completed operations.
- (3) **Workers' Compensation Insurance and Employer's Liability:** Contractor shall maintain Workers Compensation coverage, as required by law. The policy must comply with the requirements of the California Workers' Compensation Insurance and Safety Act and provide protection in the minimum amount of: (i) One Million Dollars (\$1,000,000.00) for any one accident or occurrence, or (ii) the maximum amount of such insurance available to Contractor under Contractor's combined insurance policies (including any excess or “umbrella” policies), whichever is greater. If Contractor is self-insured, Contractor must provide its Certificate of Permission to Self-

Insure, duly authorized by the Department of Industrial Relations.

- (4) **Automobile Liability:** Contractor shall maintain Automobile Liability covering all owned, non-owned and hired automobiles (if Contractor does not own automobiles, then Contractor shall maintain Hired/Non-owned Automobile Liability) against claims and liabilities for personal injury, death, or property damage providing protection in the minimum amount of: (i) Five Million Dollars (\$5,000,000.00) combined single limit, or (ii) the maximum amount of such insurance available to Contractor under Contractor's combined insurance policies (including any excess or "umbrella" policies), whichever is greater.
- (5) **Pollution (Environmental) Liability:** If the performance of Contractor's work or service under this Contract involves hazardous materials, contaminated soil disposal, and/or a risk of accidental release of fuel oil, chemicals or other toxic gases or hazardous materials, Contractor shall procure and maintain Pollution Liability covering Contractor's liability for bodily injury, property damage and environmental damage resulting from pollution and related cleanup costs arising out of the work or services to be performed under this Contract. Coverage shall be provided for both work performed on site, as well as during the transport of hazardous materials. Such coverage shall be in the minimum amount of: (i) Two Million Dollars (\$2,000,000.00) for any one accident or occurrence, or (ii) the maximum amount of such insurance available to Contractor under Contractor's combined insurance policies (including any excess or "umbrella" policies), whichever is greater.
- (6) **Professional Liability:**
- a. If the performance of Contractor's work or service under this Contract involves professional and/or technical services (examples include, but are not limited to, architects, engineers, land surveyors, legal services, and appraisers), Contractor shall procure and maintain either a claims made or occurrence Errors and Omission liability insurance in the minimum amount of: (i) One Million Dollars (\$1,000,000.00) each claim, or (ii) the maximum amount of such insurance available to Contractor under Contractor's combined insurance policies (including any excess or "umbrella" policies), whichever is greater. Further, if Contractor maintains a claims-made policy, Contractor shall provide written evidence of such insurance to City for at least five (5)

years after the completion of work performed under this Contract.

- b. If the performance of Contractor's work or service under this Contract relates to Information Technology or related services (examples include, but are not limited to computer programmers, hardware engineers, or other systems consultants), Contractor shall procure and maintain a claims made Errors and Omission liability insurance, including Cyber Liability and Data Breach, in the minimum amount of: (i) One Million Dollars (\$1,000,000.00) each claim, or (ii) the maximum amount of such insurance available to Contractor under Contractor's combined insurance policies (including any excess or "umbrella" policies), whichever is greater.

**(C) Required Endorsements.** Contractor must provide proof of the following endorsements, listed for each policy for which endorsements are required, as outlined below:

- (1) For all Policies except Builder's Risk and Professional Liability:
  - a. "Waiver of Subrogation" endorsements providing that the carrier agrees to waive any right of subrogation it may have against the City of Morgan Hill and the City's elected or appointed officials, boards, agencies, officers, agents, employees, and volunteers.
- (2) General Liability, Automobile, and Pollution Liability:
  - a. "Additionally Insured" - The City of Morgan Hill, its elected or appointed officials, boards, agencies, officers, agents, employees, and volunteers are named as additional insureds on a form at least as broad as ISO Form CG 20 10 for ongoing operations and at least as broad as ISO Form CG 20 37 for completed operations.
  - b. "Primary and Non-Contributing" - Insurance shall be endorsed to be primary and non-contributory and will not seek contribution from the City's insurance or self-insurance and shall be at least as broad as ISO Form CG 20 01.
- (3) General Liability:
  - a. "Separation of Insureds" endorsements stating that the inclusion of more than one insured will not operate to impair the rights of one insured against another, and the coverages

afforded will apply as though separate policies have been issued to each insured.

(D) **Subcontractors.** Contractor must ensure that each Subcontractor is required to maintain the same insurance coverage required under this Section 4.3, with respect to its performance of Work on the Project, including those requirements related to the additional insureds and waiver of subrogation. Contractor must confirm that each Subcontractor has complied with requirements as outlined herein. The insurance requirements for Subcontractors do not replace or limit the Contractor's insurance obligations.

(E) **Qualification of Insurers.** All insurance required pursuant to this Contract must be issued by a company licensed and admitted, or otherwise legally authorized to carry out insurance business in the State of California, and each insurer must have a current A.M. Best's financial strength rating of "A" or better and a financial size rating of "VIII" or better.

(F) **Certificates.** Contractor must furnish City with copies of all certificates as outlined herein, whether new or modified, promptly upon receipt. In the event of a claim or legal action, CONSULTANT shall promptly furnish CITY of Morgan Hill with copies of all policies outlined herein. No policy subject to Contractor's Contract with City shall be reduced, canceled, allowed to expire, or materially changed except after thirty (30) days' notice by the insurer to City, unless due to non-payment of premiums, in which case ten (10) days written notice must be made to City. Certificates, including renewal certificates, may be mailed electronically to [riskmgmt@morganhill.ca.gov](mailto:riskmgmt@morganhill.ca.gov) or delivered to the Certificate Holder address as follows:

City of Morgan Hill  
Attn: Risk Management  
17575 Peak Avenue  
Morgan Hill, CA 95037

(G) **Contractor's Responsibilities.** This Section 4.3 establishes the minimum requirements for Contractor's insurance coverage in relation to this Project, but is not intended to limit Contractor's ability to procure additional or greater coverage. Contractor is responsible for its own risk assessment and needs and is encouraged to consult its insurance provider to determine what coverage it may wish to carry beyond the minimum requirements of this Section. Contractor is solely responsible for the cost of its insurance coverage, including premium payments, deductibles, or self-insured retentions, and no Additional Insured will be responsible or liable for any of the cost of Contractor's insurance coverage.

## Article 5 - Contract Time

- 5.1 Time is of the Essence.** Time is of the essence in Contractor's performance and completion of the Work, and Contractor must diligently prosecute the Work and complete it within the Contract Time.
- (A) **General.** Contractor must commence the Work on the date indicated in the Notice to Proceed, and must fully complete the Work in strict compliance with all requirements of the Contract Documents and within the Contract Time. Contractor may not begin performing the Work before the date specified in the Notice to Proceed.
- (B) **Authorization.** Contractor is not entitled to compensation or credit for any Work performed before the date specified in the Notice to Proceed, with the exception of any schedules, submittals, or other requirements, if any, that must be provided or performed before issuance of the Notice to Proceed
- (C) **Rate of Progress.** Contractor and its Subcontractors must, at all times, provide workers, materials, and equipment sufficient to maintain the rate of progress necessary to ensure full completion of the Work within the Contract Time. If City determines that Contractor is failing to prosecute the Work at a sufficient rate of progress, City may, in its sole discretion, direct Contractor to provide additional workers, materials, or equipment, or to work additional hours or days without additional cost to City, in order to achieve a rate of progress satisfactory to City. If Contractor fails to comply with City's directive in this regard, City may, at Contractor's expense, separately contract for additional workers, materials, or equipment or use City's own forces to achieve the necessary rate of progress. Alternatively, City may terminate the Contract based on Contractor's default.
- 5.2 Schedule Requirements.** Contractor must prepare all schedules using standard, commercial scheduling software acceptable to Engineer, and must provide the schedules in electronic and paper form as requested by the Engineer. In addition to the general scheduling requirements set forth below, Contractor must also comply with any scheduling requirements included in the Special Conditions or in the Technical Specifications.
- (A) **Baseline (As-Planned) Schedule.** Within ten calendar days following City's issuance of the Notice to Proceed (or as otherwise specified in the Notice to Proceed), Contractor must submit to City for review and acceptance a baseline as-planned schedule using critical path methodology showing in detail how Contractor plans to perform and fully complete the Work within the Contract Time including labor, equipment,

materials, and fabricated items. The baseline schedule must show the order of the major items of Work and the dates of start and completion of each item, including when the materials and equipment will be procured. The schedule must also include the work of all trades reflecting anticipated labor or crew hours and equipment loading for the construction activities, and must be sufficiently comprehensive and detailed to enable progress to be monitored on a day-by-day basis. For each activity, the baseline schedule must be dated, provided in the format specified in the Contract Documents or as required by City, and must include, at a minimum, a description of the activity, the start and completion dates of the activity, and the duration of the activity.

(1) *Specialized Materials Ordering.* Within five calendar days following issuance of the Notice to Proceed, Contractor must order any specialized material or equipment for the Work that is not readily available from material suppliers. Contractor must also retain documentation of the purchase order date(s).

(B) ***City's Review of Schedules.*** City will review and may note exceptions to the baseline schedule, and to the progress schedules submitted as required below, to assure completion of the Work within the Contract Time. Contractor is solely responsible for resolving any exceptions noted in a schedule and, within seven days, must correct the schedule to address the exceptions. City's review or acceptance of Contractor's schedules will not operate to waive or limit Contractor's duty to complete the Project within the Contract Time, nor to waive or limit City's right to assess liquidated damages for Contractor's unexcused failure to do so.

(C) ***Progress Schedules.*** After City accepts the final baseline schedule with no exceptions, Contractor must submit an updated progress schedule and three week look-ahead schedule, in the format specified by City, for review and acceptance with each application for a progress payment or when otherwise specified by City, until completion of the Work. The updated progress schedule must show: how the actual progress of the Work as constructed to date compares to the baseline schedule; reflect any proposed changes in the construction schedule or method of operations, including to achieve Project milestones within the Contract Time; and identify any actual or potential impacts to the critical path. Contractor must also submit periodic reports to City of any changes in the projected material or equipment delivery dates for the Project.

(1) *Float.* The progress schedule must show early and late completion dates for each task. The number of days between those dates will be designated as the "float." Any float belongs to the

Project and may be allocated by the Engineer to best serve timely completion of the Project.

(2) ***Failure to Submit Schedule.*** Reliable, up-to-date schedules are essential to efficient and cost-effective administration of the Project and timely completion. If Contractor fails to submit a schedule within the time periods specified in this Section, or submits a schedule to which City has noted exceptions that are not corrected, City may withhold up to five percent from payment(s) otherwise due to Contractor until the exceptions are resolved, the schedule is corrected and resubmitted, and City has accepted the schedule. In addition, Contractor's failure to comply with the schedule requirements in this Section 5.2 will be deemed a material default and a waiver of any claims for Excusable Delay or loss of productivity arising during any period when Contractor is out of compliance, subject only to the limits of Public Contract Code Section 7102.

(D) ***Recovery Schedule.*** If City determines that the Work is more than one week behind schedule, within seven days following written notice of such determination, Contractor must submit a recovery schedule, showing how Contractor intends to perform and complete the Work within the Contract Time, based on actual progress to date.

(E) ***Effect of Acceptance.*** Contractor and its Subcontractors must perform the Work in accordance with the most current City-accepted schedule unless otherwise directed by City. City's acceptance of a schedule does not operate to extend the time for completion of the Work or any component of the Work, and will not affect City's right to assess liquidated damages for Contractor's unexcused delay in completing the Work within the Contract Time.

(F) ***Posting.*** Contractor must at all times prominently post a copy of the most current City-accepted progress or recovery schedule in its on-site office.

(G) ***Reservation of Rights.*** City reserves the right to direct the sequence in which the Work must be performed or to make changes in the sequence of the Work in order to facilitate the performance of work by City or others, or to facilitate City's use of its property. The Contract Time or Contract Price may be adjusted to the extent such changes in sequence actually increase or decrease Contractor's time or cost to perform the Work.

(H) ***Authorized Working Days and Times.*** Contractor is limited to working Monday through Friday, excluding City of Morgan Hill-observed

holidays, during City's normal business hours, except as expressly provided in the Special Conditions, or as authorized in writing by City. City reserves the right to charge Contractor for additional costs incurred by City due to Work performed on days or during hours not expressly authorized in the Contract Documents, including reimbursement of costs incurred for inspection, testing, and construction management services.

### **5.3 Delay and Extensions of Contract Time.**

- (A) **Notice of Delay.** If Contractor becomes aware of any actual or potential delay affecting the critical path, Contractor must promptly notify the Engineer in writing, regardless of the nature or cause of the delay, so that City has a reasonable opportunity to mitigate or avoid the delay.
- (B) **Excusable Delay.** The Contract Time may be extended if Contractor encounters "Excusable Delay," which is an unavoidable delay in completing the Work within the Contract Time due to causes completely beyond Contractor's control, and which Contractor could not have avoided or mitigated through reasonable care, planning, foresight, and diligence, provided that Contractor is otherwise fully performing its obligations under the Contract Documents. Grounds for Excusable Delay may include fire, natural disasters, including earthquake or unusually severe weather, acts of terror or vandalism, epidemic, unforeseeable adverse government actions, unforeseeable actions of third parties, encountering unforeseeable hazardous materials, unforeseeable site conditions, or suspension for convenience under Article 13. The Contract Time will not be extended based on circumstances which will not unavoidably delay completing the Work within the Contract Time based on critical path analysis.
- (C) **Weather Delays.** A "Weather Delay Day" is a Working Day during which Contractor and its forces, including Subcontractors, are unable to perform more than 40% of the critical path Work scheduled for that day due to adverse weather conditions which impair the ability to safely or effectively perform the scheduled critical path Work that day. Adverse weather conditions may include rain, saturated soil, and Project site clean-up required due to adverse weather. Determination of what constitutes critical path Work scheduled for that day will be based on the most current, City-approved schedule. Contractor will be entitled to a non-compensable extension of the Contract Time for each Weather Delay Day in excess of the normal Weather Delay Days within a given month as determined by reliable records, including monthly rainfall averages, for the preceding ten years (or as otherwise specified in the Special Conditions or Specifications).

- (1) Contractor must fully comply with the applicable procedures in Articles 5 and 6 of the General Conditions regarding requests to modify the Contract Time.
- (2) Contractor will not be entitled to an extension of time for a Weather Delay Day to the extent Contractor is responsible for concurrent delay on that day.
- (3) Contractor must take reasonable steps to mitigate the consequences of Weather Delay Days, including prudent workforce management and protecting the Work, Project Site, materials, and equipment.

(D) **Non-Excusable Delay.** Delay which Contractor could have avoided or mitigated through reasonable care, planning, foresight, and diligence is "Non-Excusable Delay." Contractor is not entitled to an extension of Contract Time or any compensation for Non-Excusable Delay, or for Excusable Delay that is concurrent with Non-Excusable Delay. Non-Excusable Delay includes delay caused by:

- (1) weather conditions which are normal for the location of the Project, as determined by reliable records, including monthly rainfall averages, for the preceding ten years;
- (2) Contractor's failure to order equipment and materials sufficiently in advance of the time needed for completion of the Work within the Contract Time;
- (3) Contractor's failure to provide adequate notification to utility companies or agencies for connections or services necessary for completion of the Work within the Contract Time;
- (4) foreseeable conditions which Contractor could have ascertained from reasonably diligent inspection of the Project site or review of the Contract Documents or other information provided or available to the Contractor;
- (5) Contractor's failure, refusal, or financial inability to perform the Work within the Contract Time, including insufficient funds to pay its Subcontractors or suppliers.
- (6) performance or non-performance by Contractor's Subcontractors or suppliers;

- (7) the time required to respond to excessive RFIs (see Section 2.5(G));
- (8) delayed submission of required submittals, or the time required for correction and resubmission of defective submittals;
- (9) time required for repair of, re-testing, or re-inspection of defective Work;
- (10) enforcement of Laws by City, or outside agencies with jurisdiction over the Work; or
- (11) City's exercise or enforcement of any of its rights or Contractor's duties pursuant to the Contract Documents, including correction of defective Work, extra inspections or testing due to non-compliance with Contract requirements, safety compliance, environmental compliance, or rejection and return of defective or deficient submittals.

(E) **Compensable Delay.** Pursuant to Public Contract Code Section 7102, in addition to entitlement to an extension of Contract Time, Contractor is entitled to compensation for costs incurred due to delay caused solely by City, when that delay is unreasonable under the circumstances involved and not within the contemplation of the parties ("Compensable Delay"). Contractor is not entitled to an extension of Contract Time or recovery of costs for Compensable Delay that is concurrent with Non-Excusable Delay. Delay due to causes that are beyond the control of either City or Contractor, including Weather Delay Days, discovery of Historic or Archeological Items pursuant to Section 7.18, or the actions or inactions of third parties or other agencies, is not Compensable Delay, and will only entitle Contractor to an extension of time commensurate with the time lost due to such delay.

(F) **Recoverable Costs.** Contractor is not entitled to compensation for Excusable Delay unless it is Compensable Delay, as defined above. Contractor is entitled to recover only the actual, direct, reasonable, and substantiated costs ("Recoverable Costs") for each working day that the Compensable Delay prevents Contractor from proceeding with more than 50% of the critical path Work scheduled for that day, based on the most recent progress schedule accepted by City. Recoverable Costs will not include home office overhead or lost profit.

(G) **Request for Extension of Contract Time or Recoverable Costs.** A request for an extension of Contract Time or any associated Recoverable Costs must be submitted in writing to City within ten calendar days of the date the delay is first encountered, even if the duration of the

delay is not yet known at that time, or any entitlement to the Contract Time extension or to the Recoverable Costs will be deemed waived. In addition to complying with the requirements of this Article 5, the request must be submitted in compliance with the Change Order request procedures in Article 6, below. Strict compliance with these requirements is necessary to ensure that any delay or consequences of delay may be mitigated as soon as possible, and to facilitate cost-efficient administration of the Project and timely performance of the Work. Any request for an extension of Contract Time or Recoverable Costs that does not strictly comply with all of the requirements of Article 5 and Article 6 will be deemed waived.

(1) *Required Contents.* The request must include a detailed description of the cause(s) of the delay, and must also describe the measures that Contractor has taken to mitigate the delay and/or its effects, including efforts to mitigate the cost impact of the delay, such as by workforce management, or by a change in sequencing. If the delay is still ongoing at the time the request is submitted, the request should also include Contractor's plan for continued mitigation of the delay or its effects.

(2) *Delay Days and Costs.* The request must specify the number of days of Excusable Delay claimed, or provide a realistic estimate if the duration of the delay is not yet known. If the Contractor believes it is entitled to Recoverable Costs for Compensable Delay, the request must specify the amount of and basis for the Recoverable Costs that are claimed or provide a realistic estimate if the amount is not yet known. Any estimate of delay duration or cost must be updated in writing and submitted with all required supporting documentation as soon as the actual time and cost is known. The maximum extension of Contract Time will be the number of days, if any, by which an Excusable Delay or a Compensable Delay exceeds any concurrent Non-Excusable Delay. Contractor is entitled to an extension of Contract Time, or compensation for Recoverable Costs, only if, and only to the extent that, such delay will unavoidably delay Final Completion.

(3) *Supporting Documentation.* The request must also include any and all supporting documentation necessary to evidence the delay and its actual impacts, including scheduling and cost impacts, with a time impact analysis using critical path methodology, and demonstrating the unavoidable delay to Final Completion. The time impact analysis must be submitted in a form or format acceptable to City.

(4) *Burden of Proof.* Contractor has the burden of proving that the delay was an Excusable or Compensable Delay, as defined above;

Contractor has fully complied with its scheduling obligations in Section 5.2, Schedule Requirements; Contractor has made reasonable efforts to mitigate the delay and its schedule and cost impacts; the delay will unavoidably result in delaying Final Completion, and any Recoverable Costs claimed by Contractor were actually incurred and were reasonable under the circumstances.

(5) *Legal Compliance.* Nothing in this Section 5.3 is intended to require the waiver, alteration, or limitation of the applicability of Public Contract Code Section 7102.

(6) *No Waiver.* Any grant of an extension of Contract Time or compensation for Recoverable Costs due to Compensable Delay will not operate as a waiver of City's right to assess liquidated damages for Non-Excusable Delay.

(7) *Dispute Resolution.* In the event of a dispute over entitlement to an extension of Contract Time or compensation for Recoverable Costs, Contractor may not stop Work pending resolution of the dispute, but must continue to comply with its duty to diligently prosecute the performance and timely completion of the Work. Contractor's sole recourse for an unresolved dispute based on City's rejection of a Change Order request for an extension of Contract Time or compensation for Recoverable Costs is to comply with the dispute resolution provisions set forth in Article 12, below.

**5.4 Liquidated Damages.** It is expressly understood that if Final Completion is not achieved within the Contract Time, City will suffer damages from the delay that are difficult to determine and accurately specify. Pursuant to Public Contract Code section 7203, if Contractor fails to achieve Final Completion within the Contract Time, City will charge Contractor in the amount specified in the Contract for each calendar day that Final Completion is delayed beyond the Contract Time, as liquidated damages and not as a penalty. Any waiver of accrued liquidated damages, in whole or in part, is subject to approval of the City Council or its authorized delegatee.

(A) **Liquidated Damages.** Liquidated damages will not be assessed for any Excusable or Compensable Delay, as set forth above.

(B) **Milestones.** Liquidated damages may also be separately assessed for failure to meet milestones specified elsewhere in the Contract Documents.

(C) **Setoff.** City is entitled to deduct the amount of liquidated damages assessed against any payments otherwise due to Contractor, including progress payments, Final Payment, or unreleased retention. If there are insufficient Contract funds remaining to cover the full amount of liquidated damages assessed, City is entitled to recover the balance from Contractor or its performance bond surety.

(D) **Occupancy or Use.** Occupancy or use of the Project in whole or in part prior to Final Completion does not constitute City's acceptance of the Project and will not operate as a waiver of City's right to assess liquidated damages for Contractor's Non-Excusable Delay in achieving Final Completion.

(E) **Other Remedies.** City's right to liquidated damages under this Section applies only to damages arising from Contractor's Non-Excusable Delay or failure to complete the Work within the Contract Time. City retains its right to pursue all other remedies under the Contract for other types of damage, including damage to property or persons, costs, or diminution in value from defective materials or workmanship, costs to repair or complete the Work, or other liability caused by Contractor.

## Article 6 - Contract Modification

**6.1 Contract Modification.** Subject to the limited exception set forth in subsection (D) below, any change in the Work or the Contract Documents, including the Contract Price or Contract Time, will not be a valid and binding change to the Contract unless it is formalized in a Change Order, including a "no-cost" Change Order or a unilateral Change Order. Changes in Work will not operate to release, limit, or abridge Contractor's warranty obligations pursuant to Article 11 or any obligations of Contractor's bond sureties.

(A) **City-Directed Changes.** City may direct changes in the scope or sequence of Work or the requirements of the Contract Documents, without invalidating the Contract. Such changes may include Extra Work as set forth in subsection (C) below, or deletion or modification of portions of the Work. Contractor must promptly comply with City-directed changes in the Work in accordance with the intent of the original Contract Documents, even if Contractor and City have not yet reached agreement as to adjustments to the Contract Price or Contract Time for the change in the Work or for the Extra Work. Contractor is not entitled to extra compensation for cost savings resulting from "value engineering" pursuant to Public Contract Code Section 7101, except to the extent authorized in advance by City in writing, and subject to any applicable procedural requirements for submitting a proposal for value engineering cost savings.

- (B) **Disputes.** In the event of a dispute over entitlement to or the amount of a change in Contract Time or a change in Contract Price related to extra City-directed change in the Work, Contractor must perform the Work as directed and may not delay its Work or cease Work pending resolution of the dispute, but must continue to comply with its duty to diligently prosecute the performance and timely completion of the Work, including the Work in dispute. Likewise, in the event that City and Contractor dispute whether a portion or portions of the Work are already required by the Contract Documents or constitute Extra Work, or otherwise dispute the interpretation of any portion(s) of the Contract Documents, Contractor must perform the Work as directed and may not delay its Work or cease Work pending resolution of the dispute, but must continue to comply with its duty to diligently prosecute the performance and timely completion of the Work, including the Work in dispute, as directed by City. If Contractor refuses to perform the Work in dispute, City may, acting in its sole discretion, elect to delete the Work from the Contract and reduce the Contract Price accordingly, and self-perform the Work or direct that the Work be performed by others. Alternatively, City may elect to terminate the Contract for convenience or for cause. Contractor's sole recourse for an unresolved dispute related to changes in the Work or performance of any Extra Work is to comply with the dispute resolution provisions set forth in Article 12, below.
- (C) **Extra Work.** City may direct Contractor to perform Extra Work related to the Project. Contractor must promptly perform any Extra Work as directed or authorized by City in accordance with the original Contract Documents, even if Contractor and City have not yet reached agreement on adjustments to the Contract Price or Contract Time for such Extra Work. If Contractor believes it is necessary to perform Extra Work due to changed conditions, Contractor must promptly notify the Engineer in writing, specifically identifying the Extra Work and the reason(s) the Contractor believes it is Extra Work. This notification requirement does not constitute a Change Order request pursuant to Section 6.2, below. Contractor must maintain detailed daily records that itemize the cost of each element of Extra Work, and sufficiently distinguish the direct cost of the Extra Work from the cost of other Work performed. For each day that Contractor performs Extra Work, or Work that Contractor contends is Extra Work, Contractor must submit no later than the following Working Day, a daily report of the Extra Work performed that day and the related costs, together with copies of certified payroll, invoices, and other documentation substantiating the costs ("Extra Work Report"). The Engineer will make any adjustments to Contractor's Extra Work Report(s) based on the Engineer's records of the Work. When an Extra Work Report(s) is agreed on and signed by both City and Contractor, the Extra Work

Report(s) will become the basis for payment under a duly authorized and signed Change Order. Failure to submit the required documentation by close of business on the next Working Day is deemed a full and complete waiver for any change in the Contract Price or Contract Time for any Extra Work performed that day.

- (D) ***Minor Changes and RFIs.*** Minor field changes, including RFI replies from City, that do not affect the Contract Price or Contract Time and that are approved by the Engineer acting within his or her scope of authority, do not require a Change Order. By executing an RFI reply from City, Contractor agrees that it will perform the Work as clarified therein, with no change to the Contract Price or Contract Time.
- (E) ***Remedy for Non-Compliance.*** Contractor's failure to promptly comply with a City-directed change is deemed a material breach of the Contract, and in addition to all other remedies available to it, City may, at its sole discretion, hire another contractor or use its own forces to complete the disputed Work at Contractor's sole expense, and may deduct the cost from the Contract Price.

**6.2 Contractor Change Order Requests.** Contractor must submit a request or proposal for a change in the Work, compensation for Extra Work, or a change in the Contract Price or Contract Time as a written Change Order request or proposal.

(A) ***Time for Submission.*** Any request for a change in the Contract Price or the Contract Time must be submitted in writing to the Engineer within ten calendar days of the date that Contractor first encounters the circumstances, information or conditions giving rise to the Change Order request, even if the total amount of the requested change in the Contract Price or impact on the Contract Time is not yet known at that time. If City requests that Contractor propose the terms of a Change Order, unless otherwise specified in City's request, Contractor must provide the Engineer with a written proposal for the change in the Contract Price or Contract Time within five working days of receiving City's request, in a form satisfactory to the Engineer.

(B) ***Required Contents.*** Any Change Order request or proposal submitted by Contractor must include a complete breakdown of actual or estimated costs and credits, and must itemize labor, materials, equipment, taxes, insurance, subcontract amounts, and if applicable, Extra Work Reports. Any estimated cost must be updated in writing as soon as the actual amount is known.

(C) ***Required Documentation.*** All claimed costs must be fully documented, and any related request for an extension of time or delay-

related costs must be included at that time and in compliance with the requirements of Article 5 of the General Conditions. Upon request, Contractor must permit City to inspect its original and unaltered bidding records, subcontract agreements, subcontract change orders, purchase orders, invoices, or receipts associated with the claimed costs.

(D) **Required Form.** Contractor must use City's form(s) for submitting all Change Order requests or proposals, unless otherwise specified by City.

(E) **Certification.** All Change Order requests must be signed by Contractor and must include the following certification:

"The undersigned Contractor certifies under penalty of perjury that its statements and representations in this Change Order request are true and correct. Contractor warrants that this Change Order request is comprehensive and complete as to the Work or Changes referenced herein, and agrees that any costs, expenses, or time extension requests not included herein are deemed waived."

**6.3 Adjustments to Contract Price.** The amount of any increase or decrease in the Contract Price will be determined based on one of the following methods listed below, in the order listed with unit pricing taking precedence over the other methods. Markup applies only to City-authorized time and material Work, and does not apply to any other payments to Contractor. For Work items or components that are deleted in their entirety, Contractor will only be entitled to compensation only for those direct, actual, and documented costs (including restocking fees), reasonably incurred before Contractor was notified of the City's intent to delete the Work, with no markup for overhead, profit, or other indirect costs.

(A) **Unit Pricing.** Amounts previously provided by Contractor in the form of unit prices, either in a bid schedule or in a post-award schedule of values pursuant to Section 8.1 Schedule of Values, will apply to determine the price for the affected Work, to the extent applicable unit prices have previously been provided for that type of Work. No additional markup for overhead, profit, or other indirect costs. will be added to the calculation.

(B) **Lump Sum.** A mutually agreed upon, all-inclusive lump sum price for the affected Work with no additional markup for overhead, profit, or other indirect costs;

(C) **Time and Materials.** On a time and materials basis, if and only to the extent compensation on a time and materials basis is expressly

authorized by City in advance of Contractor's performance of the Work and subject to any not-to-exceed limit. Time and materials compensation for increased costs or Extra Work (but not decreased costs or deleted Work), will include allowed markup for overhead, profit, and other indirect costs, and which may include a not-to-exceed limit, calculated as the total of the following sums, the cumulative total of which may not exceed the maximum markup rate of 15%:

- (1) All direct labor costs provided by the Contractor, excluding superintendence, project management, or administrative costs plus 15 percent markup;
- (2) All direct material costs provided by the Contractor, including sales tax, plus 15 percent markup;
- (3) All direct plant and equipment rental costs provided by the Contractor, plus 15 percent markup;
- (4) All direct additional subcontract costs plus ten percent markup for Work performed by Subcontractors; and
- (5) Increased bond or insurance premium costs computed at 1.5% percent of total of the previous four sums.

**6.4 Unilateral Change Order.** If the parties dispute the terms of a proposed Change Order, including disputes over the amount of compensation or extension of time that Contractor has requested, the value of deleted or changed Work, what constitutes Extra Work, or quantities used, City may elect to issue a unilateral Change Order, directing performance of the Work, and authorizing a change in the Contract Price or Contract Time for the adjustment to compensation or time that the City believes is merited. Contractor's sole recourse to dispute the terms of a unilateral Change Order is to submit a timely Claim pursuant to Article 12, below.

**6.5 Non-Compliance Deemed Waiver.** Contractor waives its entitlement to any increase in the Contract Price or Contract Time if Contractor fails to fully comply with the provisions of this Article. Contractor will not be paid for unauthorized Extra Work.

## Article 7 - General Construction Provisions

### 7.1 Permits, Fees, Business License, and Taxes.

- (A) **Permits, Fees, and City Business License.** Contractor must obtain and pay for all permits, fees, and licenses required to perform the Work, including a City business license. Contractor must cooperate with and provide notifications to all government agencies with jurisdiction over the Project, as may be required. Contractor must provide City with copies of all records of permits and permit applications, payment of required fees, and any licenses required for the Work.
- (B) **Taxes.** Contractor must pay for all taxes on labor, material, and equipment, except Federal Excise Tax to the extent that City is exempt from Federal Excise Tax.

### 7.2 Temporary Facilities.

Contractor must provide, at Contractor's sole expense, any and all temporary facilities for the Project, including an onsite staging area for materials and equipment, a field office, sanitary facilities, utilities, storage, scaffolds, barricades, walkways, and any other temporary structure required to safely perform the Work along with any incidental utility services. The location of all temporary facilities must be approved by the City prior to installation. Temporary facilities must be safe and adequate for the intended use, and installed and maintained in accordance with Laws and the Contract Documents. Contractor must fence and screen the Project site and, if applicable, any separate Worksites, including the staging area, and its operation must minimize inconvenience to neighboring properties. Additional provisions pertaining to temporary facilities may be included in the Specifications or Special Conditions.

- (A) **Utilities.** Contractor must install and maintain the power, water, sewer, and all other utilities required for the Project site, including the piping, wiring, internet and Wi-Fi connections, and any related equipment necessary to maintain the temporary facilities.
- (B) **Removal and Repair.** Contractor must promptly remove all such temporary facilities when they are no longer needed or upon completion of the Work, whichever comes first. Contractor must promptly repair any damage to City's property or to other property caused by the installation, use, or removal of the temporary facilities, and must promptly restore the property to its original or intended condition.

### 7.3 Noninterference and Site Management.

Contractor must avoid interfering with City's use of its property at or adjacent to the Project site, including use of roadways, entrances, parking areas, walkways, and

structures. Contractor must also minimize disruption of access to private property in the Project vicinity. Contractor must coordinate with affected property owners, tenants, and businesses, and maintain some vehicle and pedestrian access to their residences or properties at all times. Temporary access ramps, fencing or other measures must be provided as needed. Before blocking access to a private driveway or parking lot, Contractor must provide effective notice to the affected parties at least 48 hours in advance of the pending closure and allow them to remove vehicles. Private driveways, residences and parking lots must have access to a roadway during non-Work hours.

(A) **Offsite Acquisition.** Unless otherwise provided by City, Contractor must acquire, use, and dispose of, at its sole expense, any Worksites, licenses, easements, and temporary facilities necessary to access and perform the Work.

(B) **Offsite Staging Area and Field Office.** If additional space beyond the Project site is needed, such as for the staging area or the field office, Contractor may need to make arrangements with the nearby property owner(s) to secure the space. Before using or occupying any property owned by a third party, Contractor must provide City with a copy of the necessary license agreement, easement, or other written authorization from the property owner, together with a written release from the property owner holding City harmless from any related liability, in a form acceptable to the City Attorney.

(C) **Traffic Management.** Contractor must provide traffic management and traffic controls as specified in the Contract Documents, as required by Laws, and as otherwise required to ensure public and worker safety, and to avoid interference with public or private operations or the normal flow of vehicular, bicycle, or pedestrian traffic.

**7.4 Signs.** No signs may be displayed on or about City's property, except signage which is required by Laws or by the Contract Documents, without City's prior written approval as to size, design, and location.

## **7.5 Project Site and Nearby Property Protections.**

(A) **General.** Contractor is responsible at all times, on a 24-hour basis and at its sole cost for protecting the Work, the Project site, and the materials and equipment to be incorporated into the Work until the City has accepted the Project, excluding any exceptions to acceptance, if any. Except as specifically authorized by City, Contractor must confine its operations to the area of the Project site indicated in the Plans and Specifications. Contractor is liable for any damage caused by Contractor or its Subcontractors to the Work, City's property, the property of adjacent

or nearby property owners and the work or personal property of other contractors working for City, including damage related to Contractor's failure to adequately secure the Work or any Worksite.

- (1) Subject to City's approval, Contractor will provide and install safeguards to protect the Work; any Worksite, including the Project site; City's real or personal property and the real or personal property of adjacent or nearby property owners, including plant and tree protections.
- (2) City wastewater systems may not be interrupted. If the Work disrupts existing sewer facilities, Contractor must immediately notify City and establish a plan, subject to City's approval, to convey the sewage in closed conduits back into the sanitary sewer system. Sewage must not be permitted to flow in trenches or be covered by backfill.
- (3) Contractor must remove with due care, and store at City's request, any objects or material from the Project site that City will salvage or reuse at another location.
- (4) If directed by Engineer, Contractor must promptly repair or replace any property damage, as specified by the Engineer. However, acting in its sole discretion, City may elect to have the property damage remedied otherwise, and may deduct the cost to repair or replace the damaged property from payment otherwise due to Contractor.
- (5) Contractor will not permit any structure or infrastructure to be loaded in a manner that will damage or endanger the integrity of the structure or infrastructure.

(B) **Securing Project Site.** After completion of Work each day, Contractor must secure the Project site and, to the extent feasible, make the area reasonably accessible to the public unless City approves otherwise. All excess materials and equipment not protected by approved traffic control devices must be relocated to the staging area or demobilized. Trench spoils must be hauled off the Project site daily and open excavations must be protected with steel plates. Contractor and Subcontractor personnel may not occupy or use the Project site for any purpose during non-Work hours, except as may be provided in the Contract Documents or pursuant to prior written authorization from City.

(C) **Unforeseen Conditions.** If Contractor encounters facilities, utilities, or other unknown conditions not shown on or reasonably inferable from

the Plans or apparent from inspection of the Project site, Contractor must immediately notify the City and promptly submit a Request for Information to obtain further directions from the Engineer. Contractor must avoid taking any action which could cause damage to the facilities or utilities pending further direction from Engineer. The Engineer's written response will be final and binding on Contractor. If Engineer's subsequent direction to Contractor affects Contractor's cost or time to perform the Work, Contractor may submit a Change Order request as set forth in Article 6 above.

- (D) ***Support; Adjacent Properties.*** Contractor must provide, install, and maintain all shoring, bracing, and underpinning necessary to provide support to City's property and adjacent properties and improvements thereon. Contractor must provide notifications to adjacent property owners as may be required by Laws. See also Section 7.15 Trenching of Five Feet or More.
- (E) ***Notification of Property Damage.*** Contractor must immediately notify the City of damage to any real or personal property resulting from Work on the Project. Contractor must immediately provide a written report to City of any such property damage in excess of \$500 (based on estimated cost to repair or replace) within 24 hours of the occurrence. The written report must include: (1) the location and nature of the damage, and the owner of the property, if known; (2) the name and address of each employee of Contractor or any Subcontractor involved in the damage; (3) a detailed description of the incident, including precise location, time, and names and contact information for known witnesses; and (4) a police or first responder report, if applicable. If Contractor is required to file an accident report with another government agency, Contractor will provide a copy of the report to City.

## **7.6 Materials and Equipment.**

- (A) ***General.*** Unless otherwise specified, all materials and equipment required for the Work must be new, free from defects, and of the best grade for the intended purpose, and furnished in sufficient quantities to ensure the proper and expeditious performance of the Work. Contractor must employ measures to preserve the specified quality and fitness of the materials and equipment. Unless otherwise specified, all materials and equipment required for the Work are deemed to include all components required for complete installation and intended operation, and must be installed in accordance with the manufacturer's recommendations or instructions. Contractor is responsible for all shipping, handling, and storage costs associated with the materials and equipment required for the Work. Contractor is responsible for providing security and protecting

the Work and all of the required materials, supplies, tools and equipment at Contractor's sole cost until City has formally accepted the Project as set forth in Section 11.1, Final Completion. Contractor will not assign, sell, mortgage, or hypothecate any materials or equipment for the Project, or remove any materials or equipment that have been installed or delivered.

(B) ***City-Provided.*** If the Work includes installation of materials or equipment to be provided by City, Contractor is solely responsible for the proper examination, handling, storage, and installation in accordance with the Contract Documents. Contractor must notify City of any defects discovered in City-provided materials or equipment sufficiently in advance of scheduled use or installation to afford adequate time to procure replacement materials or equipment as needed. Contractor is solely responsible for any loss of or damage to such items which occurs while the items are in Contractor's custody and control, the cost of which may be offset from the Contract Price and deducted from any payment(s) due to Contractor.

(C) ***Intellectual Property Rights.*** Contractor must, at its sole expense, obtain any authorization or license required for use of patented or copyright-protected materials, equipment, devices, or processes that are incorporated into the Work. Contractor's indemnity obligations in Article 4 apply to any claimed violation of intellectual property rights in violation of this provision.

## 7.7 Substitutions.

(A) ***“Or Equal.”*** Any Specification designating a material, product, or thing (collectively “item”) or service by specific brand or trade name, followed by the words “or equal,” is intended only to indicate the quality and type of item or service desired, and Contractor may request use of any equal item or service. Unless otherwise stated in the Specifications, any reference to a specific brand or trade name for an item or service that is used solely for the purpose of describing the type of item or service desired, will be deemed to be followed by the words “or equal.” A substitution will only be approved if it is a true “equal” item or service in every aspect of design, function, and quality, as determined by City, including dimensions, weight, maintenance requirements, durability, fit with other elements, and schedule impacts.

(B) ***Request for Substitution.*** A post-award request for substitution of an item or service must be submitted in writing to the Engineer for approval in advance, within the applicable time period provided in the Contract Documents. If no time period is specified, the substitution request may be submitted any time within 35 days after the date of award of the

Contract, or sufficiently in advance of the time needed to avoid delay of the Work, whichever is earlier.

(C) ***Substantiation.*** Any available data substantiating the proposed substitute as an equal item or service must be submitted with the written request for substitution. Contractor's failure to timely provide all necessary substantiation, including any required test results as soon as they are available, is grounds for rejection of the proposed substitution, without further review.

(D) ***Burden of Proving Equality.*** Contractor has the burden of proving, at Contractor's sole cost, the equality of the proposed substitution. City has sole discretion to determine whether a proposed substitution is equal, and City's determination is final.

(E) ***Approval or Rejection.*** If the proposed substitution is approved, Contractor is solely responsible for any additional costs or time associated with the substituted item or service. If the proposed substitution is rejected, Contractor must, without delay, install the item or use the service as specified by the City.

(F) ***Contractor's Obligations.*** City's approval of a proposed substitution will not relieve Contractor from any of its obligations under the Contract Documents. In the event Contractor makes an unauthorized substitution, Contractor will be solely responsible for all resulting cost impacts, including the cost of removal and replacement and the impact to other design elements.

## 7.8 Testing and Inspection.

(A) ***General.*** All materials, equipment, and workmanship used in the Work are subject to inspection and testing by City at all times and locations during construction and/or fabrication and at any Worksite, including at shops and yards as well as at the Project site. All manufacturers' application or installation instructions must be provided to the Inspector at least ten days prior to the first such application or installation. Contractor must, at all times, make the Work available for testing or inspection. Neither City's inspection or testing of Work, nor its failure to do so, operate to waive or limit Contractor's duty to complete the Work in accordance with the Contract Documents.

(B) ***Scheduling and Notification.*** Contractor must cooperate with City in coordinating the inspections and testing. Contractor must submit samples of materials, at Contractor's expense, and schedule all tests required by the Contract Documents in time to avoid any delay to the progress of the Work. Contractor must notify the Engineer no later than

noon of the Working Day before any inspection or testing and must provide timely notice to the other necessary parties as specified in the Contract Documents. If Contractor schedules an inspection or test beyond regular Work hours, or on a Saturday, Sunday, or recognized City holiday, Contractor must notify the Engineer at least two Working Days in advance for approval. If approved, Contractor must reimburse City for the cost of the overtime inspection or testing. Such costs, including the City's hourly costs for required personnel, may be deducted from payments otherwise due to Contractor.

(C) **Responsibility for Costs.** City will bear the initial cost of inspection and testing to be performed by independent consultants retained by City, subject to the following exceptions:

- (1) Contractor will be responsible for the costs of any subsequent inspections or tests which are required to substantiate compliance with the Contract Documents, and any associated remediation costs.
- (2) Contractor will be responsible for inspection costs, at City's hourly rates, for inspection time lost because the Work is not ready, or Contractor fails to appear for a scheduled inspection.
- (3) If any portion of the Work that is subject to inspection or testing is covered or concealed by Contractor prior to the inspection or testing, Contractor will bear the cost of making that portion of the Work available for the inspection or testing required by the Contract Documents, and any associated repair or remediation costs.
- (4) Contractor is responsible for properly shoring all compaction test sites deeper than five feet below grade, as required under Section 7.15 below.
- (5) Any Work or material that is defective or fails to comply with the requirements of the Contract Documents must be promptly repaired, removed, replaced, or corrected by Contractor, at Contractor's sole expense, even if that Work or material was previously inspected or included in a progress payment.

(D) **Contractor's Obligations.** Contractor is solely responsible for any delay occasioned by remediation of defective or of noncompliant Work or material. Inspection or testing of the Work does not in any way relieve Contractor of its obligations to perform the Work as specified. Any Work done without the inspection(s) or testing required by the Contract Documents will be subject to rejection by City.

(E) ***Distant Locations.*** If required off-site testing or inspection must be conducted at a location more than 100 miles from the Project site, Contractor is solely responsible for the additional travel costs required for testing and/or inspection at such locations.

(F) ***Final Inspection.*** The provisions of this Section 7.8 also apply to final inspection under Article 11, Completion and Warranty Provisions.

**7.9 Project Site Conditions and Maintenance.** Contractor must at all times, on a 24-hour basis and at its sole cost, maintain the Project site and staging and storage areas in clean, neat, and sanitary condition and in compliance with all Laws pertaining to safety, air quality, and dust control. Adequate toilets must be provided, and properly maintained and serviced for all workers on the Project site, located in a suitably secluded area, subject to City's prior approval. Contractor must also, on a daily basis and at its sole cost, remove and properly dispose of the debris and waste materials from the Project site.

(A) ***Air Emissions Control.*** Contractor must not discharge smoke or other air contaminants into the atmosphere in violation of any Laws. Contractor must comply with all Laws, including the California Air Resources Board's In-Use Off-Road Diesel-Fueled Fleets Regulation (13 CCR § 2449 et seq.).

(B) ***Dust and Debris.*** Contractor must minimize and confine dust and debris resulting from the Work. Contractor must abate dust nuisance by cleaning, sweeping, and immediately sprinkling with water excavated areas of dirt or other materials prone to cause dust, and within one hour after the Engineer notifies Contractor that an airborne nuisance exists. The Engineer may direct that Contractor provide an approved water-spraying truck for this purpose. If water is used for dust control, Contractor will only use the minimum necessary. Contractor must take all necessary steps to keep wastewater out of streets, gutters, or storm drains. See Section 7.19, Environmental Control. If City determines that the dust control is not adequate, City may have the work done by others and deduct the cost from the Contract Price. Contractor will immediately remove any excess excavated material from the Project site and any dirt deposited on public streets.

(C) ***Clean up.*** Before discontinuing Work in an area, Contractor must clean the area and remove all rubbish debris and waste along with the construction equipment, tools, machinery, waste, and surplus materials. Contractor must, at all times, minimize and confine dust and debris resulting from construction activities.

(1) Except as otherwise specified, all excess Project materials, and the materials removed from existing improvements on the Project site with no salvage value or intended reuse by City, will be Contractor's property.

(2) Hauling trucks and other vehicles leaving the Project site must be cleaned of exterior mud or dirt before traveling on City streets. Materials and loose debris must be delivered and loaded to prevent dropping materials or debris. Contractor must immediately remove spillage from hauling on any publicly traveled way. Streets affected by Work on the Project must be kept clean by street sweeping.

(D) **Disposal.** Contractor must dispose of all Project debris and waste materials in a safe and legal manner. Contractor may not burn or bury waste materials on the Project site. Contractor will not allow any dirt, refuse, excavated material, surplus concrete or mortar, or any associated washings, to be disposed of onto streets, into manholes or into the storm drain system.

(E) **Completion.** At the completion of the Work, Contractor must remove from the Project site all of its equipment, tools, surplus materials, waste materials and debris., presenting a clean and neat appearance. Before demobilizing from the Project site, Contractor must ensure that all surfaces are cleaned, sealed, waxed, or finished as applicable, and that all marks, stains, paint splatters, and the like have been properly removed from the completed Work and the surrounding areas. Contractor must ensure that all parts of the construction are properly joined with the previously existing and adjacent improvements and conditions. Contractor must provide all cutting, fitting, and patching needed to accomplish that requirement. Contractor must also repair or replace all existing improvements that are damaged or removed during the Work, both on and off the Project site, including curbs, sidewalks, driveways, fences, signs, landscaping, utilities, street surfaces and structures. Repairs and replacements must be at least equal to the previously existing improvements, and the condition, finish and dimensions must match the previously existing improvements. Contractor must restore to original condition all property or items that are not designated for alteration under the Contract Documents and leave each Worksite clean and ready for occupancy or use by City.

(F) **Non-Compliance.** If Contractor fails to comply with its maintenance and cleanup obligations within two business days following written notification from any City or its representative clean up order, City may, acting in its sole discretion, elect to suspend the Work until the condition(s) is corrected with no increase in the Contract Time or Contract Price, or undertake appropriate cleanup measures without further notice

and deduct the cost from any amounts due or to become due to Contractor.

**7.10 Instructions and Manuals.** Contractor must provide to City three copies each of all instructions and manuals required by the Contract Documents, unless otherwise specified. These must be complete as to drawings, details, parts lists, performance data, and other information that may be required for City to easily maintain and service the materials and equipment installed for this Project.

(A) **Submittal Requirements.** All manufacturers' application or installation instructions must be provided to the City at least ten days prior to the first such application. The instructions and manuals, along with any required guarantees, must be delivered to City for review.

(B) **Training.** Contractor or its Subcontractors must train City's personnel in the operation and maintenance of any complex equipment or systems as a condition precedent to Final Completion, if required in the Contract Documents.

**7.11 As-built Drawings.** Contractor and its Subcontractors must prepare and maintain at the Project site a detailed, complete, and accurate as-built set of the Plans which will be used solely for the purpose of recording changes made in any portion of the original Plans in order to create accurate record drawings at the end of the Project.

(A) **Duty to Update.** The as-built drawings must be updated as changes occur, on a daily basis if necessary. City may withhold the estimated cost for City to have the as-built drawings prepared from payments otherwise due to the Contractor, until the as-built drawings are brought up to date to the satisfaction of City. Actual locations to scale must be identified on the as-built drawings for all runs of mechanical and electrical work, including all site utilities, installed underground, in walls, floors, or otherwise concealed. Deviations from the original Plans must be shown in detail. The exact location of all main runs, whether piping, conduit, ductwork, or drain lines, must be shown by dimension and elevation. The location of all buried pipelines, appurtenances, or other improvements must be represented by coordinates and by the horizontal distance from visible above-ground improvements.

(B) **Final Completion.** Contractor must verify that all changes in the Work are depicted in the as-built drawings and must deliver the complete set of as-built drawings to City for review and acceptance as a condition precedent to Final Completion and Final Payment.

## **7.12 Existing Utilities.**

(A) General. The Work may be performed in developed, urban areas with existing utilities, both above and below ground, including utilities identified in the Contract Documents or in other informational documents or records. Contractor must take due care to locate identified or reasonably identifiable utilities before proceeding with trenching, excavation, or any other activity that could damage or disrupt existing utilities. This may include excavation with small equipment, potholing, or hand excavation, and, if practical, using white paint or other suitable markings to delineate the area to be excavated. Except as otherwise provided herein, Contractor will be responsible for costs resulting from damage to identified or reasonably identifiable utilities due to Contractor's negligence or failure to comply with the Contract Documents, including the requirements in this Article 7.

(B) ***Unidentified Utilities.*** Pursuant to Government Code Section 4215, if, during the performance of the Work, Contractor discovers utility facilities not identified by City in the Contract Documents, Contractor must immediately provide written notice to City and the utility. City assumes responsibility for the timely removal, relocation, or protection of existing main or trunkline utility facilities located on the Project site, if those utilities are not identified in the Contract Documents. Contractor will be compensated in accordance with the provisions of the Contract Documents for the costs of locating, repairing damage not due to Contractor's failure to exercise reasonable care, and removing or relocating such utility facilities not indicated in the Plans or Specifications with reasonable accuracy, and for equipment on the Project necessarily idled during such work. Contractor will not be assessed liquidated damages for delay in completion of the Work, to the extent such delay was caused by City's failure to provide for removal or relocation of the utility facilities.

- 7.13 Notice of Excavation.** Contractor must comply with all applicable requirements in Government Code Section 4216 et seq., which are incorporated by reference herein.
- 7.14 Trenching and Excavations of Four Feet or More.** As required by Public Contract Code Section 7104, if the Work includes digging trenches or other excavations that extend deeper than four feet below the surface, the provisions in this Section apply to the Work and the Project.
- (A) ***Duty to Notify.*** Contractor must promptly, and before the following conditions are disturbed, provide written notice to City if Contractor finds any of the following conditions:

- (1) Material that Contractor believes may be a hazardous waste, as defined in Section 25117 of the Health and Safety Code, that is required to be removed to a Class I, Class II, or Class III disposal site in accordance with the provisions of existing Laws;
- (2) Subsurface or latent physical conditions at the Project site differing from those indicated by information about the Project site made available to bidders prior to the deadline for submitting bids; or
- (3) Unknown physical conditions at the Project site of any unusual nature, materially different from those ordinarily encountered and generally recognized as inherent in work of the character required by the Contract Documents.

(B) ***City Investigation.*** City will promptly investigate the conditions and if City finds that the conditions materially differ from those indicated, apparent, or reasonably inferred from information about the Project site made available to bidders, or involve hazardous waste, and cause a decrease or increase in Contractor's cost of, or the time required for, performance of any part of the Work, City will issue a Change Order.

(C) ***Disputes.*** In the event that a dispute arises between City and Contractor regarding any of the conditions specified in subsection (B) above, or the terms of a Change Order issued by the City, Contractor will not be excused from completing the Work within the Contract Time, but must proceed with all Work to be performed under the Contract. Contractor will retain any and all rights provided either by the Contract or by Laws which pertain to the resolution of disputes between Contractor and City.

**7.15 Trenching of Five Feet or More.** As required by Labor Code Section 6705, if the Contract Price exceeds \$25,000.00 and the Work includes the excavation of any trench or trenches of five feet or more in depth, a detailed plan must be submitted to City for acceptance in advance of the excavation. The detailed plan must show the design of shoring, bracing, sloping, or other provisions to be made for worker protection from the hazard of caving ground during the excavation. If the plan varies from the shoring system standards, it must be prepared by a California registered civil or structural engineer. Use of a shoring, sloping, or protective system less effective than that required by the Construction Safety Orders is prohibited.

**7.16 New Utility Connections.** Except as otherwise specified, City will pay connection charges and meter costs for new permanent utilities required by the Contract Documents, if any. Contractor must notify City sufficiently

in advance of the time needed to request service from each utility provider so that connections and services are initiated in accordance with the Project schedule.

- 7.17 Lines and Grades.** Contractor is required to use any benchmark provided by the Engineer. Unless otherwise specified in the Contract Documents, Contractor must provide all lines and grades required to execute the Work. Contractor must also provide, preserve, and replace, if necessary, all construction stakes required for the Project. All stakes or marks must be set by a California licensed surveyor or a California registered civil engineer. Contractor must notify the Engineer of any discrepancies found between Contractor's staking and grading and information provided by the Contract Documents. Upon completion, all Work must conform to the lines, elevations, and grades shown in the Plans, including any changes directed by a Change Order.
- 7.18 Historic or Archeological Items.**
- (A) ***Contractor's Obligations.*** Contractor must ensure that all persons performing Work at the Project site are required to immediately notify the Project Manager, upon discovery of any potential historic or archeological items, including historic or prehistoric ruins, a burial ground, archaeological or vertebrate paleontological site, including fossilized footprints or other archeological, paleontological, or historical feature on the Project site (collectively, "Historic or Archeological Items").
- (B) ***Discovery; Cessation of Work.*** Upon discovery of any potential Historic or Archeological Items, Work must be stopped within an 85-foot radius of the find and may not resume until authorized in writing by City. If required by City, Contractor must assist in protecting or recovering the Historic or Archeological Items, with any such assistance to be compensated as Extra Work on a time and materials basis under Article 6, Contract Modification. At City's discretion, a suspension of Work required due to discovery of Historic or Archeological Items may be treated as Excusable Delay pursuant to Article 5 or as a suspension for convenience under Article 13.
- 7.19 Environmental Control.** Contractor must not pollute any drainage course or its tributary inlets with fuels, oils, bitumens, acids, insecticides, herbicides, or other harmful materials. Contractor must prevent the release of any hazardous material or hazardous waste into the soil or groundwater, and prevent the unlawful discharge of pollutants into City's storm drain system and watercourses as required below. Contractor and its Subcontractors must at all times in the performance of the Work comply with all Laws concerning pollution of waterways.

- (A) **Stormwater Permit.** Contractor must comply with all applicable conditions of the State Water Resources Control Board National Pollutant Discharge Elimination System General Permit for Waste Discharge Requirements for Discharges of Stormwater Runoff Associated with Construction Activity ("Stormwater Permit").
- (B) **Contractor's Obligations.** If required for the Work, a copy of the Stormwater Permit is on file in City's principal administrative offices, and Contractor must comply with the same without adjustment of the Contract Price or the Contract Time. Contractor must timely and completely submit required reports and monitoring information required by the conditions of the Stormwater Permit. Contractor must also comply with all other Laws governing discharge of stormwater, including applicable municipal stormwater management programs.
- 7.20 Noise Control.** Contractor must comply with all applicable noise control Laws. Noise control requirements apply to all equipment used for the Work or related to the Work, including trucks, transit mixers or transient equipment that may or may not be owned by Contractor.
- 7.21 Mined Materials.** Pursuant to the Surface Mining and Reclamation Act of 1975, Public Resources Code Section 2710 *et seq.*, any purchase of mined materials, such as construction aggregate, sand, gravel, crushed stone, road base, fill materials, and any other mineral materials must originate from a surface mining operation included on the AB 3098 List, which may be accessed online at:  
<https://www.conservation.ca.gov/smgb/Pages/AB-3098-List.aspx>

## Article 8 - Payment

- 8.1 Schedule of Values.** Prior to submitting its first application for payment, Contractor must prepare and submit to the Project Manager a schedule of values apportioned to the various divisions and phases of the Work, including mobilization and demobilization. If a Bid Schedule was submitted with Contractor's bid, the amounts in the schedule of values must be consistent with the Bid Schedule. Each line item contained in the schedule of values must be assigned a value such that the total of all items equals the Contract Price. The items must be sufficiently detailed to enable accurate evaluation of the percentage of completion claimed in each application for payment, and the assigned value consistent with any itemized or unit pricing submitted with Contractor's bid.
- (A) **Measurements for Unit Price Work.** Materials and items of Work to be paid for on the basis of unit pricing will be measured according to the methods specified in the Contract Documents.

(B) **Deleted or Reduced Work.** Contractor will not be compensated for Work that City has deleted or reduced in scope, except for any labor, material, or equipment costs for such Work that Contractor reasonably incurred before Contractor learned that the Work could be deleted or reduced. Contractor will only be compensated for those actual, direct, and documented costs incurred, and will not be entitled to any mark up for overhead or lost profits.

**8.2 Progress Payments.** Following the last day of each month, or as otherwise required by the Special Conditions or Specifications, Contractor will submit to Project Manager a monthly application for payment for Work performed during the preceding month based on the estimated value of the Work performed during that preceding month.

(A) **Application for Payment.** Each application for payment must be itemized to include labor, materials, and equipment incorporated into the Work, and materials and equipment delivered to the Project site, as well as authorized and approved Change Orders. Each payment application must be supported by the unit prices submitted with Contractor's Bid Schedule and/or schedule of values and any other substantiating data required by the Contract Documents. **Each application for payment shall be accompanied by completed "Contract Balance Form," a copy of which is provided at the end of Article 8.**

(B) **Payment of Undisputed Amounts.** City will pay the undisputed amount due within thirty days after Contractor has submitted a complete and accurate payment application, subject to Public Contract Code Section 20104.50. City will deduct a percentage from each progress payment as retention, as set forth in Section 8.5, below, and may deduct or withhold additional amounts as set forth in Section 8.3, below.

**8.3 Adjustment of Payment Application.** City may adjust or reject the amount requested in a payment application, including application for Final Payment, in whole or in part, if the amount requested is disputed or unsubstantiated. Contractor will be notified in writing of the basis for the modification to the amount requested. City may also deduct or withhold from payment otherwise due based upon any of the circumstances and amounts listed below. Sums withheld from payment otherwise due will be released when the basis for that withholding has been remedied and no longer exists.

(A) For Contractor's unexcused failure to perform the Work as required by the Contract Documents, including correction or completion of punch list items. City may withhold or deduct an amount based on the City's estimated cost to correct or complete the Work.

- (B) For loss or damage caused by Contractor or its Subcontractors arising out of or relating to performance of the Work, or any failure to protect the Project site, City may deduct an amount based on the estimated cost to repair or replace.
- (C) For Contractor's failure to pay its Subcontractors and suppliers when payment is due. City may withhold an amount equal to the total of past due payments and may opt to pay that amount separately via joint check pursuant to Section 8.6(B), Joint Checks.
- (D) For Contractor's failure to timely correct rejected, nonconforming, or defective Work. City may withhold or deduct an amount based on the City's estimated cost to correct or complete the Work.
- (E) For any unreleased stop notice, City may withhold 125% of the amount claimed.
- (F) For Contractor's failure to submit any required schedule or schedule update in the manner specified or within the time specified in the Contract Documents, City may withhold an amount equal to five percent of the total amount requested until Contractor complies with its schedule submittal obligations.
- (G) For Contractor's failure to maintain or submit as-built documents in the manner specified or within the time specified in the Contract Documents, City may withhold or deduct an amount based on the City's cost to prepare the as-builts.
- (H) For Work performed without Shop Drawings, that has been accepted by the City when accepted Shop Drawings are required before proceeding with the Work, City may deduct an amount based on the estimated cost to correct unsatisfactory Work or diminution in value.
- (I) For fines, payments, or penalties assessed under the Labor Code, City may deduct from payments due to Contractor as required by Laws and as directed by the Division of Labor Standards Enforcement.
- (J) For any other costs or charges that may be withheld or deducted from payments to Contractor, as provided in the Contract Documents, including liquidated damages, City may withhold or deduct such amounts from payment otherwise due to Contractor.

**8.4 Early Occupancy.** Neither City's payment of progress payments nor its partial or full use or occupancy of the Project constitutes acceptance of any part of the Work.

- 8.5    Retention.** City will retain five percent of the full amount due on each progress payment (i.e., the amount due before any withholding or deductions pursuant to Section 8.3, Adjustment of Payment Application), or the percentage stated in the Notice Inviting Bids, whichever is greater, as retention to ensure full and satisfactory performance of the Work. Contractor is not entitled to any reduction in the rate of withholding at any time, nor to release of any retention before 35 days following City's acceptance of the Project.
- (A)    **Substitution of Securities.** As provided by Public Contract Code Section 22300, Contractor may request in writing that it be allowed, at its sole expense, to substitute securities for the retention withheld by City. Any escrow agreement entered into pursuant to this provision must fully comply with Public Contract Code Section 22300, and will be subject to approval as to form by City's legal counsel. If City exercises its right to draw upon such securities in the event of default pursuant to section (7) of the statutory Escrow Agreement for Security Deposits in Lieu of Retention, pursuant to subdivision (g) of Public Contract Code Section 22300 ("Escrow Agreement"), and if Contractor disputes that it is in default, its sole remedy is to comply with the dispute resolution procedures in Article 12 and the provisions therein. It is agreed that for purposes of this paragraph, an event of default includes City's rights pursuant to these Contract Documents to withhold or deduct sums from retention, including withholding or deduction for liquidated damages, incomplete or defective Work, stop payment notices, or backcharges. It is further agreed that if any individual authorized to give or receive written notice on behalf of a party pursuant to section (10) of the Escrow Agreement are unavailable to give or receive notice on behalf of that party due to separation from employment, retirement, death, or other circumstances, the successor or donee of the named individual is deemed to be the individual authorized to give or receive notice pursuant to section (10) of the Escrow Agreement.
- (B)    **Release of Undisputed Retention.** All undisputed retention, less any amounts that may be assessed as liquidated damages, retained for stop notices, or otherwise withheld pursuant to Section 8.3 Adjustment of Payment Application will be released as Final Payment to Contractor no sooner than 35 days following recordation of the notice of completion, and no later than 60 days following acceptance of the Project by City's governing body or authorized designee pursuant to Section 11.1(C) Acceptance, or, if the Project has not been accepted, no later than 60 days after the Project is otherwise considered complete pursuant to Public Contract Code Section 7107(c).

- 8.6 Payment to Subcontractors and Suppliers.** Each month, Contractor must promptly pay each Subcontractor and supplier the value of the portion of labor, materials, and equipment incorporated into the Work or delivered to the Project site by the Subcontractor or supplier during the preceding month. Such payments must be made in accordance with the requirements of Laws pertaining to such payments, and those of the Contract Documents and applicable subcontract or supplier contract.
- (A) **Withholding for Stop Notice.** Pursuant to Civil Code Section 9358, City will withhold 125% of the amount claimed by an unreleased stop notice, a portion of which may be retained by City for the costs incurred in handling the stop notice claim, including attorneys' fees and costs, as authorized by law.
- (B) **Joint Checks.** City reserves the right, acting in its sole discretion, to issue joint checks made payable to Contractor and a Subcontractor or supplier, if City determines this is necessary to ensure fair and timely payment to Subcontractor or supplier who has provided services or goods for the Project. As a condition to release of payment by a joint check, the joint check payees may be required to execute a joint check agreement in a form provided or approved by the City Attorney's Office. The joint check payees will be jointly and severally responsible for the allocation and disbursement of funds paid by joint check. Payment by joint check will not be construed to create a contractual relationship between City and a Subcontractor or supplier of any tier beyond the scope of the joint check agreement.
- 8.7 Final Payment.** Contractor's application for Final Payment must comply with the requirements for submitting an application for a progress payment as stated in Section 8.2, above. Corrections to previous progress payments, including adjustments to estimated quantities for unit priced items, may be included in the Final Payment. If Contractor fails to submit a timely application for Final Payment, City reserves the right to unilaterally process and issue Final Payment without an application from Contractor in order to close out the Project. For the purposes of determining the deadline for Claim submission pursuant to Article 12, the date of Final Payment is deemed to be the date that City acts to release undisputed retention as final payment to Contractor, or otherwise provides written notice to Contractor of Final Payment or that no undisputed funds remain available for Final Payment due to offsetting withholdings or deductions pursuant to Section 8.3, Adjustment of Payment Application. If the amount due from Contractor to City exceeds the amount of Final Payment, City retains the right to recover the balance from Contractor or its sureties.
- 8.8 Release of Claims.** City may, at any time, require that payment of the undisputed portion of any progress payment or Final Payment be

contingent upon Contractor furnishing City with a written waiver and release of all claims against City arising from or related to the portion of Work covered by those undisputed amounts, subject to the limitations of Public Contract Code Section 7100. Any disputed amounts may be specifically excluded from the release.

- 8.9 Warranty of Title.** Contractor warrants that title to all work, materials, or equipment incorporated into the Work and included in a request for payment will pass over to City free of any claims, liens, or encumbrances upon payment to Contractor.

## CONTRACT BALANCE FORM

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*Note: A detailed invoice MUST be attached to this Contract Balance Form.*

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CONTRACTOR NAME: \_\_\_\_\_ DATE: \_\_\_\_\_

MAILING ADDRESS: \_\_\_\_\_ TELEPHONE NO.: \_\_\_\_\_

\_\_\_\_\_ FAX NO.: \_\_\_\_\_

\_\_\_\_\_ PROJECT NO.: \_\_\_\_\_

\_\_\_\_\_ INVOICE NO.: \_\_\_\_\_

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1. ORIGINAL CONTRACT AMOUNT: \$ \_\_\_\_\_
2. APPROVED CHANGE ORDERS TOTAL: \$ \_\_\_\_\_
3. REVISED CONTRACT AMOUNT: (1+2) \$ \_\_\_\_\_
4. PREVIOUS BALANCE PAID: \$ \_\_\_\_\_
5. REMAINING BALANCE: (3-4) \$ \_\_\_\_\_
6. CURRENT PROGRESS PAYMENT DUE: \$ \_\_\_\_\_  
*(before retention)*
7. 5% RETENTION FROM WORK DONE: (-\$) \_\_\_\_\_
8. CURRENT BALANCE DUE: (6-7) \$ \_\_\_\_\_
9. REMAINING BALANCE OF REVISED  
CONTRACT AMOUNT: (5-8) \$ \_\_\_\_\_  
*(including retention)*

## Article 9 - Labor Provisions

**9.1 Discrimination Prohibited.** Discrimination against any prospective or present employee engaged in the Work on grounds of race, color, ancestry, national origin, ethnicity, religion, sex, sexual orientation, age, disability, or marital status is strictly prohibited. Contractor and its Subcontractors are required to comply with all applicable Laws prohibiting discrimination, including the California Fair Employment and Housing Act (Government Code Section 12900 *et seq.*), Government Code Section 11135, and Labor Code Sections 1735, 1777.5, 1777.6, and 3077.5.

### **9.2 Labor Code Requirements.**

- (A) ***Eight Hour Day.*** Pursuant to Labor Code Section 1810, eight hours of labor constitute a legal day's work under this Contract.
- (B) ***Penalty.*** Pursuant to Labor Code Section 1813, Contractor will forfeit to City as a penalty, the sum of \$25.00 for each day during which a worker employed by Contractor or any Subcontractor is required or permitted to work more than eight hours in any one calendar day or more than 40 hours per calendar week, except if such workers are paid overtime under Labor Code Section 1815.
- (C) ***Apprentices.*** Contractor is responsible for compliance with the requirements governing employment and payment of apprentices, as set forth in Labor Code Section 1777.5, which is fully incorporated by reference.
- (D) ***Notices.*** Pursuant to Labor Code Section 1771.4, Contractor is required to post all job site notices prescribed by Laws.

**9.3 Prevailing Wages.** Each worker performing Work under this Contract that is covered under Labor Code Sections 1720, 1720.3, or 1720.9, including cleanup at the Project site, must be paid at a rate not less than the prevailing wage as defined in Sections 1771 and 1774 of the Labor Code. The prevailing wage rates are available online at <http://www.dir.ca.gov/dlsr>. Contractor must post a copy of the applicable prevailing rates at the Project site.

- (A) ***Penalties.*** Pursuant to Labor Code Section 1775, Contractor and any Subcontractor will forfeit to City as a penalty up to \$200.00 for each calendar day, or portion of a day, for each worker paid less than the applicable prevailing wage rate. Contractor must also pay each worker the difference between the applicable prevailing wage rate and the amount actually paid to that worker.

(B) ***Federal Requirements.*** If this Project is subject to federal prevailing wage requirements in addition to California prevailing wage requirements, Contractor and its Subcontractors are required to pay the higher of the currently applicable state or federal prevailing wage rates.

**9.4 Payroll Records.** Contractor must comply with the provisions of Labor Code Sections 1771.4, 1776, and 1812 and all implementing regulations, which are fully incorporated by this reference, including requirements for electronic submission of payroll records to the DIR.

(A) ***Contractor and Subcontractor Obligations.*** Contractor and each Subcontractor must keep accurate payroll records, showing the name, address, social security number, work classification, straight time and overtime hours worked each day and week, and the actual per diem wages paid to each journeyman, apprentice, worker, or other employee employed in connection with the Work. Each payroll record must contain or be verified by a written declaration that it is made under penalty of perjury, stating both of the following:

- (1) The information contained in the payroll record is true and correct.
- (2) Contractor or Subcontractor has complied with the requirements of Labor Code Sections 1771, 1811, and 1815 for any Work performed by its employees on the Project.

(B) ***Certified Record.*** A certified copy of an employee's payroll record must be made available for inspection or furnished to the employee or his or her authorized representative on request, to City, or to the Division of Labor Standards Enforcement, to the Division of Apprenticeship Standards of the DIR, and as further required by the Labor Code.

(C) ***Enforcement.*** Upon notice of noncompliance with Labor Code Section 1776, Contractor or Subcontractor has ten days in which to comply with the requirements of this section. If Contractor or Subcontractor fails to do so within the ten-day period, Contractor or Subcontractor will forfeit a penalty of \$100.00 per day, or portion of a day, for each worker for whom compliance is required, until strict compliance is achieved. Upon request by the Division of Apprenticeship Standards, or the Division of Labor Standards Enforcement, these penalties will be withheld from payments then due to Contractor.

**9.5 Labor Compliance.** Pursuant to Labor Code Section 1771.4, the Contract for this Project is subject to compliance monitoring and enforcement by the DIR.

**9.6 Wage Theft Prevention.** Compliance with Wage and Hour Laws: Contractor, and any subcontractor it employs to complete work under this Agreement, shall comply with all applicable federal, state, and local wage and hour laws. Applicable laws may include, but are not limited to, the Federal Fair Labor Standards Act and the California Labor Code.

**Final Judgments, Decisions, and Orders:** For purposes of this Section, a “final judgment, decision, or order” refers to one for which all appeals have been exhausted or the time to appeal has expired. Relevant investigatory government agencies include: the federal Department of Labor, the California Division of Labor Standards Enforcement, or any other governmental entity or division tasked with the investigation and enforcement of wage and hour laws.

**Prior Judgments against Contractor and/or its Subcontractors:** BY SIGNING THIS AGREEMENT, CONTRACTOR AFFIRMS THAT IT HAS DISCLOSED ANY FINAL JUDGMENTS, DECISIONS OR ORDERS FROM A COURT OR INVESTIGATORY GOVERNMENT AGENCY FINDING – IN THE FIVE (5) YEARS PRIOR TO EXECUTING THIS AGREEMENT – THAT CONTRACTOR OR ITS SUBCONTRACTOR(S) HAS VIOLATED ANY APPLICABLE WAGE AND HOUR LAWS. CONTRACTOR FURTHER AFFIRMS THAT IT OR ITS SUBCONTRACTOR(S) HAS SATISFIED AND COMPLIED WITH – OR HAS REACHED AGREEMENT WITH THE CITY REGARDING THE MANNER IN WHICH IT WILL SATISFY – ANY SUCH JUDGMENTS, DECISIONS OR ORDERS.

**Judgments or Decisions During Term of Contract:** If at any time during the term of this Agreement, a court or investigatory government agency issues a final judgment, decision or order finding that Contractor or an subcontractor it employs to perform work under this Agreement has violated any applicable wage and hour law, or Contractor learns of such a judgment, decision, or order that was not previously disclosed, Contractor shall inform the City Attorney, no more than fifteen (15) days after the judgment, decision or order becomes final or of learning of the final judgment, decision or order. Contractor and its subcontractors shall promptly satisfy and comply with any such judgment, decision, or order, and shall provide the City Attorney with documentary evidence of compliance with the final judgment, decision, or order within five (5) days of satisfying the final judgment, decision, or order. The City reserves the right to require Contractor to enter into an agreement with the City regarding the manner in which any such final judgment, decision, or order will be satisfied.

**City's Right to Withhold Payment:** Where Contractor or any subcontractor it employs to perform work under this Agreement has been found in

violation of any applicable wage and hour law by a final judgment, decision or order of a court or government agency, the City reserves the right to withhold payment to Contractor until such judgment, decision or order has been satisfied in full.

**Material Breach:** Failure to comply with any part of this Section constitutes a material breach of this Agreement. Such breach may serve as a basis for immediate termination of this Agreement and/or any other remedies available under this Agreement and/or law.

**Notice to City Related to Wage Theft Prevention:** Notice provided to the City Attorney as required under this Section shall be addressed to: City Attorney, City of Morgan Hill, 17575 Peak Avenue, Morgan Hill, CA 95037. The Notice provisions of this Section are separate from any other notice provisions in this Agreement and, accordingly, only notice provided to the above address satisfies the notice requirements in this Section.

## Article 10 - Safety Provisions

**10.1 Safety Precautions and Programs.** Contractor and its Subcontractors are fully responsible for safety precautions and programs, and for the safety of persons and property in the performance of the Work. Contractor and its Subcontractors must at all times comply with all applicable health and safety Laws and seek to avoid injury, loss, or damage to persons or property by taking reasonable steps to protect its employees and other persons at any Worksite, materials and equipment stored on or off site, and property at or adjacent to any Worksite.

(A) **Reporting Requirements.** Contractor must immediately notify the City of any death, serious injury or illness resulting from Work on the Project. Contractor must immediately provide a written report to City of each recordable accident or injury occurring at any Worksite within 24 hours of the occurrence. The written report must include: (1) the name and address of the injured or deceased person; (2) the name and address of each employee of Contractor or of any Subcontractor involved in the incident; (3) a detailed description of the incident, including precise location, time, and names and contact information for known witnesses; and (4) a police or first responder report, if applicable. If Contractor is required to file an accident report with a government agency, Contractor will provide a copy of the report to City.

(B) **Legal Compliance.** Contractor's safety program must comply with the applicable legal and regulatory requirements. Contractor must provide City with copies of all notices required by Laws.

- (C) **Contractor's Obligations.** Any damage or loss caused by Contractor arising from the Work which is not insured under property insurance must be promptly remedied by Contractor.
- (D) **Remedies.** If City determines, in its sole discretion, that any part of the Work or Project site is unsafe, City may, without assuming responsibility for Contractor's safety program, require Contractor or its Subcontractor to cease performance of the Work or to take corrective measures to City's satisfaction. If Contractor fails to promptly take the required corrective measures, City may perform them and deduct the cost from the Contract Price. Contractor agrees it is not entitled to submit a Claim for damages, for an increase in Contract Price, or for a change in Contract Time based on Contractor's compliance with City's request for corrective measures pursuant to this provision.

- 10.2 Hazardous Materials.** Unless otherwise specified in the Contract Documents, this Contract does not include the removal, handling, or disturbance of any asbestos or other Hazardous Materials. If Contractor encounters materials on the Project site that Contractor reasonably believes to be asbestos or other Hazardous Materials, and the asbestos or other Hazardous Materials have not been rendered harmless, Contractor may continue Work in unaffected areas reasonably believed to be safe, but must immediately cease work on the area affected and report the condition to City. No asbestos, asbestos-containing products or other Hazardous Materials may be used in performance of the Work.
- 10.3 Material Safety.** Contractor is solely responsible for complying with Section 5194 of Title 8 of the California Code of Regulations, including by providing information to Contractor's employees about any hazardous chemicals to which they may be exposed in the course of the Work. A hazard communication program and other forms of warning and training about such exposure must be used. Contractor must also maintain Safety Data Sheets ("SDS") at the Project site, as required by Law, for materials or substances used or consumed in the performance of the Work. The SDS will be accessible and available to Contractor's employees, Subcontractors, and City.
- (A) **Contractor Obligations.** Contractor is solely responsible for the proper delivery, handling, use, storage, removal, and disposal of all materials brought to the Project site and/or used in the performance of the Work. Contractor must notify the Engineer if a specified product or material cannot be used safely.
- (B) **Labeling.** Contractor must ensure proper labeling on any material brought onto the Project site so that any persons working with or in the vicinity of the material may be informed as to the identity of the material,

any potential hazards, and requirements for proper handling, protections, and disposal.

**10.4 Hazardous Condition.** Contractor is solely responsible for determining whether a hazardous condition exists or is created during the course of the Work, involving a risk of bodily harm to any person or risk of damage to any property. If a hazardous condition exists or is created, Contractor must take all precautions necessary to address the condition and ensure that the Work progresses safely under the circumstances. Hazardous conditions may result from, but are not limited to, use of specified materials or equipment, the Work location, the Project site condition, the method of construction, or the way any Work must be performed.

**10.5 Emergencies.** In an emergency affecting the safety or protection of persons, Work, or property at or adjacent to any Worksite, Contractor must take reasonable and prompt actions to prevent damage, injury, or loss, without prior authorization from the City if, under the circumstances, there is inadequate time to seek prior authorization from the City.

## **Article 11 - Completion and Warranty Provisions**

### **11.1 Final Completion.**

(A) ***Final Inspection and Punch List.*** When the Work required by this Contract is fully performed, Contractor must provide written notification to City requesting final inspection. The Engineer will schedule the date and time for final inspection, which must include Contractor's primary representative for the Project and its superintendent. Based on that inspection, City will prepare a punch list of any items that are incomplete, missing, defective, incorrectly installed, or otherwise not compliant with the Contract Documents. The punch list to Contractor will specify the time by which all of the punch list items must be completed or corrected. The punch list may include City's estimated cost to complete each punch list item if Contractor fails to do so within the specified time. The omission of any non-compliant item from a punch list will not relieve Contractor from fulfilling all requirements of the Contract Documents. Contractor's failure to complete any punch list item within the time specified in the punch list will not waive or abridge its warranty obligations for any such items that must be completed by the City or by a third party retained by the City due to Contractor's failure to timely complete any such outstanding item.

(B) ***Requirements for Final Completion.*** Final Completion will be achieved upon completion or correction of all punch list items, as verified by City's further inspection, and upon satisfaction of all other Contract requirements, including any commissioning required under the Contract Documents, and submission of all final submittals, including instructions

and manuals as required under Section 7.10, and complete, final as-built drawings as required under Section 7.11, all to City's satisfaction.

(C) **Acceptance.** The Project will be considered accepted upon City Council action during a public meeting to accept the Project, unless the Engineer is authorized to accept the Project, in which case the Project will be considered accepted upon the date of the Engineer's issuance of a written notice of acceptance. In order to avoid delay of Project close out, the City may elect, acting in its sole discretion, to accept the Project as complete subject to exceptions for punch list items that are not completed within the time specified in the punch list.

(D) **Final Payment and Release of Retention.** Final Payment and release of retention, less any sums withheld pursuant to the provisions of the Contract Documents, will not be made sooner than 35 days after recordation of the notice of completion. If Contractor fails to complete all of the punch list items within the specified time, City may withhold up to 150% of City's estimated cost to complete each of the remaining items from Final Payment and may use the withheld retention to pay for the costs to self-perform the outstanding items or to retain a third party to complete any such outstanding punch list item.

## 11.2 **Warranty.**

(A) **General.** Contractor warrants that all materials and equipment will be new unless otherwise specified, of good quality, in conformance with the Contract Documents, and free from defective workmanship and materials. Contractor further warrants that the Work will be free from material defects not intrinsic in the design or materials required in the Contract Documents. Contractor warrants that materials or items incorporated into the Work comply with the requirements and standards in the Contract Documents, including compliance with Laws, and that any Hazardous Materials encountered or used were handled as required by Laws. At City's request, Contractor must furnish satisfactory evidence of the quality and type of materials and equipment furnished. Contractor's warranty does not extend to damage caused by normal wear and tear, or improper use or maintenance.

(B) **Warranty Period.** Contractor's warranty must guarantee its Work for a period of one year from the date of Project acceptance (the "Warranty Period"), except when a longer guarantee is provided by a supplier or manufacturer or is required by the Specifications or Special Conditions. Contractor must obtain from its Subcontractors, suppliers, and manufacturers any special or extended warranties required by the Contract Documents.

(C) **Warranty Documents.** As a condition precedent to Final Completion, Contractor must supply City with all warranty and guarantee documents relevant to equipment and materials incorporated into the Work and guaranteed by their suppliers or manufacturers.

(D) **Subcontractors.** The warranty obligations in the Contract Documents apply to Work performed by Contractor and its Subcontractors, and Contractor expressly agrees to be co-guarantor of such Work.

(E) **Contractor's Obligations.** Upon written notice from City to Contractor of any defect in the Work discovered during the Warranty Period, Contractor or its responsible Subcontractor must promptly correct the defective Work at its own cost. Contractor's obligation to correct defects discovered during the Warranty Period will continue past the expiration of the Warranty Period as to any defects in Work for which Contractor was notified prior to expiration of the Warranty Period. Work performed during the Warranty Period ("Warranty Work") will be subject to the warranty provisions in this Section 11.2 for a one-year period that begins upon completion of such Warranty Work to City's satisfaction.

(F) **City's Remedies.** If Contractor or its responsible Subcontractor fails to correct defective Work within ten days following notice by City, or sooner, if required by the circumstances, Contractor expressly agrees that City may correct the defects to conform with the Contract Documents at Contractor's sole expense. Contractor must reimburse City for its costs in accordance with subsection (H) below.

(G) **Emergency Repairs.** In cases of emergency where any delay in correcting defective Work could cause harm, loss or damage, City may immediately correct the defects to conform with the Contract Documents at Contractor's sole expense. Contractor or its surety must reimburse City for its costs in accordance with subsection (H), below.

(H) **Reimbursement.** Contractor must reimburse City for its costs to repair under subsections (F) or (G), above, within 30 days following City's submission of a demand for payment pursuant to this provision. If City is required to initiate legal action to compel Contractor's compliance with this provision, and City is the prevailing party in such action, Contractor and its surety are solely responsible for all of City's attorney's fees and legal costs expended to enforce Contractor's warranty obligations herein in addition to any and all costs City incurs to correct the defective Work.

**11.3 Use Prior to Final Completion.** City reserves the right to occupy or make use of the Project, or any portions of the Project, prior to Final Completion if City has determined that the Project or portion of it is in a condition

suitable for the proposed occupation or use, and that it is in its best interest to occupy or make use of the Project, or any portions of it, prior to Final Completion. City will notify Contractor in writing of its intent to occupy or make use of the Project or any portions of the Project, pursuant to this provision.

(A) **Non-Waiver.** Occupation or use of the Project, in whole or in part, prior to Final Completion will not operate as acceptance of the Work or any portion of it, nor will it operate as a waiver of any of City's rights or Contractor's duties pursuant to these Contract Documents, and will not affect nor bear on the determination of the time of substantial completion with respect to any statute of repose pertaining to the time for filing an action for construction defect.

(B) **City's Responsibility.** City will be responsible for the cost of maintenance and repairs due to normal wear and tear with respect to those portions of the Project that are being occupied or used before Final Completion. The Contract Price or the Contract Time may be adjusted pursuant to the applicable provisions of these Contract Documents if, and only to the extent that, any occupation or use under this Section actually adds to Contractor's cost or time to complete the Work within the Contract Time.

**11.4 Substantial Completion.** For purposes of determining "substantial completion" with respect to any statute of repose pertaining to the time for filing an action for construction defect, "substantial completion" is deemed to mean the last date that Contractor or any Subcontractor performs Work on the Project prior to City acceptance of the Project, except for warranty work performed under this Article.

## Article 12 - Dispute Resolution

**12.1 Claims.** This Article applies to and provides the exclusive procedures for any Claim arising from or related to the Contract or performance of the Work.

(A) **Definition.** "Claim" means a separate demand by Contractor, submitted in writing by registered or certified mail with return receipt requested, for a change in the Contract Time, including a time extension or relief from liquidated damages, or a change in the Contract Price when the demand has previously been submitted to City in accordance with the requirements of the Contract Documents, and which has been rejected or disputed by City, in whole or in part. A Claim may also include that portion of a unilateral Change Order that is disputed by the Contractor.

(B) ***Limitations.*** A Claim may only include the portion of a previously rejected demand that remains in dispute between Contractor and City.

With the exception of any dispute regarding the amount of money actually paid to Contractor as Final Payment, Contractor is not entitled to submit a Claim demanding a change in the Contract Time or the Contract Price, which has not previously been submitted to City in full compliance with Article 5 and Article 6, and subsequently rejected in whole or in part by City.

(C) ***Scope of Article.*** This Article is intended to provide the exclusive procedures for submission and resolution of Claims of any amount, and applies in addition to the provisions of Public Contract Code Section 9204 and Sections 20104 *et seq.*, which are incorporated herein by this reference.

(D) ***No Work Delay.*** Notwithstanding the submission of a Claim or any other dispute between the parties related to the Project or the Contract Documents, Contractor must perform the Work and may not delay or cease Work pending resolution of a Claim or other dispute, but must continue to diligently prosecute the performance and timely completion of the Work, including the Work pertaining to a Claim or other dispute.

(E) ***Informal Resolution.*** Contractor will make a good faith effort to informally resolve a dispute before initiating a Claim, preferably by face-to-face meeting between authorized representatives of Contractor and City.

## **12.2 Claims Submission.** The following requirements apply to any Claim subject to this Article:

(A) ***Substantiation.*** The Claim must be submitted to City in writing, clearly identified as a “Claim” submitted pursuant to this Article 12, and must include all of the documents necessary to substantiate the Claim including the Change Order request that was rejected in whole or in part, and a copy of City’s written rejection that is in dispute. The Claim must clearly identify and describe the dispute, including relevant references to applicable portions of the Contract Documents, and a chronology of relevant events. Any Claim for additional payment must include a complete, itemized breakdown of all known or estimated labor, materials, taxes, insurance, and subcontract, or other costs. Substantiating documentation such as payroll records, receipts, invoices, or the like, must be submitted in support of each component of claimed cost. Any Claim for an extension of time or delay costs must be substantiated with a schedule analysis and narrative depicting and explaining claimed time impacts. Contractor understands that submission of a Claim which has no basis in fact or which Contractor knows to be false may violate the False Claims Act (Government Code Section 12650 *et seq.*).

(B) **Claim Format and Content.** A Claim must be submitted in the following format:

- (1) Provide a cover letter, specifically identifying the submission as a "Claim" submitted under this Article 12 and specifying the requested remedy (e.g., amount of proposed change to Contract Price and/or change to Contract Time).
- (2) Provide a summary of each Claim, including underlying facts and the basis for entitlement, and identify each specific demand at issue, including the specific Change Order request (by number and submittal date), and the date of City's rejection of that demand, in whole or in part.
- (3) Provide a detailed explanation of each issue in dispute. For multiple issues included within a single Claim or for multiple Claims submitted concurrently, separately number and identify each individual issue or Claim and include the following for each separate issue or Claim:
  - (a) A succinct statement of the matter in dispute, including Contractor's position and the basis for that position;
  - (b) Identify and attach all documents that substantiate the Claim, including relevant provisions of the Contract Documents, RFIs, calculations, and schedule analysis (see subsection (A), Substantiation above);
  - (c) A chronology of relevant events; and
  - (d) Analysis and basis for claimed changes to Contract Price, Contract Time, or any other remedy requested.
- (4) Provide a summary of issues and corresponding claimed damages. If, by the time of the Claim submission deadline (below), the precise amount of the requested change in the Contract Price or Contract Time is not yet known, Contractor must provide a good faith estimate, including the basis for that estimate, and must identify the date by which it is anticipated that the Claim will be updated to provide final amounts.
- (5) Include the following certification, executed by Contractor's authorized representative:

“The undersigned Contractor certifies under penalty of perjury that its statements and representations in this Claim submittal are true and correct. Contractor warrants that this Claim submittal is comprehensive and complete as to the matters in dispute, and agrees that any costs, expenses, or delay not included herein are deemed waived.

(C) ***Submission Deadlines.***

- (1) A Claim disputing rejection of a request for a change in the Contract Time or Contract Price must be submitted within 15 days following the date that City notified Contractor in writing that a request for a change in the Contract Time or Contract Price, duly submitted in compliance with Article 5 and Article 6, has been rejected in whole or in part. A Claim disputing the terms of a unilateral Change Order must be submitted within 15 days following the date of issuance of the unilateral Change Order. These Claim deadlines apply even if Contractor cannot yet quantify the total amount of any requested change in the Contract Time or Contract Price. If the Contractor cannot quantify those amounts, it must submit an estimate of the amounts claimed pending final determination of the requested remedy by Contractor.
- (2) With the exception of any dispute regarding the amount of Final Payment, any Claim must be filed on or before the date of Final Payment, or will be deemed waived.
- (3) A Claim disputing the amount of Final Payment must be submitted within 15 days of the effective date of Final Payment, under Section 8.7, Final Payment, above.
- (4) Strict compliance with these Claim submission deadlines is necessary to ensure that any dispute may be mitigated as soon as possible, and to facilitate cost-efficient administration of the Project. Any Claim that is not submitted within the specified deadlines will be deemed waived by Contractor.

**12.3 City's Response.** City will respond within 45 days of receipt of the Claim with a written statement identifying which portion(s) of the Claim are disputed, unless the 45-day period is extended by mutual agreement of City and Contractor or as otherwise allowed under Public Contract Code Section 9204. However, if City determines that the Claim is not adequately substantiated pursuant to Section 12.2(A), Substantiation, City may first request, in writing, within 30 days of receipt of the Claim, any additional documentation supporting the Claim or relating to defenses to the Claim that City may have against the Claim.

- (A) **Additional Information.** If additional information is thereafter required, it may be requested and provided upon mutual agreement of City and Contractor. If Contractor's Claim is based on estimated amounts, Contractor has a continuing duty to update its Claim as soon as possible with information on actual amounts in order to facilitate prompt and fair resolution of the Claim.
- (B) **Non-Waiver.** Any failure by City to respond within the times specified above will not be construed as acceptance of the Claim in whole or in part, or as a waiver of any provision of these Contract Documents.
- 12.4 Meet and Confer.** If Contractor disputes City's written response, or City fails to respond within the specified time, within 15 days of receipt of City's response, or within 15 days of City's failure to respond within the applicable 45-day time period, respectively, Contractor may notify City of the dispute in writing sent by registered or certified mail, return receipt requested and demand an informal conference to meet and confer for settlement of the issues in dispute. If Contractor fails to notify City in writing of the dispute, and demand an informal conference to meet and confer, within the specified time, Contractor's Claim will be deemed waived.
- (A) **Schedule Meet and Confer.** Upon receipt of the demand to meet and confer, City will schedule the meet and confer conference to be held within 30 days, or later if needed to ensure the mutual availability of each of the individuals that each party requires to represent its interests at the meet and confer conference.
- (B) **Location for Meet and Confer.** The meet and confer conference will be scheduled at a location at or near City's principal office.
- (C) **Written Statement After Meet and Confer.** Within ten working days after the meet and confer has concluded, City will issue a written statement identifying which portion(s) of the Claim remain in dispute, if any.
- (D) **Submission to Mediation.** If the Claim or any portion remains in dispute following the meet and confer conference, within ten working days after the City issues the written statement identifying any portion(s) of the Claim remaining in dispute, the Contractor may identify in writing disputed portion(s) of the Claim, which will be submitted for mediation, as set forth below.

## **12.5 Mediation and Government Code Claims.**

(A) ***Mediation.*** Within ten working days after the City issues the written statement identifying any portion(s) of the Claim remaining in dispute, following the meet and confer, City and Contractor will mutually agree to a mediator, as provided under Public Contract Code Section 9204. Mediation will be scheduled to ensure the mutual availability of the selected mediator and all of the individuals that each party requires to represent its interests. If there are multiple Claims in dispute, the parties may agree to schedule the mediation to address all outstanding Claims at the same time. The parties will share the costs of the mediator and mediation fees equally, but each party is otherwise solely and separately responsible for its own costs to prepare for and participate in the mediation, including costs for its legal counsel or any other consultants.

(B) ***Government Code Claims.***

(1) Timely presentation of a Government Code Claim is a condition precedent to filing any legal action based on or arising from the Contract. Compliance with the Claim submission requirements in this Article 12 is a condition precedent to filing a Government Code Claim.

(2) The time for filing a Government Code Claim will be tolled from the time Contractor submits its written Claim pursuant to Section 12.2, above, until the time that Claim is denied in whole or in part at the conclusion of the meet and confer process, including any period of time used by the meet and confer process. However, if the Claim is submitted to mediation, the time for filing a Government Code Claim will be tolled until conclusion of the mediation, including any continuations, if the Claim is not fully resolved by mutual agreement of the parties during the mediation or any continuation of the mediation.

**12.6 Tort Claims.** This Article does not apply to tort claims and nothing in this Article is intended nor will be construed to change the time periods for filing tort-based Government Code Claims.

**12.7 Arbitration.** It is expressly agreed, under Code of Civil Procedure Section 1296, that in any arbitration to resolve a dispute relating to this Contract, the arbitrator's award must be supported by law and substantial evidence.

- 12.8 Burden of Proof and Limitations.** Contractor bears the burden of proving entitlement to and the amount of any claimed damages. Contractor is not entitled to damages calculated on a total cost basis, but must prove actual damages. Contractor is not entitled to speculative, special, or consequential damages, including home office overhead or any form of overhead not directly incurred at the Project site or any other Worksite; lost profits; loss of productivity; lost opportunity to work on other projects; diminished bonding capacity; increased cost of financing for the Project; extended capital costs; non-availability of labor, material or equipment due to delays; or any other indirect loss arising from the Contract. The Eichleay Formula or similar formula will not be used for any recovery under the Contract. The City will not be directly liable to any Subcontractor or supplier.
- 12.9 Legal Proceedings.** In any legal proceeding that involves enforcement of any requirements of the Contract Documents, the finder of fact will receive detailed instructions on the meaning and operation of the Contract Documents, including conditions, limitations of liability, remedies, claim procedures, and other provisions bearing on the defenses and theories of liability. Detailed findings of fact will be requested to verify enforcement of the Contract Documents. All of the City's remedies under the Contract Documents will be construed as cumulative, and not exclusive, and the City reserves all rights to all remedies available under law or equity as to any dispute arising from or relating to the Contract Documents or performance of the Work.
- 12.10 Other Disputes.** The procedures in this Article 12 will apply to any and all disputes or legal actions, in addition to Claims, arising from or related to this Contract, including disputes regarding suspension or early termination of the Contract, unless and only to the extent that compliance with a procedural requirement is expressly and specifically waived by City. Nothing in this Article is intended to delay suspension or termination under Article 13.

## Article 13 - Suspension and Termination

- 13.1 Suspension for Cause.** In addition to all other remedies available to City, if Contractor fails to perform or correct Work in accordance with the Contract Documents, including non-compliance with applicable environmental or health and safety Laws, City may immediately order the Work, or any portion of it, suspended until the circumstances giving rise to the suspension have been eliminated to City's satisfaction.
- (A) **Notice of Suspension.** Upon receipt of City's written notice to suspend the Work, in whole or in part, except as otherwise specified in the

notice of suspension, Contractor and its Subcontractors must promptly stop Work as specified in the notice of suspension; comply with directions for cleaning and securing the Worksite; and protect the completed and in-progress Work and materials. Contractor is solely responsible for any damages or loss resulting from its failure to adequately secure and protect the Project.

(B) **Resumption of Work.** Upon receipt of the City's written notice to resume the suspended Work, in whole or in part, except as otherwise specified in the notice to resume, Contractor and its Subcontractors must promptly re-mobilize and resume the Work as specified; and within ten days from the date of the notice to resume, Contractor must submit a recovery schedule, prepared in accordance with the Contract Documents, showing how Contractor will complete the Work within the Contract Time.

(C) **Failure to Comply.** Contractor will not be entitled to an increase in Contract Time or Contract Price for a suspension occasioned by Contractor's failure to comply with the Contract Documents.

(D) **No Duty to Suspend.** City's right to suspend the Work will not give rise to a duty to suspend the Work, and City's failure to suspend the Work will not constitute a defense to Contractor's failure to comply with the requirements of the Contract Documents.

**13.2 Suspension for Convenience.** City reserves the right to suspend, delay, or interrupt the performance of the Work in whole or in part, for a period of time determined to be appropriate for City's convenience. Upon notice by City pursuant to this provision, Contractor must immediately suspend, delay, or interrupt the Work and secure the Project site as directed by City, except for taking measures to protect completed or in progress Work as directed in the suspension notice, and subject to the provisions of Section 13.1(A) and (B), above. If Contractor submits a timely request for a Change Order in compliance with Articles 5 and 6, the Contract Price and the Contract Time will be equitably adjusted by Change Order pursuant to Articles 5 and 6 to reflect the cost and delay impact occasioned by such suspension for convenience except to the extent that any such impacts were caused by Contractor's failure to comply with the Contract Documents or the terms of the suspension notice or notice to resume. However, Contract Time will only be extended if the suspension causes or will cause unavoidable delay in Final Completion. If Contractor disputes the terms of a Change Order issued for such equitable adjustment due to suspension for convenience, its sole recourse is to comply with the Claim procedures in Article 12.

**13.3 Termination for Default.** City may declare that Contractor is in default of the Contract for a material breach of or inability to fully, promptly, or satisfactorily perform its obligations under the Contract.

(A) **Default.** Events giving rise to a declaration of default include Contractor's refusal or failure to supply sufficient skilled workers, proper materials, or equipment to perform the Work within the Contract Time; Contractor's refusal or failure to make prompt payment to its employees, Subcontractors, or suppliers or to correct defective Work or damage; Contractor's failure to comply with the Laws, or orders of any public agency with jurisdiction over the Project; evidence of Contractor's bankruptcy, insolvency, or lack of financial capacity to complete the Work as required within the Contract Time; suspension, revocation, or expiration and nonrenewal of Contractor's license or DIR registration; dissolution, liquidation, reorganization, or other major change in Contractor's organization, ownership, structure or existence as a business entity; unauthorized assignment of Contractor's rights or duties under the Contract; or any material breach of the Contract requirements.

(B) **Notice of Default and Opportunity to Cure.** Upon City's declaration that Contractor is in default, due to a material breach of the Contract Documents, if City determines that the default is curable, City will afford Contractor the opportunity to cure the default within ten days of City's notice of default, or within a period of time reasonably necessary for such cure, including a shorter period of time if applicable.

(C) **Termination.** If Contractor fails to cure the default or fails to expediently take steps reasonably calculated to cure the default within the time period specified in the notice of default, City may issue written notice to Contractor and its performance bond surety of City's termination of the Contract for default.

(D) **Waiver.** Time being of the essence in the performance of the Work, if Contractor's surety fails to arrange for completion of the Work in accordance with the Performance Bond, within seven calendar days from the date of the notice of termination, pursuant to paragraph (C), City may immediately make arrangements for the completion of the Work through use of its own forces, by hiring a replacement contractor, or by any other means that City determines advisable under the circumstances. Contractor and its surety will be jointly and severally liable for any additional cost incurred by City to complete the Work following termination, where "additional cost" means all cost in excess of the cost City would have incurred if Contractor had timely completed Work without the default and termination. In addition, City will have the right to immediate possession and use of any materials, supplies, and equipment

procured for the Project and located at the Project site or any Worksite on City property for the purposes of completing the remaining Work.

(E) **Compensation.** Within 30 days of receipt of updated as-builts, all warranties, manuals, instructions, or other required documents for Work installed to date, and delivery to City of all equipment and materials for the Project for which Contractor has already been compensated, Contractor will be compensated for the Work satisfactorily performed in compliance with the Contract Documents up to the effective date of the termination pursuant to the terms of Article 8, Payment, subject to City's rights to withhold or deduct sums from payment otherwise due pursuant to Section 8.3, and excluding any costs Contractor incurs as a result of the termination, including any cancellation or restocking charges or fees due to third parties. If Contractor disputes the amount of compensation determined by City, its sole recourse is to comply with the Claim Procedures in Article 12, by submitting a Claim no later than 30 days following notice from City of the total compensation to be paid by City.

(F) **Wrongful Termination.** If Contractor disputes the termination, its sole recourse is to comply with the Claim procedures in Article 12. If a court of competent jurisdiction or an arbitrator later determines that the termination for default was wrongful, the termination will be deemed to be a termination for convenience, and Contractor's damages will be strictly limited to the compensation provided for termination for convenience, under Section 13.4, below. Contractor waives any claim for any other damages for wrongful termination including special or consequential damages, lost opportunity costs or lost profits, and any award of damages is subject to Section 12.8, Burden of Proof and Limitations.

**13.4 Termination for Convenience.** City reserves the right, acting in its sole discretion, to terminate all or part of the Contract for convenience upon written notice to Contractor.

(A) **Compensation to Contractor.** In the event of City's termination for convenience, Contractor waives any claim for damages, including for loss of anticipated profits from the Project. The following will constitute full and fair compensation to Contractor, and Contractor will not be entitled to any additional claim or compensation.

(1) **Completed Work.** The value of its Work satisfactorily performed as of the date notice of termination is received, based on Contractor's schedule of values and unpaid costs for items delivered to the Project site that were fabricated for incorporation in the Work;

(2) **Demobilization.** Demobilization costs specified in the schedule of values, or if demobilization costs were not provided in a schedule of values pursuant to Section 8.1, then based on actual, reasonable, and fully documented demobilization costs; and

(3) **Termination Markup.** Five percent of the total value of the Work performed as of the date of notice of termination including reasonable, actual, and documented costs to comply with the direction in the notice of termination for convenience, and demobilization costs, which is deemed to cover all overhead and profit to date.

(B) **Disputes.** If Contractor disputes the amount of compensation determined by City pursuant to paragraph (A), above, its sole recourse is to comply with the Claim procedures in Article 12, by submitting a Claim no later than 30 days following notice from City of total compensation to be paid by City.

**13.5 Actions Upon Termination for Default or Convenience.** The following provisions apply to any termination under this Article, whether for default or convenience, and whether in whole or in part.

(A) **General.** Upon termination, City may immediately enter upon and take possession of the Project and the Work and all tools, equipment, appliances, materials, and supplies procured or fabricated for the Project. Contractor will transfer title to and deliver all completed Work and all Work in progress to City.

(B) **Submittals.** Unless otherwise specified in the notice of termination, Contractor must immediately submit to City all designs, drawings, as-built drawings, Project records, contracts with vendors and Subcontractors, manufacturer warranties, manuals, and other such submittals or Work-related documents required under the terms of the Contract Documents, including incomplete documents or drafts.

(C) **Close Out Requirements.** Except as otherwise specified in the notice of termination, Contractor must comply with all of the following:

(1) Immediately stop the Work, except for any Work that must be completed pursuant to the notice of termination and comply with City's instructions for cessation of labor and securing the Project and any other Worksite(s).

(2) Comply with City's instructions to protect the completed Work and materials, using best efforts to minimize further costs.

(3) Contractor must not place further orders or enter into new subcontracts for materials, equipment, services or facilities, except as may be necessary to complete any portion of the Work that is not terminated.

(4) As directed in the notice, Contractor must assign to City or cancel existing subcontracts that relate to performance of the terminated Work, subject to any prior rights, if any, of the surety for Contractor's performance bond, and settle all outstanding liabilities and claims, subject to City's approval.

(5) As directed in the notice, Contractor must use its best efforts to sell any materials, supplies, or equipment intended solely for the terminated Work in a manner and at market rate prices acceptable to City.

(D) ***Payment Upon Termination.*** Upon completion of all termination obligations, as specified herein and in the notice of termination, Contractor will submit its request for Final Payment, including any amounts due following termination pursuant to this Article 13. Payment will be made in accordance with the provisions of Article 8, based on the portion of the Work satisfactorily completed, including the close out requirements, and consistent with the previously submitted schedule of values and unit pricing, including demobilization costs. Adjustments to Final Payment may include deductions for the cost of materials, supplies, or equipment retained by Contractor; payments received for sale of any such materials, supplies, or equipment, less re-stocking fees charged; and as otherwise specified in Section 8.3, Adjustment of Payment Application.

(E) ***Continuing Obligations.*** Regardless of any Contract termination, Contractor's obligations for portions of the Work already performed will continue and the provisions of the Contract Documents will remain in effect as to any claim, indemnity obligation, warranties, guarantees, submittals of as-built drawings, instructions, or manuals, record maintenance, or other such rights and obligations arising prior to the termination date.

## Article 14 - Miscellaneous Provisions

**14.1 Assignment of Unfair Business Practice Claims.** Under Public Contract Code Section 7103.5, Contractor and its Subcontractors agree to assign to City all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Section 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from

purchases of goods, services, or materials pursuant to the Contract or any subcontract. This assignment will be effective at the time City tenders Final Payment to Contractor, without further acknowledgement by the parties.

- 14.2 Provisions Deemed Inserted.** Every provision of law required to be inserted in the Contract Documents is deemed to be inserted, and the Contract Documents will be construed and enforced as though such provision has been included. If it is discovered that through mistake or otherwise that any required provision was not inserted, or not correctly inserted, the Contract Documents will be deemed amended accordingly.
- 14.3 Waiver.** City's waiver of a breach, failure of any condition, or any right or remedy contained in or granted by the provisions of the Contract Documents will not be effective unless it is in writing and signed by City. City's waiver of any breach, failure, right, or remedy will not be deemed a waiver of any other breach, failure, right, or remedy, whether or not similar, nor will any waiver constitute a continuing waiver unless specified in writing by City.
- 14.4 Titles, Headings, and Groupings.** The titles and headings used and the groupings of provisions in the Contract Documents are for convenience only and may not be used in the construction or interpretation of the Contract Documents or relied upon for any other purpose.
- 14.5 Statutory and Regulatory References.** With respect to any amendments to any statutes or regulations referenced in these Contract Documents, the reference is deemed to be the version in effect on the date that bids were due.
- 14.6 Survival.** The provisions that survive termination or expiration of this Contract include Contract Section 11, Notice, and subsections 12.1, 12.2, 12.3, 12.4, 12.5, and 12.6 of Section 12, General Provisions; and the following provisions in these General Conditions: Section 2.2(J), Contractor's Records, Section 2.3(C), Termination, Section 3.7, Ownership, Section 4.2, Indemnity, Article 12, Dispute Resolution, and Section 11.2, Warranty.

#### END OF GENERAL CONDITIONS

## SPECIAL CONDITIONS

**1. Shop Drawings.** Whenever Shop Drawings are required by the Contract Documents or by the Engineer, Contractor must submit five (5) prints of each shop drawing to the Engineer.

(A) If three (3) prints of the drawing are returned to Contractor marked "NO EXCEPTIONS TAKEN," further revision of the drawings will not be required. If one (1) print of the drawing is returned to Contractor marked "REVISE AND RESUBMIT," Contractor must revise the drawing and resubmit five (5) copies of the revised drawing to the Engineer. City reserves the right to withhold payment due Contractor to cover additional costs of the Engineer's review beyond the second submission.

(B) Fabrication of an item may not commence before the Engineer has reviewed the pertinent shop drawings and returned copies to Contractor marked either "NO EXCEPTIONS TAKEN" or "MAKE CORRECTIONS NOTED."

(C) Revisions indicated on shop drawings are deemed necessary to meet the existing requirements of the Contract Documents and may not be taken as the basis of claims for extra Work. Contractor is not entitled to claim for damages or extension of time due to any delay resulting from making the required revisions to shop drawings. The Engineer's review of the shop drawings does not relieve Contractor of responsibility for any errors or omissions contained in the shop drawings nor will such review operate to waive or modify any provision contained in the Contract Documents.

**2. Waste Water.** City will provide water required for performance of the Work. Contractor is responsible for the appropriate disposal of waste water in coordination with City personnel. Contractor must provide a backflow preventer on all point of connections to City's Water System. All backflow preventers must be checked and approved by City's Public Works Water Division. Contractor must provide a deposit (refundable) and make necessary arrangements to pick up a hydrant meter at City's Public Works Office. At the completion of the Project, if the hydrant meter is not returned promptly or if it is damaged, Contractor shall forfeit its deposit.

**3. Equipment.** Contractor must provide and use equipment and plants suitable to produce the quality of Work and materials required by the Contract Documents. Contractor may be required to remove equipment which the Engineer deems unsuitable for the Work. Contractor must ensure that equipment is operated by trained, experienced operators, and at a speed or rate of production not to exceed that recommended by the manufacturer. Any vehicles

used to haul materials over existing streets and highways must be equipped with pneumatic tires.

**4. Lines and Grades.** The Engineer will set the stakes or marks necessary to establish the lines and grades required for the completion of the Work in accordance with the Contract Documents. Contractor must give at least two (2) working days' notice to the Engineer of the need for setting any lines and grades.

(A) **Measurements.** Distances and measurements are given and will be made in a horizontal plane. Grades are given from the top of stakes or nail unless otherwise noted. Three (3) consecutive points shown on the same rate of slope must be used in common in order to detect any variation from a straight grade. Any variation from a straight grade, straight slope or line, must be reported to the Engineer. If such discrepancy is not reported to the Engineer, Contractor is responsible for any error in the finished work.

(B) **Stakes.** Contractor must preserve all stakes and points set for lines, grades, or measurements of the Work in their proper places until authorized by the Engineer to remove them. All expense incurred by replacing stakes that have been removed without proper authority may be deducted from any payment due to Contractor.

**5. Disposal of Materials Outside of Street Right-of-Way.** Unless otherwise specified in the Specifications or Special Conditions, Contractor is solely responsible for disposing of materials outside the street right-of-way and for all associated costs. Before disposing materials outside the street right-of-way, Contractor must 1) obtain a written release from the property owner releasing City from any and all responsibility in connection with the disposal of material on that property; and 2) obtain permission from the Engineer to dispose of the material at the permitted location.

**6. Emergency Contact.** Prior to the commencement of Work on the Project, Contractor must provide contact information to the Engineer for the person designated by Contractor to respond to any emergency that arises on the Worksite during the course of the Project. That person will be responsible for responding to the Worksite within thirty (30) minutes following notification of an emergency by City's Police or Fire Department, regardless of the time of day.

**7. Right-of-Way.** City will provide the right-of-way for performance of the Work. Contractor is solely responsible for any additional area required outside of the designated the right-of-way, unless otherwise provided in the Contract Documents.

(A) **Environmental Control.** Contractor must not pollute any drainage course or its tributary inlets with fuels, oils, bitumens, acids, insecticides,

herbicides or other harmful materials. Contractor and its subcontractors shall at all times in the performance of the Work comply with all applicable federal, state, and local laws and regulations concerning pollution of waterways.

## **8. Authorized Work Days and Hours.**

- (A) **Authorized Work Days.** Except as expressly authorized in writing by City, Contractor is limited to performing Work on the Project on the following days of the week, excluding holidays observed by City:
- (B) **Authorized Work Hours.** Except as expressly authorized in writing by City, Contractor is limited to performing Work on the Project during the following hours:

**9. Pre-Construction Conference.** City will designate a date and time for a pre-construction conference with Contractor following Contract execution. Project administration procedures and coordination between City and Contractor will be discussed, and Contractor must present City with the following information or documents at the meeting for City's review and acceptance before the Work commences:

- 9.1** Name, 24-hour contact information, and qualifications of the proposed on-site superintendent;
- 9.2** List of all key Project personnel and their complete contact information, including email addresses and telephone numbers during regular hours and after hours;
- 9.3** Staging plans that identify the sequence of the Work, including any phases and alternative sequences or phases, with the goal of minimizing the impacts on residents, businesses, and other operations in the Project vicinity;
- 9.4** If required, traffic control plans associated with the staging plans that are signed and stamped by a licensed traffic engineer;
- 9.5** Draft baseline schedule for the Work as required under Section 5.2 of the General Conditions, to be finalized within ten days after City issues the Notice to Proceed;
- 9.6** Breakdown of lump sum bid items, to be used for determining the value of Work completed for future progress payments to Contractor;

- 9.7 Schedule with list of Project submittals that require City review, and list of the proposed material suppliers;
- 9.8 Plan for coordination with affected utility owner(s) and compliance with any related permit requirements;
- 9.9 Videotape and photographs recording the conditions throughout the pre-construction Project site, showing the existing improvements and current condition of the curbs, gutters, sidewalks, signs, landscaping, streetlights, structures near the Project such as building faces, canopies, shades and fences, and any other features within the Project area limits;
- 9.10 If requested by City, Contractor's cash flow projections; and
- 9.11 Any other documents specified in the Special Conditions or Notice of Award.

**10. Weather Delay Days.** This provision is intended to supplement the requirements of General Conditions Section 5.2 on Schedule Requirements and Section 5.3 on Delays and Extensions of Contract Time.

- (A) **Weather Delay Day.** A Weather Delay Day is a Working Day during which Contractor and its forces, including Subcontractors, are unable to perform more than forty percent (40%) of the critical path Work scheduled for that day due to adverse weather conditions which impair the ability to safely or effectively perform the scheduled critical path Work that day. Adverse weather conditions may include rain, saturated soil, and Worksite clean-up required due to adverse weather. Determination of what constitutes critical path Work scheduled for that day will be based on the most current, City-approved schedule.
- (B) **Normal Weather Delay Days.** Based on historic records for the Project location, Contractor's schedule should assume the following number of normal Weather Delay Days for each month:

Month	# Normal Weather Delay Days
January	—
February	—
March	—
April	—
May	—
June	—
July	—
August	—

September	—
October	—
November	—
December	—

Weather Delay Days which do not occur during a given month based on the number of days allocated for that month (above) do not carry over to another month.

**11. Construction Manager Role and Authority.** <\_\_\_\_\_> is the Construction Manager for this Project. The Construction Manager will assist City in the management of the construction of the Project. The Construction Manager may perform services in the areas of supervision and coordination of the work of Contractor and/or other contractors, scheduling the Work, monitoring the progress of the Work, providing City with evaluations and recommendations concerning the quality of the Work, recommending the approval of progress payments to Contractor, or other services for the Project in accordance with the Construction Manager's contract with City.

**11.1 Communications.** Contractor must submit all notices and communications relating to the Work directly to the Construction Manager in writing, as follows:

*<insert contact information>*

With a copy to the Engineer:

*<insert contact information>*

**11.2 On-Site Management and Communication Procedures.** The Construction Manager will provide and maintain a management team on the Project site to provide contract administration as an agent of City, and will establish and implement coordination and communication procedures among City, the Design Professional, Contractor, and others.

**11.3 Contract Administration Procedures.** The Construction Manager will establish and implement procedures for reviewing and processing requests for clarifications and interpretations of the Contract Documents, Shop Drawings, samples, other submittals, schedule adjustments, Change Order proposals, written proposals for substitutions, payment applications, and maintenance of logs.

**11.4 Pre-Construction Conference.** Contractor will attend the pre-construction conference, during which the Construction Manager

will review the Contract administration procedures and Project requirements.

- 11.5 **Contractor's Construction Schedule.** The Construction Manager will review Contractor's construction schedules and will verify that each schedule is prepared in accordance with the requirements of the Contract Documents.
- 11.6. **Federally Funded Projects.** This Project is funded in whole or in part by federal funds and subject to the following federal requirements under the terms of the funding agreement(s) between City and the federal agency or agencies providing federal funds, which are fully incorporated by this reference and made part of the Contract Documents. Copies of any funding agreement between City and a funding agency will be made available upon request.
- 11.7 **Equal Opportunity.** During the performance of this Contract, the Contractor agrees as follows:
  - (A) The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action will include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
  - (B) The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.
  - (C) The Contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision will not apply to instances in which an employee who has access to the

compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the Contractor's legal duty to furnish information.

(D) The Contractor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the labor union or workers' representatives of the Contractor's commitments under this section, and will post copies of the notice in conspicuous places available to employees and applicants for employment.

(E) The Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the United States Secretary of Labor.

(F) The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the United States Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the United States Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(G) In the event of the Contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the rules, regulations, or orders, this Contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further federal government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the United States Secretary of Labor, or as otherwise provided by law.

(H) The Contractor will include the portion of the sentence immediately preceding paragraph (A) and the provisions of paragraphs (A) through (H) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the United States Secretary of Labor issued pursuant to section 204 of

Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each Subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the City or funding agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: *Provided*, however, that in the event a Contractor becomes involved in, or is threatened with, litigation with a Subcontractor or vendor as a result of such direction by the City or funding agency, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

**11.8 Davis-Bacon Act.** Contractor will pay wages to laborers and mechanics, not less than once a week, and at a rate not less than the current federal prevailing wages specified in the Davis-Bacon Act Wage Determination attached hereto and incorporated herein. By entering into this Contract, Contractor accepts the attached Wage Determination. <The current Davis-Bacon Act Wage Determination, which may be accessed at <https://sam.gov/content/wage-determinations>, must be printed and included with the Contract Documents. Also, consult the federal funding agency representative for any required contract provisions for compliance with the Davis-Bacon Act and related acts and incorporate the federal agency-specific requirements, as appropriate.

**11.9 Copeland “Anti-Kickback” Act.** Contractor will comply with 18 U.S.C. § 874, 40 U.S.C. § 3145, and the requirements of 29 CFR Part 3 as may be applicable, which are incorporated by reference into this Contract. Contractor and Subcontractors must insert this requirement into subcontracts of any tier. Contractor is responsible for compliance with these requirements by each Subcontractor of any tier.

**11.10 Contract Work Hours and Safety Standards Act.** In addition to the California state law requirements in Article 9 of the General Conditions, Contractor and each Subcontractor must comply with the requirements of the federal Contract Work Hours and Safety Standards Act, as set forth in 40 U.S.C. 3701-3708, as supplemented by the regulations set forth in 29 CFR Part 5, as may be amended from time to time, which are fully incorporated herein, including:

(A) No Contractor or Subcontractor will require or permit any laborer or mechanic performing Work for the Project to work in excess of 40 hours in a work week unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours during that work week.

(B) If Contractor or a Subcontractor violates this requirement, the Contractor and any responsible Subcontractor will be liable for the unpaid wages. In addition, the Contractor and Subcontractor will be liable to the United States for liquidated damages. The liquidated damages will be computed with respect to each individual worker as specified under federal law.

(C) Contractor and Subcontractors must insert this requirement into subcontracts of any tier. Contractor is responsible for compliance with these requirements by each Subcontractor of any tier.

**11.11 Rights to Inventions.** If the federal funding for this Contract meets the definition of “funding agreement” under 37 CFR § 401.2(a) and constitutes an agreement between the City and a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,” the requirements of 37 CFR Part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by the awarding agency, will apply to this Contract and are fully incorporated into the Contract Documents by this reference.

**11.12 Clean Air Act.** If the Contract is for an amount in excess of \$150,000, Contractor and each Subcontractor must comply with the requirements of the Clean Air Act, as amended, (42 U.S.C. §§ 7401-7671q), which are fully incorporated into the Contract Documents by this reference, including requirements for reporting violations to the awarding agency and the applicable Regional Office for the Environmental Protection Agency. Contractor and Subcontractors must insert this requirement into subcontracts of any tier in excess of \$150,000.

**11.13 Federal Water Pollution Control Act.** If the Contract is for an amount in excess of \$150,000, the requirements of the Federal Water Pollution Control Act (33 U.S.C. §§ 1251-1387) apply to this Contract and are fully incorporated into the Contract Documents by this reference, including requirements for reporting violations to the awarding agency and the applicable Regional Office for the Environmental Protection Agency. Contractor and Subcontractors must insert this requirement into subcontracts of any tier in excess of \$150,000.

**11.14 Suspension and Debarment.** Contractor is required to verify that neither it, nor its principals, as defined at 2 CFR § 180.995, or its affiliates, as defined at 2 CFR § 180.905, are excluded or disqualified, as defined at 2 CFR §§ 180.935 and 180.940. Contractor must comply with 2 CFR Part

180, subpart C and 2 CFR Part 3000, subpart C, and must include a provision requiring compliance with these regulations in any subcontract of any tier. If it is later determined that the Contractor did not comply with the applicable subparts, the Federal Government may pursue available remedies, including, but not limited to, suspension and/or debarment. By submitting a bid and entering into this Contract, Contractor agrees to comply with these requirements.

**11.15 Byrd Anti-Lobbying Amendment.** If the Contract is for an amount in excess of \$100,000, Contractor must comply with the Byrd Anti-Lobbying Amendment (31 U.S.C. § 1352) and file the certification provided at 44 CFR Part 18, Appendix A, and any disclosures, with the applicable federal agency. Each tier certifies to the tier above that it will not and has not used federal-appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any federal contract, grant, or any other award covered by 31 U.S.C. § 1352. Each tier will also disclose any lobbying with non-federal funds that takes place in connection with obtaining any federal award. Such disclosures will be forwarded from tier to tier up to the recipient.

**11.16 Procurement of Recovered Materials.** The requirements of Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act at 42 U.S.C. § 6962, apply to this Contract and are fully incorporated into the Contract Documents by this reference. For individual purchases of \$10,000 or more, Contractor will make maximum use of products containing recovered materials that are EPA-designated items unless the product cannot be acquired (A) competitively within the Contract schedule, (B) in conformance with Contract performance requirements, or (C) at a reasonable price. Information on this requirement, including a list of EPA-designated items, is available at the EPA's Comprehensive Procurement Guidelines website: <https://www.epa.gov/smm/comprehensive-procurement-guideline-cpg-program>.

**11.17 Prohibition on Covered Telecommunications.** Federal loan or grant funds must not be obligated or expended to procure or obtain, extend or renew a contract to procure or obtain, or enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that use covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system, as further specified in 2 CFR § 200.216, which is fully incorporated into the Contract Documents by this reference. Covered telecommunications equipment or services includes equipment produced by, services provided by, or services using equipment produced by:

Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities); Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities); or an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country.

**11.18 Domestic Preferences for Procurements.** As appropriate and to the extent consistent with Laws, the City should, to the greatest extent practicable under a federal award, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States, as further specified in 2 CFR § 200.322, which is fully incorporated into the Contract Documents by this reference, including, but not limited to, iron, aluminum, steel, cement, and other manufactured products, as specified therein. The requirements of 2 CFR § 200.322 must be included in all subcontracts and purchase orders for work or products under the federal award. <Consult the federal funding agency representative for additional requirements pertaining to domestic preferences under the Build America, Buy America Act, if applicable, and incorporate the federal agency-specific requirements, as appropriate.>

- 12. Federal Contract Requirements.** This Project is funded in whole or in part by federal funds administered under Caltrans' Local Assistance Procedures Manual ("LAPM"). LAPM contract requirements are provided in Appendix B - Federal Contract Requirements. Contractor must comply with the requirements set forth in Appendix B.
- 13. Close Out Requirements.** Contractor's close out requirements include the following, if applicable:
  - 13.1** Contractor must replace, with thermoplastic, any existing striping within and adjacent to the Project site that is damaged during the Work. Partially damaged striping must be replaced in its entirety.
  - 13.2** Contractor must replace any survey monuments that are damaged or removed during the Work, with a Record of Survey filed by a licensed land surveyor as required by California law.
  - 13.3** Before removing any traffic control or street signs on the Project site, Contractor must take photographs showing their original locations. Upon completion of each phase of construction, Contractor must temporarily reset the signs at those locations. Contractor must then replace the signs permanently upon

completion of the Work and the cost of their removal and replacement must be included in the Bid Proposal.

- 13.4** Contractor must maintain any rural mail boxes on the Project site and relocate them to their permanent locations as soon as possible in the course of the Work, to the satisfaction of the affected property owners and the postal service.

**14. Value Engineering.** The Contractor may be entitled to additional compensation for cost reduction changes made pursuant to a value engineering proposal submitted by the Contractor, subject to the limitations of Public Contract Code Section 7101, and in strict compliance with this Section \_\_\_. Contractor will not be entitled to any such additional compensation unless all of the following requirements have been met:

- 14.1** The Contractor must submit a written proposal for changes to the Plans or Specifications for the Project, in which it:
- (A) Identifies the written proposal as a proposal for cost reduction changes with reference to this section;
  - (B) Clearly and specifically identifies the proposed cost reduction changes by describing in detail each of the changes proposed with specific references to each of the Specifications and Plans involved in the proposed changes, and providing proposed revised Specifications and Plans as applicable; and
  - (C) Estimates the net amount of the cost reduction and provides the basis for that estimate.
- 14.2** The proposed changes have been identified and developed solely by the Contractor, and not, in whole or in part, by the City.
- 14.3** The City accepts the proposed changes in whole or in part in a writing signed by the Engineer. The Contractor will only be entitled to additional compensation for those changes specifically accepted by the City. The Engineer will determine the net savings in construction costs from any such changes that are both accepted and implemented by the City. Contractor will not be entitled to more than 50% of the net savings as determined by the Engineer, acting in his or her sole discretion.

#### END OF SPECIAL CONDITIONS

## Technical Specification

### 1.0 SCOPE OF WORK

*The Project's Scope of Work is described as follows, but not limited to: Pavement Milling and Filling, Hot Mix Asphalt Concrete Overlay, Full Depth Asphalt Concrete Pavement Repairs, Crack Sealing, Slurry Seal, Replacement of all Existing Traffic Delineation and Markings, Utility Adjustments, Traffic Signal Loop Restorations, and all related work on various streets within the City Limits. The City in advance of the work will mark all work limits as required by the plans and specifications.*

- *East Dunne Avenue Overpass portion of the project shall be completed during evening hours from 9pm to 5am.*
- *No Work at East Dunne Avenue Overpass shall commence until an encroachment permit with a Traffic Engineer Stamped is approved by both City and CALTRANS.*
- *Work will not commence until the 12" sewer main is installed at Condit Avenue/Dunne Intersection is completed (Tentatively set to be completed by August 15, 2024.)*
- *As noted on project plans, all concrete work shall be performed from 9am to 4pm, Monday to Friday with all necessary approved traffic control measures.*

### 2.0 MOBILIZATION

a. Description: Mobilization shall consist of preparatory work and operations, including but not limited to, that necessary for the movement of personnel, equipment, supplies, and incidentals to the project site, and for all other work and operations which must be performed or for costs incurred prior to beginning work and in the course of work on various contract items at the project site. Mobilization shall be in accordance with Section 11 "Mobilization" of the Standard Specifications of the State of California, Department of Transportation (CSS).

b. Measurement and Payment: Mobilization shall be measured on a lump sum basis. The contract lump sum price paid for "Mobilization" shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions including, but not limited to, all mobilization, remobilization, demobilization, moving to new locations, and replacing and disposing of material, as specified in the CSS and these Technical Provisions, and as directed by the Engineer. The contract lump sum price paid for "Mobilization" shall not exceed 5% of the total of all other bid items.

The contract lump sum price paid for "Mobilization for Cattracking and

Striping” The contract lump sum price paid for “Mobilization” shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions including, but not limited to, all mobilization, remobilization, demobilization, moving to new locations, and replacing and disposing of material, as specified in the CSS and these Technical Provisions, and as directed by the Engineer.

### **3.0 Water Pollution Control**

a. Description: Water pollution control work shall conform to the provisions in Section 13, “Water Pollution Control,” of the CSS and these Technical Provisions.

*Water pollution control work shall conform to the requirements in the “Storm Water Pollution Prevention Plan (SWPPP) and Water Pollution Control Program (WPCP) Preparation Manual” and the “Construction Site Best Management Practices (BMPs) Manual,” and addenda thereto issued up to, and including, the date of advertisement of the project. These manuals are hereinafter referred to respectively as the “Preparation Manual” and the “Construction Site BMPs Manual,” and collectively, as the “Manuals.” Copies of the Manuals may be obtained from the Caltrans Construction webmaster at [Construction.webmaster@dot.ca.gov](mailto:Construction.webmaster@dot.ca.gov), and from the Department’s Internet website at <https://dot.ca.gov/programs/construction/storm-water-and-water-pollution-control/manuals-and-and-handbooks>.*

*The Contractor shall know and fully comply with applicable provisions of the Manuals, and Federal, State, and local regulations and requirements that govern the Contractor’s operations and storm water and non-storm water discharges from both the project site and areas of disturbance outside the project limits during construction. Attention is directed to Sections 7-1.01, “Laws to be Observed,” and 7-1.12, “Indemnification and Insurance,” of the CSS.*

*Water pollution control requirements shall apply to storm water and non-storm water discharges from areas outside the project site which are directly related to construction activities for this contract including, but not limited to, asphalt batch plants, material borrow areas, concrete plants, staging areas, storage yards and access roads. The Contractor shall comply with the Manuals for those areas and shall implement, inspect and maintain the required water pollution control practices.*

*The Contractor shall be responsible for penalties assessed or levied on the Contractor or the City as a result of the Contractor’s failure to comply with the provisions in this section “Water Pollution Control” including, but not limited to, compliance with the applicable provisions of the Manuals, and Federal, State and local regulations and requirements as set forth therein.*

*Penalties as used in this section shall include fines, penalties and damages, whether proposed, assessed, or levied against the City or the Contractor, including those levied under the Federal Clean Water Act and the State Porter-Cologne Water Quality Control Act, by governmental agencies or as a result of citizen suits. Penalties shall also include payments made or costs incurred in settlement for alleged violations of the Manuals, or applicable laws, regulations, or requirements. Costs incurred could*

*include sums spent instead of penalties, in mitigation or to remediate or correct violations.*

b. Measurement and Payment: Full compensation for water pollution control shall be considered as included in the contract price paid for various items of work involved and no additional compensation will be allowed therefor. The Contractor shall install the BMPs as called out in the WPCP and doing all work involved in compliance with the CSS, these Technical Provisions, and as directed by the Engineer.

#### **4.0 Scheduling**

a. Description: The Contractor shall submit a complete, tentative project schedule at the time of the pre-construction meeting. A minimum of five (5) working days prior to beginning work on any of the streets, the Contractor shall submit to the Engineer for approval a detailed written schedule of work listing the dates on which individual streets shall be surfaced. Following approval, the Contractor shall adhere diligently to the approved written schedule in the prosecution of the work.

*The Contractor shall post “No Parking” signs on affected streets indicating the date of work at least three (3) calendar days prior to the actual work. The “No Parking” signs*

*shall be posted on Type 1 barricades with the dates and times of the parking restriction(s); the signs shall also legibly list the Contractor’s company name and phone number. The signage shall be posted every 25’ and the Contractor shall notify the City of all “No Parking” postings for City verification. The Contractor may propose an alternative plan if it provides reasonable alternative parking locations for residents and businesses; the alternative plan is subject to City review and approval prior to any parking restriction posting.*

*The Contractor shall also notify individual residents and businesses who will be adversely affected by the work in writing via fliers or door hangers one (1) week prior to the start of the work. The Contractor shall submit for approval by the Engineer a sample of the written notification to be supplied to residents. This sample shall be submitted at the time of the pre-construction meeting. Any expenses incurred by delays caused by the failure of the Contractor to adhere to the approved schedule or to properly notify residents shall be borne solely by the Contractor.*

b. Measurement and Payment: Full compensation for scheduling shall be considered as included in the contract price paid for various items of work involved and no additional compensation will be allowed therefor.

#### **5.0 Construction Area Traffic Control Devices**

a. Description: Flagging, signs, and all other traffic control devices furnished,

installed, maintained, and removed when no longer required shall conform to the provisions in Section 12, "Construction Area Traffic Control Devices," of the CSS and these Technical Provisions.

*Category 1 traffic control devices are defined as those devices that are small and lightweight (less than 45 kg {100 pounds}) and have been in common use for many years. The devices shall be known to be crashworthy by crash testing, crash testing of similar devices, or years of demonstrable safe performance. Category 1 traffic control devices include traffic cones, plastic drums, portable delineators, and channelizers.*

*If requested by the Engineer, the Contractor shall provide written self-certification for crashworthiness of Category 1 traffic control devices. Self-certification shall be provided by the manufacturer or Contractor and shall include the following: date, Federal Aid number (if applicable), expenditure authorization, district, county, route and kilometer post{post mile} of project limits; company name of certifying vendor, street address, city, state and zip code; printed name, signature and title of certifying person; and an indication of which Category 1 traffic control devices will be used on the project. The Contractor may obtain a standard form for self-certification from the Engineer.*

*Category 2 traffic control devices are defined as those items that are small and lightweight (less than 45 kg {100 pounds}), and that are not expected to produce significant vehicular velocity change, but may otherwise be potentially hazardous. Category 2 traffic control devices include: barricades and portable sign supports.*

Category 2 devices purchased on or after October 1, 2000 shall be on the Federal Highway Administration (FHWA) Acceptable Crashworthy Category 2 Hardware for Work Zones list. This list is maintained by FHWA and can be located at the following internet address:

[https://safety.fhwa.dot.gov/roadway\\_dept/countermeasures/reduce\\_crash\\_severity/listing.cfm?code=workzone](https://safety.fhwa.dot.gov/roadway_dept/countermeasures/reduce_crash_severity/listing.cfm?code=workzone)

*The Department maintains a secondary list at the following internet address: <http://www.dot.ca.gov/trafficops/tcd/links.html>*

*Category 2 devices that have not received FHW acceptance, and were purchased before October 1, 2000, shall not be used on the project. Category 2 devices in use that have received FHWA acceptance shall be labeled with the FHWA acceptance letter number and the name of the manufacturer by the start of the project. The label shall be readable. All Category 2 devices without a label shall not be used on the project.*

*If requested by the Engineer, the Contractor shall provide a written list of Category 2 devices to be used on the project at least 5 days prior to beginning any work using the devices. For each type of device, the list shall indicate the FHWA acceptance letter number and the name of the manufacturer.*

b. Measurement and Payment: Full compensation for construction area traffic control devices shall be considered as included in the contract price paid for various items of work involved and no additional compensation will be allowed therefor.

## 6.0 Traffic Control Systems

a. Description: A traffic control system shall consist of closing traffic lanes in conformance the provisions in Section 12, “Temporary Traffic Control,” of the CSS, the provisions under “Traffic Control Systems” and “Construction Area Signs” of these Technical Provisions. Attention is also directed to Sections 7-1.03, “Public Convenience,” and 7-1.04, “Public Safety,” of the CSS.

*Prior to the start of any work, the Contractor shall submit traffic control plans to complete the work for City review and approval. The traffic control plans shall be site specific for each street and in accordance with City standards and applicable requirements in the CSS and the California Manual on Uniform Traffic Control Devices (CA MUTCD). All traffic control plans for arterial streets shall be stamped by an active California-licensed Traffic Engineer.*

***The Contractor shall notify the City of the Contractor's intent to begin work at least ten (10) days before work is to begin. Additionally, the contractor shall provide a preliminary notice to all affected residents/business owners seven business days prior to the start of work. A Separate notice will also need to provide to affected residents/business at least 3 (three) days prior to the start of milling and paving operation. The contractor shall cooperate with the City relative to handling traffic through the area and shall make all arrangements relative to keeping the working area clear of parked vehicles.***

*The PCMS shall be in accordance with Section 12-3.32, “Portable Changeable Message Signs” of the CSS. The Contractor shall provide a minimum of one PCMS at each end of each street at least seven calendar days prior to the start of construction operations and remain in place for the duration of the construction. The Contractor shall submit the PCMS locations and messages for City review and approval. The Contractor shall be responsible for updating the PCMS messages and locations.*

*Whenever vehicles or equipment are parked on the shoulder within 6' (1.8 m) of a traffic lane, the shoulder area shall be closed with fluorescent traffic cones or portable delineators placed on a taper in advance of the parked vehicles or equipment and along the edge of the pavement at 25' (7.5 m) intervals to a point not less than 25' (7.5 m) past the last vehicle or piece of equipment. A minimum of 9 cones or portable delineators shall be used for the taper. A C23 (Road Work Ahead) or C24 (Shoulder Work Ahead) sign shall be mounted on a portable sign stand with flags. The sign shall be placed where directed by the Engineer.*

*All other construction operations shall be limited to the hours between 7:00 AM to 6:00 PM and as specified in the Special Conditions. The contractor must maintain one lane of traffic in both directions of travel at all times on arterials and high traffic volume streets. The Contractor may close residential and low traffic volume streets only with advance approval of the Engineer. Minor deviations from the requirements of this section concerning hours of work, which do not significantly change the cost of the work, maybe permitted upon the written request of the Contractor if, in the opinion of the Engineer, public traffic will be better served and the work expedited. These deviations shall not be adopted by the Contractor until the Engineer has approved them in writing. All other modifications will be made by contract change order.*

**For night work operations, the contractor may work from 9pm to 5am. If the**

**contractor surpasses the designated time one hour after the designated time, damages may be assessed \$10 for every minute a contractor fails to comply.**

**All Concrete work shall be performed as noted on the plans (9am to 4pm), Monday to Friday.**

***Traffic Control for Lane Closures:***

*The provisions in this section will not relieve the Contractor of responsibility for providing additional devices or taking measures as may be necessary to comply with the provisions in Section 7-1.04, "Public Safety," of the CSS.*

*During traffic striping operations and pavement marker placement operations using bituminous adhesive, traffic shall be controlled, at the option of the Contractor, with either stationary or moving lane closures. During other operations, traffic shall be controlled with stationary lane closures. Attention is directed to the provisions in Section 84-1.04, "Protection from Damage," and Section 85-1.06, "Placement," of the CSS.*

*If components in the traffic control system are displaced or cease to operate or function as specified from any cause during the progress of the work, the Contractor shall immediately repair the components to the original condition or replace the components and shall restore the components to the original location.*

1. **Stationary Lane Closure:** When lane closures are made for work periods only, at the end of each work period, components of the traffic control system, except portable delineators placed along open trenches or excavation adjacent to the traveled way shall be removed from the traveled way and shoulder. If the Contractor so elects, the components may be stored at selected central locations, designated by the Engineer within the limits of the City right of way.

2. **Moving Lane Closure:** Flashing arrow signs used in moving lane closures shall be truck-mounted. Changeable message signs used in moving lane closure operations shall conform to the provisions in Section 12-3.32, "Portable Changeable Message Signs," of the CSS, except the signs shall be truck-mounted and the full operation height of the bottom of the sign may be less than 2.1 m {7 feet} above the ground, but should be as high as practicable.

*Truck-mounted attenuators (TMA) for use in moving lane closures shall be any of the following approved models, or equal:*

*a. Hexfoam TMA Series 3000, Alpha 1000 TMA Series 1000 and Alpha 2001 TMA Series 2001, manufactured by Energy Absorption Systems, Inc., One East Wacker Drive, Chicago, IL 60601-2076, Telephone (312) 467-6750.*

- o Distributor (Northern): Traffic Control Service, Inc., 8585 Thys Court, Sacramento, CA 95828, Telephone 1-800-884-8274, FAX (916) 387-9734.*
- o Distributor (Southern): Traffic Control Service, Inc., 1881 Betmor Lane, Anaheim, CA 92805, and Telephone 1-800-222-8274.*

- b. *Cal T-001 Model 2 or Model 3, manufacturer and distributor: Hexcel Corporation, 11711 Dublin Boulevard, P.O. Box 2312, Dublin, CA 94568, Telephone (510) 828-4200.*
- c. *Renco Rengard Model Nos. CAM 8-815 and RAM 8-815, manufacturer and distributor: Renco Inc., 1582 Pflugerville Loop Road, P.O. Box 730, Pflugerville, TX 78660-0730, Telephone 1-800-654-8182.*

*Each TMA shall be individually identified with the manufacturer's name, address, TMA model number, and a specific serial number. The names and numbers shall each be a minimum 13 mm {1/2 inch} high and located on the left (street) side at the lower front corner. The TMA shall have a message next to the name and model number in 13 mm {1/2 inch} high letters which states, "The bottom of this TMA shall be 305 mm  $\pm$  153 mm*

*{12 inches  $\pm$  6 inches} above the ground at all points for proper impact performance." Any TMA which is damaged or appears to be in poor condition shall not be used unless recertified by the manufacturer. The Engineer shall be the sole judge as to whether used TMAs supplied under this contract need recertification. Each unit shall be certified by the manufacturer to meet the requirements for TMA in conformance with the standards established by the Transportation Laboratory.*

*Approvals for new TMA designs proposed as equal to the above approved models shall be in conformance with the procedures (including crash testing) established by the Transportation Laboratory. For information regarding submittal of new designs for evaluation contact: Transportation Laboratory, 5900 Folsom Boulevard, Sacramento, California 95819.*

*New TMAs proposed as equal to approved TMAs or approved TMAs determined by the Engineer to need recertification shall not be used until approved or recertified by the Transportation Laboratory.*

b. Measurement and Payment: The contract lump sum price paid for "Traffic Control Systems" shall include full compensation for furnishing all labor, materials (including signs), tools, equipment, and incidentals, and for doing all the work involved with the traffic control plans' preparation; traffic control systems' and its components' placement, removal, storage, maintenance, relocation, replacement and disposal, as specified in the CSS and these Technical Provisions, and as directed by the Engineer.

*The adjustment provisions in Section 4-1.03, "Changes," of the CSS shall not apply to the item of traffic control system for lane closures. Adjustments in compensation for traffic control systems will be made only for increased or decreased traffic control system required by changes ordered by the Engineer and will be made on the basis of the cost of the increased or decreased traffic control necessary.*

## **7.0 Prequalified and Tested Signing and Delineation Materials**

a. Description: The Department of Transportation (Caltrans) maintains the following list of Prequalified and Tested Signing and Delineation Materials. The Engineer shall not be precluded from sampling and testing products on the list of

Prequalified and Tested Signing and Delineation Materials.

*The manufacturer of products on the list of Prequalified and Tested Signing and Delineation Materials shall furnish the Engineer a Certificate of Compliance in conformance with the provisions in Section 6-1.07, "Certificates of Compliance," of the CSS for each type of traffic product supplied.*

*For those categories of materials included in the list of Prequalified and Tested Signing and Delineation Materials, only those products shown within the listing may be used in the work. Other categories of products, not included in the list of Prequalified and Tested Signing and Delineation Materials, may be used in the work provided they conform to the requirements of the CSS. Materials and products may be added to the list of Prequalified and Tested Signing and Delineation Materials if the manufacturer submits a New Product Information Form to the New Product Coordinator at the Transportation Laboratory. Upon a Departmental request for samples, sufficient samples shall be submitted to permit performance of required tests. Approval of materials or products will depend upon compliance with the specifications and tests the Department may elect to perform.*

### **PAVEMENT MARKERS, PERMANENT TYPE**

#### *Retroreflective With Abrasion Resistant Surface (ARS)*

- a. Apex, Model 921AR (100 mm x 100 mm)
- b. Avery Dennison (formerly Stimsonite), Models C88 (100 mm x 100 mm), 911 (100 mm x 100 mm) and 953 (70 mm x 114 mm)
- c. Ray-O-Lite, Model "AA" ARS (100 mm x 100 mm)
- d. 3M Series 290 (89 mm x 100 mm)

#### *Retroreflective With Abrasion Resistant Surface*

*(ARS) (For recessed applications only)*

- a. Avery Dennison (formerly Stimsonite), Model 948 (58 mm x 119 mm)
- b. Avery Dennison (formerly Stimsonite), Model 944SB (51 mm x 100 mm)\*
- c. Ray-O-Lite, Model 2002 (58 mm x 117 mm)
- d. Ray-O-Lite, Model 2004 ARS (51 mm x 100 mm)\*
- e. \*For use only in 114 mm wide (older) recessed slots

#### *Non-Reflective For Use With Epoxy Adhesive, 100 mm Round*

- a. Apex Universal (Ceramic)

#### *Non-Reflective For Use With Bitumen Adhesive, 100 mm Round*

- a. Alpine Products, "D-Dot" and "ANR" (ABS)
- b. Apex Universal (Ceramic)
- c. Apex Universal, Models 929 (ABS) and 929PP (Polypropylene)
- d. Elgin Molded Plastics, "Empco-Lite" Model 900 (ABS)
- e. Hi-Way Safety, Inc., Models P20-2000W and 2001Y (ABS)

- f. Interstate Sales, “Diamond Back” (ABS) and (Polypropylene)
- g. Novabrite Models Adot-w (White) Adot-y (Yellow), (ABS)
- h. Road Creations, Model RCB4NR (Acrylic)
- i. Zumar Industries, “Titan TM40A” (ABS)

### **PAVEMENT MARKERS, TEMPORARY TYPE**

*Temporary Markers For Long Term Day/Night Use (6 months or less)*

- a. Apex Universal, Model 924 (100 mm x 100 mm)
- b. Elgin Molded Plastics, “Empco-Lite” Model 901 (100 mm x 100 mm)
- c. Road Creations, Model R41C (100 mm x 100 mm)
- d. Vega Molded Products “Temporary Road Marker” (75 mm x 100 mm)

*Temporary Markers For Short Term Day/Night Use (14 days or less)*

*(For seal coat or Scrub seal applications, clear protective covers are required)*

- a. Apex Universal, Model 932
- b. Bunzl Extrusion, Models T.O.M., T.R.P.M., and “HH” (High Heat)
- c. Hi-Way Safety, Inc., Model 1280/1281

### **STRIPING AND PAVEMENT MARKING MATERIAL**

*Permanent Traffic Striping and Pavement Marking Tape*

- a. Advanced Traffic Marking, Series 300 and 400
- b. Brite-Line, Series 1000
- c. Brite-Line, “DeltaLine XRP”
- d. Swarco Industries, “Director 35” (For transverse application only)
- e. Swarco Industries, “Director 60”
- f. 3M, “Stamark” Series 380 and 5730
- g. 3M, “Stamark” Series 420 (For transverse application only)

*Temporary (Removable) Striping and Pavement Marking Tape (6 months or less)*

- a. Advanced Traffic Marking, Series 200
- b. Brite-Line, Series 100
- c. Garlock Rubber Technologies, Series 2000
- d. P.B. Laminations, Aztec, Grade 102
- e. Swarco Industries, “Director-2”
- f. Trelleborg Industri, R140 Series
- g. 3M, Series 620 “CR”, and Series A750

- h. 3M, Series A145, Removable Black Line Mask
- i. Advanced Traffic Marking Black “Hide-A-Line”
- j. Brite-Line “BTR” Black Removable Tape

*Preformed Thermoplastic (Heated in place)*

- a. Avery Dennison, “Hotape”
- b. Flint Trading, “Premark” and “Premark 20/20 Flex”

*Ceramic Surfacing Laminate, 150 mm x 150 mm*

- a. *Safeline Industries/Highway Ceramics, Inc.*

## **CLASS 1 DELINEATORS**

*One Piece Drivable Flexible Type, 1700 mm*

- a. Bunzl Extrusion, “Flexi-Guide Models 400 and 566”
- b. Carsonite, Curve-Flex CFRM-400
- c. Carsonite, Roadmarker CRM-375
- d. FlexStake, Model 654 TM
- e. GreenLine Models HWD1-66 and CGD1-66
- f. J. Miller Industries, Model JMI-375 (with soil anchor)

*Special Use Flexible Type, 1700 mm*

- a. Bunzl Extrusion, Model FG 560 (with 450 mm U-Channel base)
- b. Carsonite, “Survivor” (with 450 mm U-Channel base)
- c. Carsonite, Roadmarker CRM-375 (with 450 mm U-Channel base)
- d. FlexStake, Model 604
- e. GreenLine Models HWDU and CGD (with 450 mm U-Channel base)
- f. Safe-Hit with 200 mm pavement anchor (SH248-GP1)
- g. Safe-Hit with 380 mm soil anchor (SH248-GP2) and with 450 mm soil anchor (SH248-GP3)

*Surface Mount Flexible Type, 1200 mm*

- a. Bent Manufacturing Company, Masterflex Model MF-180EX-48
- b. Carsonite, “Super Duck II”
- c. FlexStake, Surface Mount, Models 704 and 754 TM

## **CHANNELIZERS**

*Surface Mount Type, 900 mm*

- a. Bent Manufacturing Company, Masterflex Models MF-360-36 (Round) and MF-180-36 (Flat)

- b. Bunzl Extrusion, Flex-Guide Models FG300LD and FG300UR
- c. Carsonite, "Super Duck" (Flat SDF-436, Round SDR-336)
- d. Carsonite, "Super Duck II" Model SDCF203601MB  
"The Channelizer"
- e. FlexStake, Surface Mount, Models 703 and 753 TM
- f. GreenLine, Model SMD-36
- g. Hi-Way Safety, Inc. "Channel Guide Channelizer" Model CGC36
- h. Repo, Models 300 and 400
- i. Safe-Hit, Guide Post, Model SH236SMA
- j. The Line Connection, "Dura-Post" Model DP36-3 (Permanent)
- k. The Line Connection, "Dura-Post" Model DP36-3C (Temporary)

### **CONICAL DELINEATORS, 1070 mm**

*(For 700 mm Traffic Cones, see Standard Specifications)*

- a. Bent Manufacturing Company "T-Top"
- b. Plastic Safety Systems "Navigator-42"
- c. Radiator Specialty Company "Enforcer"
- d. Roadmaker Company "Stacker"
- e. TrafFix Devices "Grabber"

### **OBJECT MARKERS**

*Type "K", 450 mm*

- a. Carsonite, Model SMD 615
- b. FlexStake, Model 701 KM
- c. Repo, Models 300 and 400
- d. Safe-Hit, Model SH718SMA
- e. The Line Connection, Model DP21-4K

*Type "K-4" / "Q" Object Markers, 600 mm*

- a. Bent Manufacturing "Masterflex" Model MF-360-24
- b. Bunzl Extrusion, Model FG324PE
- c. Carsonite, Super Duck II
- d. FlexStake, Model 701KM
- e. Repo, Models 300 and 400
- f. Safe-Hit, Models SH8 24SMA\_WA and SH8 24GP3\_WA
- g. The Line Connection, Model DP21-4Q

## **CONCRETE BARRIER MARKERS AND TEMPORARY RAILING (TYPE K) REFLECTORS**

### *Impactable Type*

- a. ARTUK, "FB"
- b. Bunzl Extrusion, Model PCBM-12
- c. Duraflex Corp., "Flexx 2020" and "Electriflexx"
- d. Hi-Way Safety, Inc., Model GMKRM100
- e. Sun-Lab Technology, "Safety Guide Light Model TM-5"

### *Non-Impactable Type*

- a. ARTUK, JD Series
- b. Vega Molded Products, Models GBM and JD

## **THRIE BEAM BARRIER MARKERS**

*(For use to the left of traffic)*

- a. Bunzl Extrusion, "Mini" (75 mm x 254 mm)
- b. Duraflex Corp., "Railrider"

## **CONCRETE BARRIER DELINEATORS, 400 mm**

*(For use to the right of traffic)*

- a. Bunzl Extrusion, Model PCBM T-16
- b. Safe-Hit, Model SH216RBM
- c. Sun-Lab Technology, "Safety Guide Light, Model TM16," 75 mm x 300 mm

## **CONCRETE BARRIER-MOUNTED MINI-DRUM (260 mm x 360 mm x 570 mm)**

- a. Stinson Equipment Company "SaddleMarker"

## **SOUND WALL DELINEATOR**

*(Applied vertically. Place top of 75 mm x 300 mm reflective element at 1200 mm above roadway)*

- a. Bunzl Extrusion, PCBM S-36
- b. Sun-Lab Technology, "Safety Guide Light, Model SM12," 75 mm x 300 mm

## **GUARD RAILING DELINEATOR**

*(Place top of reflective element at 1200 mm above plane of roadway)*

*Wood Post Type, 686 mm*

- a. Bunzl Extrusion, FG 427 and FG 527
- b. Carsonite, Model 427
- c. FlexStake, Model 102 GR
- d. GreenLine GRD 27
- e. J. Miller Model JMI-375G
- f. Safe-Hit, Model

SH227GRD

Steel Post Type

- a. Carsonite, Model CFGR-327 with CFGRBK300 Mounting Bracket

### **RETROREFLECTIVE SHEETING**

*Channelizers, Barrier Markers, and Delineators*

- a. Avery Dennison T-6500 Series (Formerly Stimsonite, Series 6200) (For rigid substrate devices only)
- b. Nippon Carbide, Flexible Ultralite Grade (ULG) II
- c. Reflexite, PC-1000 Metalized Polycarbonate
- d. Reflexite, AC-1000 Acrylic
- e. Reflexite, AP-1000 Metalized Polyester
- f. Reflexite, Conformalight, AR-1000 Abrasion Resistant Coating
- g. 3M, High

Intensity Traffic Cones, 330

mm Sleeves

- a. Reflexite SB (Polyester), Vinyl or "TR" (Semi-transparent)

*Traffic Cones, 100 mm and 150 mm Sleeves*

- a. Nippon Carbide, Flexible Ultralite Grade (ULG) II
- b. Reflexite, Vinyl, "TR" (Semi-transparent) or "Conformalight"
- c. 3M Series 3840

*Barrels and Drums*

- a. Avery Dennison W-6100
- b. Nippon Carbide, Flexible Ultralite Grade (ULG) II
- c. Reflexite, "Conformalight", "Super High Intensity" or "High Impact Drum Sheeting"
- d. 3M Series 3810

*Barricades: Type I, Medium-Intensity (Typically Enclosed Lens, Glass-Bead Element)*

- a. American Decal, Adcolite
- b. Avery Dennison, T-1500 and T-1600 series

- c. 3M Engineer Grade, Series 3170

*Barricades: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass Bead Element)*

- a. Avery Dennison, T-2500 Series
- b. Kiwalite Type II
- c. Nikkalite 1800 Series

*Signs: Type II, Medium-High-Intensity (Typically Enclosed Lens, Glass-Bead Element)*

- a. Avery Dennison, T-2500 Series
- b. Kiwalite, Type I
- c. ikkalite 1800 Series

*Signs: Type III, High-Intensity (Typically Encapsulated Glass-Bead Element)*

- a. Avery Dennison, T-5500 Series
- b. Nippon Carbide, Nikkalite Brand Ultralite Grade II
- c. 3M Series 3870

*Signs: Type IV, High-Intensity (Typically Unmetallized Microprismatic Element)*

- a. Avery Dennison, T-6500 Series (Formerly Stimsonite Series 6200)

*Signs: Type VI, Elastomeric (Roll-Up) High-Intensity, without Adhesive*

- a. Reflexite "Vinyl" (Orange)
- b. Reflexite "SuperBright" (Fluorescent orange)
- c. Reflexite "Marathon" (Fluorescent orange)
- d. 3M Series RS34 (Orange) and RS20 (Fluorescent orange)

*Signs: Type VII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)*

- a. 3M LDP Series 3970

*Signs: Type VIII, Super-High-Intensity (Typically Unmetallized Microprismatic Element)*

- a. Avery Dennison, T-7500 Series

## **SPECIALTY SIGNS**

*All Sign Products, STOP Sign (All Plastic), 750 mm*

- a. Relexite "Endurance" Work Zone Sign

## **SIGN SUBSTRATE**

*Fiberglass Reinforced Plastic (FRP)*

- a. Fiber-Brite
- b. Sequentia, "Polyplate"

**Measurement and Payment:** *Full compensation for Prequalified and Tested Signing and Delineation Materials shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation be allowed therefor.*

## **8.0 Construction Area Signs**

• **Description:** Construction area signs shall be furnished, installed, maintained, and removed when no longer required in accordance with the provisions in Section 12, "Temporary Traffic Control," of the CSS.

• **Materials and Installation:** The Contractor shall notify the appropriate regional notification center for operators of subsurface installations at least two (2) working days, but not more than fourteen (14) calendar days, prior to commencing any excavation for construction area signposts. The regional notification centers include but are not limited to

*the following:*

<i>Notification Center</i>	<i>Telephone Number</i>
Underground Service Alert-Northern California (USA)	811

*All excavations required to install construction area signs shall be performed by hand methods without the use of power equipment, except that power equipment may be used if it is determined there are no utility facilities in the area of the proposed post holes. Sign substrates for stationary mounted construction area signs may be fabricated from fiberglass-reinforced plastic.*

*The term "construction area signs" shall also include temporary object markers required for the direction of public traffic through or around the work during construction. Object markers listed or designated on the plans as construction area signs shall be considered to be signs and shall be furnished, erected, maintained, and removed by the Contractor in the same manner specified for construction area signs and the following:*

- Object markers shall be stationary mounted on wood or metal posts in accordance with the requirements in Section 82, "Markers and Delineators," of the CSS.
- Marker panels for Type N, Type P, and Type R object markers shall

conform to the requirements for sign panels for stationary mounted signs.

- Target plates for Type K and Type L object markers, and posts, reflectors, and hardware shall conform to the requirements in Section 82 “Markers and Delineators” of the CSS but need not be new.
- Measurement and Payment: Full compensation for furnishing, placing, maintaining, and removing construction area signs shall be considered as included in the contract prices paid for the various items of work involved and no additional compensation will be allowed therefor.

## 9.0 Project Appearance

a. Description: The Contractor shall maintain a neat appearance at the work site in all areas visible to the public. Broken concrete, asphalt concrete, soil, and debris developed during construction shall be disposed of concurrently with its removal. Stockpiling shall be permitted only with the approval of the Engineer and only in approved locations.

*The Contractor shall sweep the streets daily within the project area as directed by the Engineer for the duration of the project. The Contractor shall not sweep construction materials or other debris onto private properties.*

*The Contractor shall be responsible for any and all damage to public and private property (including trees, plants, shrubs, fences, etc.) and shall replace with new material or correct any damaged property to the satisfaction of the Engineer.*

b. Measurement and Payment: Full compensation for maintaining an acceptable project appearance shall be considered as included in the contract prices paid for the various items of work involved and additional compensation will be allowed therefor.

## 10.0 Clearing and Grubbing

a. Description: Clearing and grubbing shall conform to the requirements of the City of Morgan Hill and to the provisions of Section 16, “Temporary Facilities”, 17-2, “Clearing and Grubbing”, and 37-6, “Crack Treatments” of the CSS and these Technical Provisions, and the plans.

*This work shall consist of removing existing facilities and all objectionable material, including existing concrete and/or asphalt from within the limits of the project as specified. The limits of clearing and grubbing shall be of sufficient area and depth to complete the work as shown on the plans or described in these Technical Provisions, and will include excavation and grading as necessary to complete the work. Work shall*

*also include removal and disposal of unsuitable material within and along the edge of pavement, and trimming of trees as needed for operation of equipment. Also included is removal of traffic bars, thermoplastic striping four (4)-inches and wider, and raised pavement markers from areas to receive slurry sealing and asphalt concrete overlay and as shown on the plans or directed by the Engineer. If, in the opinion of the Engineer, the pavement surface has been damaged as a result of pavement marker removal, the Contractor shall repair said damage in a manner acceptable to the Engineer at the Contractor's expense.*

*For crack sealing, the Contractor shall allow seven (7) days after spray of weeds within or at edges of pavement to ensure successful eradication prior to crack seal and/or slurry seal operations. If unsuccessful, Contractor shall respray until the cracks are clean and dry to receive crack and/or slurry seal treatments.*

*All striping, markings or pavement markers within areas to be removed by grinding or base repair as specified on the plans shall be removed and disposed of as part of the contract items of work involved. If the existing striping and/or markings cannot be accessed by the Contractor's mechanical equipment, the striping and markings shall be removed with equipment and/or tools that are capable of accessing the striping and markings.*

***All trees, tree branches/limbs that may be in conflict with any equipment use shall be trimmed by a certified tree arborist and tree trimmer prior to the start of any work. The work will need to be approved by the project engineer/inspection team prior to any tree trimming.***

b. Measurement and Payment: Except for striping, markings, and markers removal, full compensation for clearing, grubbing, tree trimming, removal, hauling and disposal of items is included in the contract items of work involved and no additional compensation will be allowed therefor.

Pavement striping, markings, and markers removal shall be measured on a lump sum basis and paid on the basis of removal's progress. The contract lump sum price paid for "Remove Pavement Markers, Striping, and Markings" shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals the removal and disposal of all striping, markings, and markers required to complete the work and in accordance with the CSS and these Technical Specifications.

## **11.0 Pavement Milling**

a. Description: Pavement milling, and profile grind shall consist of cold milling existing asphalt concrete in areas to receive asphalt concrete full depth repairs, asphalt concrete fill, and asphalt concrete overlay treatments as shown on the plans and described in these technical specifications. Milling shall be in conformance with Section 39-3.04 "Cold Planing Asphalt Concrete Pavement" of the CSS.

*Wedge grinding shall be parallel to the direction of traffic and be a triangular wedge of the width specified in these Technical Specifications and of the depth at the lip of gutter pan, at driveway approaches, at concrete median curbs, at storm drain inlets,*

*and any other structures at the roadway edges, as specified in these Technical Specifications below the structure edge. Any sections of asphalt that become loose after wedge grinding shall be removed and disposed of by the Contractor at the Contractor's expense.*

*Conform grinding shall provide a gradual transition of the width and depth specified in these Technical Specification and shown on the Plans to conform the new pavement section to the existing pavement.*

**No additional compensation shall be made for pavement grinding in excess of the width specified in these Technical Specifications and shown on the Plans unless so directed by the Engineer.**

*Pavement milling and profile grind shall not be allowed more than 48 hours prior to schedule overlay (fill) operations without written authorization from the Engineer.*

*Cold milling machines shall be operated so as not to produce fumes or smoke. They shall be capable of planning/milling the pavement without requiring the use of a heating device to soften the pavement during, or prior to, the cutting operation.*

*The Contractor shall be responsible for maintaining all milled surfaces in order to prevent tire and suspension damage to vehicles and to prevent hazards to bicyclists and pedestrians. Furthermore, debris from the grinding operations shall be removed from the roadway by a commercial heavy duty sweeping truck shall follow immediately after grinding operations and to the satisfaction of the inspector/project engineer prior to, during, and after pavement milling operation. Street sweeping shall include truck routes during both milling and paving operations.*

- Pavement milling and profile grind at corners, returns, driveways, pavers and hard to get areas shall be done with special grinding equipment capable of grinding such areas without damaging existing conditions. \*\*
- Pavement grinding may encounter existing paving fabric on previously overlaid streets. No additional payment will be made for this condition. \*\*
- **ALL MILLING OPERATION FOR ALL OVERLAY WORK AND PAVEMENT MILLING SHALL HAVE MULTIPLE SENSORS FOR PROFILE GRINDING.**
- **CONTRACTOR/SUBCONTRACTOR SHALL PROVIDE A MILLING MACHINE THAT MILLS A LEVEL SURFACE. GOUGING OF THE PAVEMENT SURFACE CAUSED BY THE MILL SUCH AS UNLEVEL TEETH OR WORN OUT DRUM IS UNACCEPTABLE AND WILL NEED TO BE REPAIRED BY THE CONTRACTOR PRIOR TO ANY FURTHER**

**WORK THROUGH A LEVELING COURSE PRIOR TO ANY FURTHER  
PAVING WORK.**

- **MILLED SURFACE SHALL BE SWEPT, BLOWN OFF, CLEANED,  
INSPECTED, AND APPROVED BY CITY PROJECT MANAGER OR  
INSPECTOR PRIOR TO TACK OIL.**

*No drop-off shall remain between the existing pavement and the milled/grind area when the pavement is opened to public traffic at all transverse joints. No drop-off shall remain between all adjoining driveway approaches on arterial streets and the milled pavement area when the pavement is opened to public traffic. If asphalt concrete has not been placed to the level of existing pavement before the pavement is to be opened to public traffic, a temporary asphalt concrete taper shall be constructed. Asphalt concrete for temporary tapers shall be placed to the level of the existing pavement and tapered on a slope of 12:1 or flatter to the level of the milled area. The same method shall be used on all structures, which includes utility structures, that are left above the traveled asphalt surface.*

*Where lanes are open to traffic, the drop-off between adjacent travel lanes must not be more than 0.15 foot.*

*Asphalt concrete for temporary tapers may be spread and compacted by any method that will produce a smooth transition in the riding surface. Asphalt concrete tapers shall be completely removed, including removing all loose material from the underlying surface, before placing the permanent surfacing. Kraft paper, or other approved bond breaker, may be placed under the conform tapers to facilitate the removal of the taper.*

*The material collected from pavement grinding operations from the roadway surface shall be immediately removed from the site of the work. The removal crew shall follow within fifty feet (50') of the milling/wedge cutting machine, unless otherwise directed by the Engineer.*

*If the milled/grinded pavement surfaces are not paved with asphalt concrete on the same day, the Contractor shall post and maintain "UNEVEN PAVEMENT" signage in advance of the milled areas, along the milled street, and all adjoining side streets and driveway approaches. The signage shall be placed on type 1 barricades with working flashing beacons. The Contractor shall remove all signage upon completion of paving operations.*

*All pavement repairs designated to be performed within the areas to receive pavement grinding shall be dug out to the depth specified in the plans and replaced with as much AC thickness as it takes to bring the surface to a grade where the surrounding grade will be after pavement grinding.*

**The Contractor shall measure and confirm the pay quantities of the wedge and conform grinding with the Engineer prior to the resurfacing operation.**

All pavement edges shall be cut to a vertical edge to the specified milled depth. The Contractor may use milling machine, saw cutting, or jackhammer equipment to cut the vertical edge in the pavement.

No drop-off shall remain between the existing pavement and the milled area when the pavement is opened to public traffic at all transverse joints. No drop-off shall remain between all adjoining driveway approaches on arterial streets and the milled pavement area when the pavement is opened to public traffic. If asphalt concrete has not been placed to the level of existing pavement before the pavement is to be opened to public traffic, a temporary asphalt concrete taper shall be constructed. Asphalt concrete for temporary tapers shall be placed to the level of the existing pavement and tapered on a slope of 12:1 or flatter to the level of the milled area. The same method shall be used on all structures, which includes utility structures, that are left above the traveled asphalt surface.

If the milled pavement surfaces are not paved with asphalt concrete on the same day, the Contractor shall post and maintain “UNEVEN PAVEMENT” signage in advance of the milled areas, along the milled street, and all adjoining side streets and driveway approaches. The signage shall be placed on type 1 barricades with working flashing beacons. The Contractor shall remove all signage upon completion of paving operations.

b. Measurement and Payment: The contract unit price per square feet for “6’ Wide Wedge Grind (2”)”, and “20’ Wide Conform Grind (2”)”, “2” Full Pavement Grind/Mill”, “3” Full Pavement Grind/Mill”, and “20’ Wide Conform Grind (6”), includes full compensation for furnishing all labor, materials, tools, equipment, and incidentals for doing all the work involved, complete in place, including street sweeping, off haul of grindings, as shown on the plans, as specified in the CSS and these Technical Provisions, and as directed by the Engineer.

## 12.0 Asphalt Concrete

**Note: No percentage of RAP (Reclaimed Asphalt Pavement) Shall be permitted in the asphalt concrete placed as the final lift/wearing course on any of the City streets to be overlayed with this project.**

a. Description: Asphalt concrete shall be used as an overlay and full depth AC pavement repair and the fill portion of work after milling of asphalt concrete. New pavement shall be furnished, placed, and compacted in accordance with Section 39 "Asphalt Concrete" of the CSS. Asphalt Concrete shall be compacted to a minimum 95 percent of Maximum Rice Value/Density as determined by American Society of Testing Materials (ASTM) D-2041. Finished asphalt concrete pavements, which do not conform to the specified relative compaction requirements, will be paid for using the following pay factors:

<u>In-Place Relative Compaction</u>	<u>Pay</u>
<u>Factor</u>	
95% or greater	100%
90-94.9%	20% Reduction in unit price
89.9% or less	Remove & Replace as directed

b. Materials: The asphalt concrete for overlay shall be Type A, 12.5 mm (1/2") medium maximum gradation. The asphalt concrete for full depth AC pavement repair shall be Type A, 19 mm (3/4") medium maximum gradation.

*Asphalt binder shall be PG 64-10.*

*Asphalt shall conform to these Technical Provisions and not Section 92, "Asphalts," of the CSS.*

*Asphalt shall consist of refined petroleum or a mixture of refined liquid asphalt and refined solid asphalt, prepared from crude petroleum. Asphalt shall be:*

- a. Free from residues caused by the artificial distillation of coal, coal tar, or paraffin.
- b. Free from water.
- c. Homogeneous.

*The Contractor shall furnish asphalt in conformance with Caltrans' "Certification Program for Suppliers of Asphalt." Caltrans maintains the program requirements, procedures, and a list of approved suppliers at:*

*<http://www.dot.ca.gov/hq/esc/Translab/ormt/fpmcoc.htm>*

*The Contractor shall ensure the safe transportation, storage, use, and disposal of asphalt. The Contractor shall prevent the formation of carbonized particles caused by overheating asphalt during manufacturing or construction.*

*Performance graded (PG) asphalt binder shall conform to the following:*

Performance Graded Asphalt Binder

Property	AASHTO Test Method	Specification on Grade				
		PG 58-22 <sup>a</sup>	PG 64-10	PG 64-16	PG 64-28	PG 70-10
Original Binder						
Flash Point, Minimum °C	T48	230	230	230	230	230
Solubility, Minimum % <sup>b</sup>	T44	99	99	99	99	99
Viscosity at 135°C, <sup>c</sup> Maximum, Pa·s	T316	3.0	3.0	3.0	3.0	3.0
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T315	58 1.00	64 1.00	64 1.00	64 1.00	70 1.00
RTFO Test <sup>e</sup> , Mass Loss, Maximum, %	T240	1.00	1.00	1.00	1.00	1.00
RTFO Test Aged Binder						
Dynamic Shear, Test Temp. at 10 rad/s, °C Minimum G*/sin(delta), kPa	T315	58 2.20	64 2.20	64 2.20	64 2.20	70 2.20
Ductility at 25°C Minimum, cm	T51	75	75	75	75	75
PAV <sup>f</sup> Aging, Temperature, °C	R28	100	100	100	100	110
RTFO Test and PAV Aged Binder						
Dynamic Shear,	T315	22 d 5000	31 d 5000	28 d 5000	22 d 5000	34 d 5000

Test Temp. at 10 rad/s, °C Minimum G*sin(delta), kPa						
Creep Stiffness, Test Temperature, °C Maximum S-value, MPa Minimum M-value	T313	-12 300 0.30 0	0 300 0.30 0	-6 300 0.30 0	-18 300 0.30 0	0 300 0.30 0

*Notes:*

- a. For use as asphalt rubber base stock for high mountain and high desert area.
- b. The Engineer will waive this specification if the supplier is a Quality Supplier as defined by the Caltrans' "Certification Program for Suppliers of Asphalt."
- c. The Engineer will waive this specification if the supplier certifies the asphalt binder can be adequately pumped and mixed at temperatures meeting applicable safety standards.
- d. Test the sample at 3°C higher if it fails at the specified test temperature. G\*sin(delta) shall remain 5000 kPa maximum.
- e. "RTFO Test" means the asphaltic residue obtained using the Rolling Thin Film Oven Test, AASHTO Test Method T240 or ASTM Designation: D 2827.
- f. "PAV" means Pressurized Aging Vessel.

*Performance based asphalt (PBA) binder shall conform to the following:*

**Performance Based Asphalt Binder**

Property	AASHTO Test Method	Specification Grade			
		PBA 6a	PBA 6a(mod)	PBA 6b	PB A 7
Absolute Viscosity (60°C), Pa·s(x10 <sup>-1</sup> ) <sup>a</sup>	T202				
Original Binder, Minimum RTFO Test Aged Residue <sup>b</sup> , Minimum		2000 5000	2000 5000	2000 5000	1100 3000
Kinematic Viscosity (135°C), m <sup>2</sup> /s(x10 <sup>-</sup> ) Original Binder, Maximum RTFO Test Aged Residue, Minimum	T201	2000 275	2000 275	2000 275	2000 275
Absolute Viscosity Ratio (60°C), Maximum RTFO Test Visc./Orig. Visc.	—	4.0	4.0	4.0	4.0

Flash Point, Cleveland Open Cup, °C Original Binder, Minimum	T48	232	232	232	232
Mass Loss After RTFO Test, %	T240	0.60	0.60	0.60	0.60
Solubility in Trichloroethylene, % <sup>c</sup> Original Binder, Minimum	T44	Report	Report	Report	Report
Ductility (25°C, 5 cm/min), cm RTFO Test Aged Residue <sup>b</sup> , Minimum	T51	60	60	60	75
On RTFO Test Aged Residue, °C 1 to 10 rad/sec: SSD <sup>e</sup> □ 0 and Phase Angle (at 1 rad/sec) < 72°	F	—	35	—	—
On Residue from: PAV <sup>g</sup> at temp., °C Or Residue from Tilt Oven <sup>f</sup> (@113°C), Hours	R28	100 36	100 36	100 36	110 72
<sup>e</sup> SSD □ -115(SSV)-50.6, °C	F	—	—	—	25
Stiffness, Test Temperature, °C Maximum S-value, MPa Minimum M-value	T313	-24 300 0.300	-24 300 0.300	-30 300 0.300	-6 300 0.300

*Notes:*

- a. Absolute viscosity (60°C) will be determined at one sec<sup>-1</sup> using ASTM Designation: D 4957 with Asphalt Institute vacuum capillary viscometers.
- b. "RTFO Test Aged Residue" means the asphaltic residue obtained using the Rolling Thin Film Oven Test (RTFO Test), AASHTO Test Method T240 or ASTM Designation: D 2827.
- c. There is no requirement; however results of the test shall be part of the copy of test results furnished with the Certificate of Compliance.
- d. "Residue from Tilt Oven" means the asphalt obtained using California Test 374, Method B, "Method for Determining Asphalt Durability Using the California Tilt-Oven Durability Test."
- e. "SSD" means Shear Susceptibility of Delta; "SSV" means Shear Susceptibility of Viscosity.
- f. California Test 381.
- g. "PAV" means Pressurized Aging Vessel.

*The Contractor shall provide a sampling device in the asphalt feed line connecting the plant storage tanks to the asphalt weighing system or spray bar. The sampling device shall be accessible between 600 and 750 mm above the platform. The Contractor shall provide a receptacle for flushing the sampling*

device.

*The sampling device shall include a valve:*

1. With a diameter between 10 and 20 mm.
2. Manufactured in a manner that a one-liter sample may be taken slowly at any time during plant operations.
3. Maintained in good condition.
4. The Contractor shall replace failed valves.

*In the presence of the Engineer, the Contractor shall take 2 one-liter samples per operating day. The Contractor shall provide round friction top containers with one-liter capacity for storing samples.*

*Unless otherwise specified, the Contractor shall heat and apply asphalt in conformance with the provisions in Section 93, "Liquid Asphalts."*

*The Contractor shall apply paving asphalt at a temperature between 120° and 190°C. The Engineer will determine the exact temperature of paving asphalt.*

a. Paint Binder (Tack Coat): Paint binder shall be applied to all horizontal and vertical surfaces to receive asphalt concrete surfacing. Paint binder shall be furnished and applied in accordance with Section 39-4.01, "Subgrade", Section 93 "Liquid Asphalts", and Section 94 "Asphaltic Emulsions".

b. Measurement and Payment: Full compensation for asphalt concrete shall be considered as included in the contract prices paid for "2" Fill (1/2" Asphalt Concrete), "3" Fill (1/2" Asphalt Concrete), "2" Asphalt Concrete Overlay", and "6" Full Depth Asphalt Concrete Pavement Repair (Revokable), and "4" Full Depth Asphalt Concrete Pavement Repair, and no additional payment will be made therefor.

### **13.0 2" Fill 1/2" Asphalt Concrete, 3" Fill 1/2" Asphalt Concrete, 2" Asphalt Concrete Overlay, and Asphalt Concrete 3/8" mix (for Raising all Utility Lids/Vaults)**

a. Description: The edges of the existing road or where designated shall be wedge and conform ground to their specified depths, then overlain with asphalt concrete; thickness for overlay shall be 50 mm (2" or .17'), 2" for 2" Fill 1/2", 3" for 3" Fill 1/2" and 4" for 4" Fill 1/2" and 2" for 2" Asphalt Concrete Overlay. See "Pavement Milling" section for technical specifications on milling.

*The surface, when compacted, shall be smooth, dense, well bonded, and of uniform texture and appearance. The compacted surface course of asphalt concrete shall be free from ruts, humps, depressions or irregularities. When a straightedge 3.6 meters (12 feet) long is laid on the finished surface and parallel with the centerline of the road or driveway, the surface shall not vary more than .006 meters (0.02 foot) from the lower edge of the straightedge. The transverse slope of the finished surface shall be uniform to a degree such that no depressions greater than 0.02 foot are present when tested with a straight-edge, 12 foot long, laid in a direction transverse to the center line and extending from edge to edge of a 3.05 meter (10 foot) pass.*

*Any ridges, indentations or other objectionable marks left in the surface of the asphalt concrete shall be eliminated by rolling or other means. The use of any*

*equipment that leaves ridges, indentations or other objectionable marks in the asphalt concrete shall be discontinued. Asphalt concrete pavement shall include the application of a paint binder.*

*In addition to the requirements in Section 39-5.01, "Spreading Equipment," of the CSS, asphalt-paving equipment shall be equipped with automatic screed controls and a sensing device or devices. When placing asphalt concrete the automatic controls shall control the longitudinal grade and transverse slope of the screed. Grade and slope references shall be furnished, installed and maintained by the Contractor. Ski devices shall be a minimum length of at least 30 feet with a rigid one-piece unit whereby the entire length activates the sensor.*

*When placing contiguously with previously placed mats, the end of the screed adjacent to the previously placed mat shall be controlled by a sensor that responds to grade of the previously placed mat and will reproduce the grade in the new mat within a 0.01-foot tolerance.*

*Should the method and equipment furnished by the Contractor fail to produce a layer of asphalt concrete conforming to the above requirements, including straightedge tolerance of Section 39-6.03, the paving operations shall be discontinued upon notice of the Engineer, and the Contractor shall modify his equipment or furnish substitute equipment within three (3) working days of such notice of the Engineer.*

*The area to which paint binder has been applied shall be closed to public traffic. All possible care shall be taken to avoid tracking binder material onto existing pavement surfaces beyond the limits of construction. A drop-off of more than 0.10-foot will not be allowed at any time between adjacent lanes open to public traffic.*

*The Contractor shall be responsible for temporary pavement delineation and markings as required by the Engineer for the maintenance of a safe traveled way. The Contractor shall be responsible for providing a safe and well-marked roadway. This shall include providing temporary striping during evening and weekend hours if specified by the Engineer.*

*The Contractor will be required to have a qualified quality control personnel on site during the paving operation and will be required to furnish compaction testing results to the City.*

- When paving any roadway without backed edges, the contractor will be responsible to add a new aggregate base edge or asphalt sweeping (up to 4' wide) from street sweeping operation*

**Materials:** The asphalt concrete for overlay shall be Type A, 12.5 mm (1/2") medium maximum gradation, in accordance with CSS. Asphalt binder shall be PG 64-10. Note: No percentage of RAP (Reclaimed Asphalt Pavement) Shall be permitted in the asphalt concrete placed as the final lift/wearing course on any of the City streets to be overlayed with this project

b. Paint Binder (Tack Coat): Paint binder shall be applied to all horizontal and vertical surfaces to receive asphalt concrete surfacing. Paint binder shall be furnished and applied in accordance with Sections 39-4.01 "Subgrade", and Section 93 "Liquid Asphalts", and Section 94 "Asphaltic Emulsions".

c. Measurement and Payment: The contract unit prices paid per ton "2" Fill  $\frac{1}{2}$ " Asphalt Concrete", "3" Fill  $\frac{1}{2}$ " Asphalt Concrete", and "2" Asphalt Concrete Overlay", shall be measured by the ton compacted in place in accordance with Section 39-8.01 "Measurement" of the CSS. No payment shall be made for materials placed outside of the limits marked by the Engineer. for compensation for furnishing all labor, material, equipment, tools, and incidentals and for doing all work involved in installing asphalt concrete, complete in place, including staged construction, temporary conforms, traffic control, flagging, temporary striping and delineation, prime coats, tack coats and paint binders as described above, as shown on the plans, as specified herein and as directed by the Engineer.

#### 14.0 Slurry Seal

a. Description: The work to be done consists of furnishing all labor, equipment and materials and performing all operations necessary for the application of Type II polymer modified asphalt slurry seal surface over the existing AC pavement surfaces. Reference plans for location of slurry seal.

*The slurry seal shall consist of a mixture of a polymer modified asphalt emulsion, mineral aggregate, mineral filler, water, and specified additives. The materials shall be properly proportioned, mixed and uniformly spread over a properly prepared surface as specified in these Technical Provisions, and as directed by the Engineer. The slurry seal shall conform to the requirements of Section 37-2 "Slurry Seal" of the CSS except where specified otherwise in these Technical Provisions.*

*The completed slurry seal shall leave a homogeneous mat, adhere firmly to the prepared surface, and have a friction resistant surface texture throughout its service life. The work shall include furnishing all surface preparation and any additional work, not mentioned above, that is required to be performed by the CSS and these Technical Provisions.*

b. Contractor Experience: The Contractor shall be experienced with slurry seal. The Contractor shall submit, during the pre-construction meeting, a list of at least three public works projects of slurry seal completed within the last 18 months. The project list shall show the name of the project, name of the public agency/owner, address, telephone number of an appropriate party to contact, year and square yard application in each case.

c. Material Sampling: The minimum acceptable sampling frequency shall be as follows:

- Asphalt Emulsion (minimum sampling frequency - once daily)

- Mineral Aggregate (minimum sampling frequency - once weekly)

*All samples of asphalt emulsion and aggregate for slurry seal shall be captured from the storage tank of the slurry seal application truck in use on the work. Inspector shall observe the sampling of 1 gallon of the emulsion and 50 lbs. of the slurry seal aggregate. Contractor shall provide the samples and containers to the Engineer.*

*The Engineer or his representative shall be permitted to take samples of materials from the project at anytime. The City may elect to perform testing on the samples to verify compliance of the materials with the specifications.*

d. Testing: Testing shall be undertaken by the Engineer whenever deemed necessary. The Engineer, or his representative, may suspend the application of the slurry seal whenever changes in the materials or quality of the applied slurry are noted. Work shall resume only when the noted deficiencies are corrected to the satisfaction of the Engineer. When work is suspended for this reason, samples will be taken immediately.

*The Engineer may send samples to a testing laboratory. Testing will be at the City's expense unless deficiencies are verified by the testing. The Contractor shall reimburse the City for the cost of any testing required by deficient materials or application of the slurry mix.*

*Aggregate, if tested, should at a minimum be tested for the following:*

*Gradation CTM 202; AASHTO T11, T27; ASTM C117, C136*

*Sand Equivalent ASTM D2419*

*Moisture Content CTM 226, 231; AASHTO T265; ASTM D2216*

e. Materials:

1. **Asphalt Emulsion**: The emulsified asphalt shall be designated as grade PMCQS-1h.

*The polymer within the asphalt emulsion shall be, at the option of the Contractor, Neoprene, SBR, EVA or SBS. Solid polymers such as EVA or SBS shall be adequately blended into the asphalt prior to emulsification. If a liquid latex such as Neoprene, SBR or similar is used, the latex shall be "co-milled" into the emulsion through the water phase during manufacturing. Each load of polymer asphaltic emulsion shall have a certificate from the asphalt emulsion manufacturer guaranteeing that either asphalt blending or "co-milling" processes were used. The certificate shall also state the percentage of the solid rubber polymer added by weight of the asphalt as well as the composition of the polymer. The addition of latex*

*to the emulsion after emulsion manufacturing is prohibited. The certificate shall state if the emulsion supplied is the same as that used in the mix design.*

*The polymer modified asphalt emulsion shall conform to the following specifications:*

TEST	TEST METHOD	REQUIREMENT	
<i>Tests on emulsion:</i>			
Viscosity SSF, @ 77°F, seconds	AASHTO T 59	Minimum 15	Maximum 90
Settlement, 5 days, %	AASHTO T 59	--	5
Storage Stability Test, 1 day, %	AASHTO T 59	--	1.0
<i>Distillation:</i>			
Oil distillate by volume of emulsion, %	AASHTO T 59	--	3
TEST	TEST METHOD	REQUIREMENT	
Residue by Evaporation, %	CTM 331	60	--
<i>Tests on residue from Evaporation using CTM 331:</i>			
Penetration, 77°F, 100 grams for 5 seconds, dmm	AASHTO T 59	40	65
Ductility, 77°F, 5 cm/min, cm (RTFO Aged Residue)	AASHTO T 51	60	--
Ring & Ball Softening Point, °F	AASHTO T 53	123	--
Polymer Content *, % *Solid polymer content based on weight of asphalt	CTM 401	3.0%	--
OR			
Torsional Recovery, %	CTM 32	18	--

## 2. Mineral Aggregate

*Any aggregate or combination of aggregates shall be produced by crushing rock. To assure the material is totally crushed, 100% of the parent aggregate shall*

*be larger than the largest stone in the gradation to be used. All materials shall be free from vegetable matter and other deleterious substances, oversized particles and caked lumps.*

*When tested in accordance to AASHTO T27 (ASTM C136) and AASHTO T11 (ASTM C117), the aggregate gradation (including the mineral filler) shall be within following bands:*

### **3. Aggregate**

<u>Sieve Sizes</u>	<u>Percentage Passing</u>	
	Type I	Type II
9.5 mm (3/8")	100	100
4.75 mm (#4)	100	94-100
2.36 mm (#8)	90-100	65-90
1.18 mm (#16)	60-90	40-70
600 um (#30)	40-65	25-50
75 um (#200)	10-20	5-15

*After the target gradation has been submitted and identified in the mix design then the percent passing each sieve shall not vary by more than the stockpile tolerance and still remain within the gradation band during the application of slurry seal.*

*The mineral aggregate shall also conform to the following:*

<u>Test</u>	<u>Test Method</u>	<u>Requirements</u>	
		Type I	Type II
Sand Equivalent	217	68 Minimum	70 Minimum
Durability Index	229	70 Minimum	75 Minimum

### **4. Mineral Filler**

*Mineral Filler shall be either Portland cement, hydrated lime, limestone dust, fly ash or other approved filler meeting the requirements of ASTM D242 and shall be used if required by the mix design. The mineral filler shall be considered as part of the aggregate in calculations regarding slurry seal asphalt content.*

### **5. Water**

*The water added to the slurry seal shall be potable and free of harmful salts and contaminates.*

## 6. Additives

*Additives may be used to accelerate or retard the mixing and setting characteristics of the slurry seal, or improve the resulting finished surface. The use of additives in the slurry mix (or individual materials) shall be made initially in quantities predetermined by the mix design with field adjustments if required. If the use of additive during application requires a greater than + or - 1.0% deviation from the recommendations of the mix design, a new mix design will be performed to verify system performance at higher or lower additive levels.*

f. Mix Design and Pre-Qualification of Materials: During the pre-construction meeting, the Contractor shall submit a signed mix design covering the specific materials to be used on the project. Compatibility of the aggregate, emulsion, mineral filler, and other additives shall be verified by the mix design. The mix design shall be made with the same aggregate gradation that the Contractor shall provide on the project.

*The mix design shall be performed and dated within 30 days prior to the application of slurry seal. This mix design testing shall be performed by a laboratory capable of performing all tests listed in these specifications. The laboratory shall certify on the mix design that it has had at least two years of experience in the design of slurry seals.*

*After the mix design has been approved, no substitution or changes of materials shall be permitted, unless approved by the Engineer. If changes in materials are approved by the Engineer, a new mix design shall be performed by the Testing Laboratory before the application of new materials.*

*Required tests and values are as follows:*

<u>TEST</u>	<u>DESCRIPTION</u>	<u>SPEC</u>
ISSA TB-113	Mix Time (Mixing test and set time test shall be done at the highest temperatures expected during construction.)	Controllable to 180 sec minimum
ISSA TB-139	Wet Cohesion	
	30 minutes min 60 minutes min	12kg-cm minimum 20kg-cm minimum
ISSA TB-109	Excess Asphalt by LWT Sand Adhesion	50g/ft <sup>2</sup> maximum (538 g/m <sup>2</sup> maximum)
ISSA TB-114	Wet Stripping	Pass (90% minimum)

ISSA TB-100	Wet Track Abrasion Loss	50g/ft <sup>2</sup> maximum
	One hour soak	(807 g/m <sup>2</sup> maximum)

*The Wet Track Abrasion test is used to determine the minimum asphalt content.*

*The laboratory shall also report the quantitative effects of moisture content on the unit weight of the aggregate (bulking effect). The report must clearly show the proportions of aggregate, mineral filler (min. and max.), water (min. and max.), additive(s) (usage), and asphalt emulsion based on the dry weight of the aggregate.*

*The percentages of each individual material required shall be shown in the laboratory report. Adjustments may be required during the construction, based on the field conditions. The Engineer shall give final approval for all such adjustments.*

*The Engineer shall approve the mix design and all slurry seal materials and methods prior to use. The component materials shall be within the following limits:*

*RESIDUAL ASPHALT 7.5% -13.5% (approx. 12.0 -22.0 emulsion). Based on dry weight of aggregate.*

*MINERAL FILLER 0.0% - 2.0% Based on dry weight of aggregate.*

*ADDITIVES As needed to control mixing and setting times.*

*WATER As needed to achieve proper mix consistency.*

*The Contractor shall submit samples at the pre-construction meeting from all suppliers furnishing a minimum of the following materials with corresponding MSDS sheets. Each sample shall be clearly labeled as to its contents, the related project name and job number.*

1. One gallon of the base asphalt
2. One pint of the polymer additive (with clear labeling of polymer type)
3. One quart of asphalt emulsion
4. Fifty pounds of slurry seal aggregate

g. Proportioning: Proportioning shall conform to the provisions in Section 37-2.04, "Proportioning" of the CSS and these Technical Provisions.

*The aggregate shall be proportioned using a belt feeder operated with an adjustable cutoff gate. The height of the gate opening shall be readily determinable. The emulsion shall be proportioned by a positive displacement pump. Any variable rate emulsion pump, if used, shall be equipped with a means to seal the adjusting unit*

*in its calibrated condition.*

*The delivery rate of aggregate and emulsion per revolution of the aggregate feeder shall be calibrated at the appropriate gate settings for each mixer-spreader truck used on the project. The calibration shall demonstrate that delivery rates of dry aggregate and emulsion residue are within the recommended percentages stated in the laboratory mix design. The Contractor shall provide written calibration documentation for each application truck which has been performed within the last calendar year. The Contractor shall further provide a short calibration demonstrating gate settings and liquids are delivering job materials within the mix design recommended ranges.*

h. Mixing and Spreading Equipment: Mixing and spreading equipment shall conform to the provisions in Section 37-2.05, "Mixing and Spreading Equipment" of the CSS and these Technical Provisions. A minimum of two slurry seal machines shall be on the job and in good operating condition at all times.

*The following equipment will be required:*

*The slurry seal shall be mixed in a self-propelled mixing machine equipped with a continuous flow pugmill, capable of accurately delivering and automatically proportioning the aggregate, emulsified asphalt, mineral filler, water and admixtures to a double shafted, multi-blade pugmill mixer capable of minimum speeds of 200 revolutions per minute. Mix retention time in the pugmill shall be less than three seconds. The mixing machine shall have sufficient storage capacity of aggregate, emulsified asphalt, mineral filler and water to maintain an adequate supply to the proportioning controls and make 15 tons of emulsion mix.*

*The mixing machine shall be equipped with hydraulic controls for proportioning the material by volume to the mix. Each material control device shall be calibrated, properly marked, pre-set and lockable.*

*The mixing machine shall be equipped with a water pressure system and nozzle type spray bars to provide a water spray immediately ahead of the spreader box.*

*The mixing machine shall be equipped with an approved fines feeder that provides a uniform, positive, accurately metered, pre-determined amount of the mineral filler at the same time and location that the aggregate is fed.*

i. Placing: Placement of slurry seal shall conform to the provisions in Section 37-2.06, "Placing" of the CSS and these Technical Provisions.

*The slurry mix shall be placed over the surface by means of a spreader box equipped with augers to distribute the material uniformly throughout the full width with flexible seals to prevent loss of mixture from the box. The box shall have 6 to 8 foot skids to provide for leveling and filling of uneven depressed areas. The strike off assembly shall be adjustable metal plate to ensure uniform placement on super elevated sections and shoulder slopes. There shall be a walkway across the rear of the screed to facilitate*

*strike-off and texturing adjustments along with material sample taking.*

*The emulsion mix shall not be placed when the atmospheric temperature is below 50°F or during unsuitable weather.*

*Immediately prior to placing the latex emulsion mix, the surface shall be thoroughly cleaned of all vegetation, loose materials, dirt, mud and all other extraneous materials by a combination of sweeping and blowing. The latex emulsion mixture shall fill all minor cracks, depressions or low areas and leave a uniform surface free from ruts, humps, depressions or irregularities. Any ridges, indentations or other objectionable marks left in the surface shall be eliminated by rolling or other means.*

*The Engineer shall approve all surface preparation prior to application of the slurry seal.*

*Utility covers, manholes, and other permanent fixtures shall be protected from coverage by the slurry seal and referenced for prompt location and cleaning following application. The Contractor shall be responsible for locating, removing, and cleaning protection from the above items following the slurry seal operations. The methods of protection and referencing, locating and cleaning shall be submitted by the Contractor and shall be subject to approval by the Engineer.*

*Slurry sealing of driveway aprons, returns, and other incidental work shall be accomplished concurrently with application of the street proper. The joint between the pavement and the PCC gutter shall be sealed with slurry seal and the gutter edge overlapped by approximately two inches. When slurry starts or finishes, a straight-line cut-off shall be obtained by laying down a strip of building paper or other approved material. Such paper and any excess slurry shall be removed by the Contractor after application of the slurry. Edge limits of the slurry on both sides of the street shall be maintained in a neat and uniform line.*

*Construction joints shall be neat in appearance and shall be tapered or feathered to conform to the existing surfacing. All excess material shall be removed from surfaces upon completion of each run.*

*Areas, which cannot be reached with slurry seal machines, shall be surfaced using hand squeegees to provide complete and uniform coverage. The area to be hand worked shall be lightly dampened prior to mix placement and the slurry worked immediately. Care shall be exercised to leave no unsightly appearance from handwork. The same type finish as applied by the spreader box shall be required. Handwork shall be completed during machine applying process.*

*Once the slurry seal has cured and is open to traffic, any excessive raveling of the aggregate from the mixture shall be swept up by the Contractor. The surface shall be maintained and re-swept **three separate times after streets have been completed or as required by the Engineer** until such time as the raveling ceases or the surface is rejected by the Engineer. Sweeping shall be provided when required within 48 hours notice.*

*Ranges for spread rates shall be as follows:*

*Type I    3.63 - 5.44 kg/m<sup>2</sup> (8 - 12 lbs/yd<sup>2</sup>)*

*Type II 5.44 - 9.07 kg/m<sup>2</sup> (12 - 20 lbs/yd<sup>2</sup>)*

*Type III 8.16 - 13.60 kg/m<sup>2</sup> (18 - 30 lbs/yd<sup>2</sup>)*

*The exact rate will be as determined by specific weight of aggregate, the surface demand of the pavement, and the size of the largest particle size of the aggregate. The application rate will produce finished slurry seal as defined elsewhere in these specifications.*

*At the end of each day's production, the Contractor will provide to the Engineer a report containing the following information:*

1. Tons of dry aggregate consumed that day;
2. Tons of asphalt emulsion consumed that day; and
3. Footage covered that day.

*This report shall be received no later than 10:00 a.m. of the following day.*

j. Installation: The Contractor shall perform the service in a safe, acceptable, workmanlike manner, and in accordance with the requirements of Section 37-2, "Slurry Seals", of the CSS.

1. Personnel shall be experienced, knowledgeable and capable in all aspects of performing the service. The same personnel that start the project shall remain on the project for the life of the contract.
2. The equipment shall be in good repair and serviceable to operate in a reliable and safe manner.
3. When slurry is being placed over a brick, concrete, or other highly absorbent or polished surface, a 1-part emulsion, 3-part water tack coat of the same asphalt emulsion (if possible) type and grade as specified for the slurry is recommended. This can be applied with an asphalt distributor. The normal application rate is 0.05 to 0.10 gal.  
*/sq. yd. of the diluted emulsion.*
4. The Contractor shall place slurry seal to the beginning and ending limits of the work as directed by the Engineer.
5. The Contractor shall be responsible for providing the street cleaning, "No Parking" posting, and traffic control.
6. The Contractor shall be responsible for all cleanup of the work areas and staging areas.

7. The Contractor shall be responsible for covering and uncovering all structure covers, such as manholes, valve and monument covers.
8. All streets (full width and gutters) shall be swept by mechanical means no sooner than 5 days and no later than 15 days after slurry placement is complete.

1. Measurement and Payment: Slurry seal will be measured by the square yard. The contract price paid per square yard for “Type II Slurry Seal” of the type” shown in the Bid Schedule shall include full compensation for furnishing all labor, materials, tools, equipment and incidentals and for doing all the work involved in the furnishing and placing the slurry seal, complete in place, including cleaning the surface and protecting the slurry seal until it has set, as specified in the CSS and these Technical Provisions, and as directed by the Engineer.

## **15.0 Crack Seal (Revokable)**

a. Description: This work shall include sealing all pavement cracks up to 3/8-inch in width or as directed by the Engineer, for the full length visible including cracking around all manholes, water valve boxes, monuments and traffic signal loops. Crack sealing shall be performed after the following item of work has been performed: clearing and grubbing and full depth AC pavement repair and pavement micro-milling. If wet pavement conditions exist, the Contractor shall reschedule with the Engineer an appropriate time to crack seal. The Engineer shall make final determination of the crack seal schedule to be followed.

**\*\* Location will be within City of Morgan Hill limits \*\***

b. Material: Crack sealing material shall be hot applied asphalt sealing compound, type 3 crack treatment material complying with the requirements of Section 37-6, “Crack Treatment” of the CSS. The material shall be heated and applied in accordance with the manufacturer’s specifications. Material shall be of a type so as to not track, string or in any way be transferred to traffic after initial setting of the material. All due care and diligence shall be used to ensure the proper placing and setting of the material prior to allowing traffic on it.

c. Method of Construction: The street shall be swept with a power broom before start of application of crack seal. Cracks in the street pavements shall be sealed with hot applied sealing compound after cleaning of the cracks with a hot air lance. Routing of the cracks is not required, but cracks shall be blown out by compressed air prior to sealing operations. The crack sealant shall be applied in such a manner that it shall not protrude above the surface more than 1/4 inch. Any material more than 1/4 inch above the crack shall be spread with a squeegee to obtain a level surface over the filled crack. All cracks shall be free of moisture prior to sealing. No moisture shall be visible on the

street at the time of sealant placement. In the event the material is transferred to vehicles prior to the opening of the street to traffic, the Contractor shall be responsible for cleaning those vehicles to the satisfaction of the Engineer and the vehicle owner.

d. Measurement and Payment: Crack sealing (revokable) shall be measured per lineal foot of crack receiving sealant. Payment for the crack seal work shall be per linear foot of sealant applied as necessary to seal all cracks and shall include furnishing all labor, materials, and equipment for transporting and placement of crack seal including all clearing and grubbing and preliminary and subsequent operations for the pavement cracks in accordance with Caltrans specifications and as modified by these special provisions and no additional payment shall be made therefore.

**15.0 6" Full Depth Asphalt Concrete Pavement removal and replace  
(REVOKABLE), 4" Full Depth Asphalt Concrete Pavement removal and replace,  
and 15" Full Depth Asphalt Concrete Pavement removal and replace**

a. Description: The streets to receive full depth AC pavement repairs shall include areas designated by the Engineer and as specified on the plans shall be dug out to a depth of four (4) inches (pavement milling is recommended and preferred) removed and replaced with full-depth AC, unless otherwise directed by the Engineer. The AC shall be placed in two with the uppermost lift of not less than 0.15 ft. or more than 0.20 ft. The minimum width of any repair shall be as field marked. The Contractor shall make all arrangements for disposal of excavated materials. All edges shall be saw-cut unless otherwise approved by the Engineer. Asphalt concrete in repair sections shall be placed in lifts in accordance with Section 39-6 "Spreading and Compacting", and shall be Type A, 3/4" maximum, medium gradation per Section 39-2, "Materials" of the CSS. Removed materials shall be disposed of legally.

**\*\* Additional non-arterial street digouts may be added by the engineer anywhere within City Limits and are to be paid for under the line item.**

*The material remaining in place, after removing surfacing and base, to the required depth, shall be graded to a plane, watered and compacted to 95 percent relative compaction. After compaction and prior to the placing of asphalt concrete, the vertical edges of the existing pavement shall receive a tack coat. The finished surface of the remaining material shall not extend above the grade established by the Engineer.*

b. Unsuitable Material: In the event that the underlying subbase material is unsuitable, it shall be excavated below the depth required above and disposed of. The limits of removal shall be designated by the Engineer and shall be in one-inch increments. Compensation shall be at a per inch price based on the bid for a 6-inch deep pavement repair divided by 6 for each additional inch of depth. The resulting space shall be filled with a single lift of asphalt concrete.

*Unsuitable material is defined as material the Engineer determines to be:*

1. Of such unstable nature as to be incapable of being compacted to specified density using ordinary methods at optimum moisture content; or
2. Too wet to be properly compacted and circumstances prevent suitable in-place drying prior to incorporation into the work; or
3. Otherwise unsuitable for the planned use.

c. Measurement and Payment: 6" Full Depth Asphalt Concrete Removal and Replace shall be measured by the square foot as marked in the field by the Engineer. No payment shall be made for materials placed outside of the limits marked by the Engineer. The contract unit prices paid per square foot for "6" Full Depth Asphalt Concrete Pavement Repair" shall include full compensation for furnishing all labor, material, equipment, tools, and all other incidentals and for doing all work involved in furnishing and placing 6 inch full depth AC pavement repair, complete in place, including staged construction, temporary conforms, traffic control, flagging, temporary striping and delineation, prime coats, tack coats, and paint binders, as specified herein and as directed by the Engineer.

4" Full Depth Asphalt Concrete Removal and Replace shall be measured by the square foot as marked in the field by the Engineer. No payment shall be made for materials placed outside of the limits marked by the Engineer. The contract unit prices paid per square foot for "4" Full Depth Asphalt Concrete Pavement Repair" shall include full compensation for furnishing all labor, material, equipment, tools, and all other incidentals and for doing all work involved in furnishing and placing 4 inch full depth AC pavement repair, complete in place, including staged construction, temporary conforms, traffic control, flagging, temporary striping and delineation, prime coats, tack coats, and paint binders, as specified herein and as directed by the Engineer.

- d. 15" Full Depth Asphalt Concrete Removal and Replace shall be measured by the square foot as marked in the field by the Engineer. No payment shall be made for materials placed outside of the limits marked by the Engineer. The contract unit prices paid per square foot for "15" Full Depth Asphalt Concrete Pavement Repair" shall include full compensation for furnishing all labor, material, equipment, tools, and all other incidentals and for doing all work involved in furnishing and placing 15 inch full depth AC pavement repair, complete in place, including staged construction, temporary conforms, traffic control, flagging, temporary striping and delineation, prime coats, tack coats, and paint binders, as specified herein and as directed by the Engineer.

Additional depth or repair shall be compensated at a “per inch” price obtained based on dividing the 4-inch full depth AC Pavement repair unit price by 4-inches. Likewise, the reduction in depth or repair shall be credited to the City on a “per inch” basis. There will be no price negotiations on the contract unit price paid for the bid items for full-depth AC pavement repair or additional 1” repair or 2” reduction in repair thickness even if the actual amount of work is different from the Engineer’s Estimate by 25% or greater.

## 16.0 Existing Utility Facilities’ Adjustments

- Description: This work shall consist of lowering and/or raising all manholes, water valve covers, traffic handholes, monuments, and other similar utility facilities within the project scope. The Contractor shall notify the Engineer on the utility adjustment schedule and coordinate with the affected utility owners accordingly.

*At least one working day prior to the utility adjustment operation, the Contractor shall locate and provide two offset reference points for all lowered/covered utility facilities. All paint markings on curbs, driveways, and other improvements shall be removed by the Contractor at the end of the project.*

*The Contractor shall provide an emergency contact to the City for emergency/after-hours excavation and access to the City-owned lowered and covered utility facilities. The Contractor shall coordinate all utility adjustment work with the respective non-City utility owners.*

*All utility facilities within the milling sections shall be lowered to a depth that will not conflict with and not be damaged by the subsequent grind/mill and AC overlay operations. The Contractor shall provide and place temporary, traffic-rated plates over the opening of the lowered utility facility. The plate shall be sized and placed as to not interrupt the utility’s operations for the construction duration. **The Contractor shall backfill all lowered utilities with Type A, 3/8-inch medium maximum gradation, in accordance with section 13.00, “Asphalt Concrete”, of these Technical Specifications.***

*All covered utility facilities must be exposed within 24 hours and the structures raised to grade and paved within 72 hours of being covered by the final AC overlay pavement treatment. The Contractor shall pay the City liquidated damages of \$500 for each calendar day the Contractor fails to raise the structures to grade after a three-calendar day period after being covered during the Contractor’s operations. Any damage to the utility facilities caused by the Contractor shall be repaired in a timely manner at the expense of the Contractor.*

The Contractor shall survey the location of all utility covers within the project’s limits with survey-grade global positioning system (GPS) equipment/units. The Contractor shall furnish the utility covers’ GPS coordinate data to the City. The GPS coordinate data shall be in a format compatible with the City’s geographic information system (GIS).

### **Manhole Frame and Cover**

*Prior to work on adjusting manhole facilities, the channels in the manhole base shall be covered with properly sized plywood or a similar material and then the entire base shall be covered with a heavy piece of canvas. This temporary debris cover shall be kept in place during all work and, upon completion, the debris cover, and any debris shall be removed. The canvas and the plywood shall be removed to prevent any debris to fall or remain in the manhole's channel and/or bench. Any debris remaining in the manhole after removal of the canvas and plywood will be removed by the Contractor immediately.*

*The existing manhole castings (i.e. frame and cover) shall be reused whenever possible. If either or both the frame and cover are not reusable, the Contractor shall provide and install new castings per the City Standards and Drawings at no cost to the City. City-owned manhole facilities shall be raised to finished grade in accordance with the following City Standard Drawings (available for download at <https://www.morgan-hill.ca.gov/641/Standard-Details>):*

- Sanitary Sewer Manhole: S-4
- Storm Drain: SD-1

### **Water Valve Box and Cover**

*Prior to work on adjusting water valve facilities, the valve risers shall be plugged with clean cloth rags to prevent grinding and paving debris from filling the valve risers. After the final AC overlay operations, the valve riser shall be cleaned of loose grindings, asphalt concrete debris, and all other construction debris.*

*The existing water valve riser, box and cover shall be reused whenever possible. If any or all the riser, box and cover are not reusable, the Contractor shall provide and install a new riser, box and/or cover per the and City Standards at no cost to the City.*

*The water valve riser, box and cover shall be raised to finished grade in accordance with City Standard Drawing W-12.*

### **Traffic Signal Handhole Box and Cover**

*The existing traffic signal handhole box and cover shall be reused whenever possible. If either or both the box and cover are not reusable, the Contractor shall provide and install new box and/or cover per the Caltrans and City Standards at no cost to the City.*

*The traffic signal handhole box and cover shall be raised to finished grade in accordance with Caltrans Standard Plan ES-5D with a two-inch thick asphalt concrete section between the top of the concrete collar and finished pavement grade.*

### **Survey Monument Box and Cover**

*The existing monument box and cover shall be reused whenever possible. If either or both the box and cover are not reusable, the Contractor shall provide and install new box and/or cover per the Caltrans and City Standards at no cost to the City.*

***Contractor shall provide pictures with location of each monument prior to the lowering of any monument boxes. Inspector and/or project manager shall be notified of any issues with the lowering of existing boxes prior to any work.***

***The Contractor shall notify the Engineer if any existing City survey monument will***

*be disturbed by the utility lowering operations. Prior to disturbance of an existing City survey monument, the survey monument shall be located and referenced by or under the direction of a California-licensed Land Surveyor. The monuments shall be reset after the final AC overlay is placed. All corner records for reset survey monuments must be recorded with the County of Santa Clara prior to City project acceptance. All work to protect and preserve the City's survey monumentation shall be in accordance with the Professional Land Surveyors Act, State of California. The survey monument box and cover shall be raised to finished grade in accordance with City Standard Drawing A-31.*

**Materials:** Materials shall be furnished in conformance with City Standards and Drawings and the appropriate Sections of the Caltrans Standard Specifications.

**Measurement and Payment:** Measurement and Payment: Utility facilities' adjustments shall be measured by each utility unit lowered and/or raised, which shall include the new concrete collar, grouting, asphalt concrete conform paving and grouting, and collection and furnishing of the utility facilities' GPS location survey data. No additional or separate payment shall be made therefor. The contract unit price for "Locate, Lower and Raise Air Relief Valve Box and Cover", "Locate, Lower, and Raise Water Valve Box and Cover", "Locate, Lower and Raise Manhole Frame and Cover", "Locate, Lower, and Raise Monument Box", and Cover", "Locate, Lower, and Raise Sewer Cleanout Box and Cover", "Locate, Lower and Raise Handhole Box and Cover", "Locate and Raise Monument Box and Cover", "Locate and Raise Manhole Frame and Cover", shall include full compensation for furnishing all labor, materials, equipment, tools and incidentals, and for doing all work involved in adjusting the utility facilities as shown on the plans, as specified herein and as directed by the Engineer.

## **17.0 Traffic Stripes, Pavement Markings and Raised Reflective Markers**

a. **Description:** This work shall be done in accordance with Section 84 of the CSS, as modified by these Technical Provisions. Traffic stripes and pavement marking items shall include replacing all existing traffic stripes, words, and symbols, reflective and non- reflective pavement markers, and fire hydrant blue marker as required on the streets to be resurfaced. Included also is any necessary removal, temporary delineation, site survey of existing layout and traffic control. It may be necessary to phase the construction of the striping in the interest of public safety. This work includes creating as-builts of the existing striping layout and replacing said striping back to the original layout unless otherwise directed by the Engineer in writing.

*The Contractor shall install striping, where possible, prior to opening the roadway to traffic and when indicated by the public's safety or convenience. If required, removal of existing striping shall be by wet sand blasting. All traffic stripes and pavement markings, new or existing, within or adjacent to the work limits, which become defaced or damaged during the Contractor's operations, shall be replaced by the Contractor at its expense concurrently with other traffic marking operations in the*

*immediate area. The Engineer shall be the sole judge as to which stripes or legends are defaced or damaged. All new traffic striping shall include the reflective markers in conformance with the Caltrans Traffic Manual.*

b. Materials: Materials shall be in conformance with CSS Section 84-2 "Traffic Stripes and Pavement Markings" and Section 81-3 "Pavement Markers". Thermoplastic material for traffic stripes shall be applied at a minimum thickness of 0.060 inch, except crosswalks shall be applied at a minimum thickness of 0.12 inch. All crosswalks and bike lane striping shall be non-skid. Thermoplastic material for pavement markings shall be applied at a thickness of .100-.150 inch. All streets are to receive thermoplastic stripes and pavement markings.

*Templates or stencils for pavement markings shall be of "English unit" dimensions and shall be approved by the Engineer prior to application.*

c. Method of Construction: Construction and installation shall be per Sections 81 and 84 of the CSS. Removal of existing facilities shall be per Sections 84-9.01 and 84-9.03 of the CSS.

*Temporary "cat tracks" shall be placed for all striping (including limit lines) immediately after completing slurry sealing or asphalt concrete overlay of any street. Upon approval of the Contractor's cat tracking, all streets shall receive the first coat of permanent centerline, lane lines, stop limit lines, and crosswalks no sooner than seven (7) calendar days following the final resurfacing of the street. The Contractor shall maintain all temporary traffic striping, markings, and markers until the final striping, markings, and markers are installed and to the City's satisfaction.*

*At locations with traffic loop detector restoration, the Contractor shall schedule the work such that the traffic loop detector restoration will occur prior to the permanent striping, markings, and marker installation.*

*Pavement marker (blue marker) shall be per the requirements of the State Standard Plans.*

d. Temporary Traffic Stripes and Pavement Markings: "Scrub seal Marker," as distributed by MV Plastics, Inc., 533 W. Collings Avenue, Orange, CA 714-532-1522, or approved equal, shall be used for temporary traffic stripes and shall be installed at 24' spacing, immediately after obliteration of any existing striping.

*All other traffic control and safety markings such as crosswalks, arrows, lane tapers, etc. shall be marked using paint or reflective tape.*

e. Layout: All layout and cat tracking shall be performed by and at the expense of the Contractor, and shall be approved by the Engineer prior to placement of the permanent striping, markings, and markers. Schedule cat tracking with the City at least 48 hours in advance of the actual cat tracking work. Unless otherwise noted on the project plans and prior to obliteration of the existing striping for grinding and paving construction, the Contractor shall be responsible for documenting the existing roadway striping, markers, and markings configuration for restoration of the final striping treatment.

f. Traffic Stripes: Unless otherwise stated, all striping shall be hot liquid

thermoplastic. All areas to receive traffic stripes shall be swept immediately prior to applying thermoplastic to remove all loose rock.

g. Pavement Markers: All markers shall be placed using hot melt bituminous adhesive exclusively. All non-reflective markers shall be the ceramic type.

h. Pavement Markings: Legends, crosswalks, and stop bars, shall be thermoplastic unless otherwise stated. When thermoplastic is applied for crosswalks or bike lane striping, the following composition shall be used with a maximum thickness of 0.12 inches (3.0 mm):

Binder	20%	(18% min)
Glass Beads	20%	(15% min)
TiO <sub>2</sub> Pigment	10%	(7%min)
Filler	35%	(37% max)
<i>Cullet</i>	15 %	(10% min)

*The crushed glass cullet in such mixture shall be produced from cullet of clear glass, with a maximum size of 850 micrometers (100% passing by weight) and a minimum size of 425 micrometers (0-5% passing by weight). The skid resistance shall be a minimum of 55 BPN.*

i. Measurement and Payment: Measurement and payment for removal of existing striping, markings, and markers shall be included in the contract lump sum bid item for “Remove Pavement Markers, Striping, and Markings.” Refer to section 10.00, “Clearing and Grubbing” of these technical Provisions.

The quantity for pavement striping bid items shall be measured and paid by the actual number lineal feet as measured in the field. For striping requiring multiple lines, the composite section will count as a lineal foot of striping for payment, not the total length of the individual component lines. Pavement markers used in traffic striping shall not be measured and paid separately. The bid items for pavement striping are “4” Striping Yellow”, “8” Striping White”, “12” Striping White”, “24” Striping White”, “High Visibility X-Walk Striping – 12” White”, “High Visibility X-Walk Striping – 24” White” “High Visibility X-Walk Striping – 12” Yellow”, “High Visibility X-Walk Striping – 24” Yellow”, “Bike Lane Symbol with Person and Bike Lane Arrow”, “Caltrans Detail 1”, “Caltrans Detail 2”, “Caltrans Detail 9”, “Caltrans Detail 21”, “Caltrans Detail 22”, “Caltrans Detail 25”, “Caltrans Detail 27B”, “Caltrans Detail 37B”, “Caltrans Detail 38”, “Caltrans Detail 39”, and “Caltrans Detail 39A”.

The quantity for “Yield Line Triangles”, “TYPE I (24”-0) Arrow”, “TYPE I (10’-0” Arrow), “TYPE III (L) Arrow”, “Type III ® Arrow), “TYPE IV (L) Arrow”, ‘TYPE IV (R) Arrow”, “TYPE VII (L) Arrow”, ‘TYPE VII (R) Arrow”, “TYPE I (10) Arrow”, “YELLOW WORD MARKING “XING””, “YELLOW WORD MARKING “SCHOOL””, “YELLOW WORD MARKING “SLOW””, “WHITE WORD MARKING “STOP””, “WHITE WORD MARKING “ONLY””, WHITE WORD MARKING “25””, “WHITE WORD MARKING “30””, ‘WHITE WORD MARKING

“35”” shall be measured and paid per marking/legend installed, as specified in the bid schedule.

“PREFORMED GREEN THERMALPLASTIC MARKINGS (DETAIL A), “PREFORMED GREEN THERMOPLASTIC MARKINGS (DETAIL B), AND “PREFORMED GREEN THERMOPLASTIC MARKINGS (DETAIL C) shall be measured and paid per square foot installed, as specified in the bid schedule.

The quantity for the “Two-Way Blue Hydrant Reflectors” shall be measured and paid by each reflector installed.

The contract price paid for the bid items related to traffic stripes, pavement markings, and raised reflective markers as specified herein shall include full compensation for furnishing all labor, material, equipment, tools, and incidentals to install the traffic stripes, pavement markings, and pavement markers, complete in place, including removal of existing pavement striping and markings, referencing existing striping and markings, layout and cat-tracking, and temporary pavement delineation, as shown on the plans, as specified herein and as directed by the Engineer.

## **18.0 Traffic Signal Detector Loops**

a. Description: Where existing loops are removed or as directed by the Engineer, existing detector loops shall be replaced. Detectors shall conform to Section 86-5, “Detectors” of the CSS and these Technical Provisions. Loop configuration shall be Type E or Type E modified. Loop wire shall be Type 2 to match existing. Detector lead-in cable shall be Type B and no splices are permitted in lead-in cables. Loop sealant shall be hot melt type. The Contractor shall identify loop wires by lane number, loop number, and start/finish using tie wraps and permanent marker.

*Loops shall be centered within traffic lanes unless otherwise specified. If any iron (manhole, valve, monument, etc.) is located within two (2) feet of the planned location for home runs or the detector loop, the Contractor shall contact the Engineer for an alternate location for the detector loop or home run prior to cutting any of the loops or home runs in the affected lanes(s).*

*If any part of the loop conductor, including the portion leading to the adjacent pull box, is damaged by the Contractor’s operations, the entire detector loop shall be replaced. If any adjacent loop is damaged during such work, that loop shall be replaced within seven (7) calendar days. The Contractor shall pay the City liquidated damages of \$500 per calendar day, for each day the Contractor fails to replace a damaged loop after the seven-calendar day period.*

*The Contractor shall test all individual loops and all DLC prior to splicing. The Contractor shall test loop sensitivity with either an approved lightweight motorcycle or an Engineer-approved wind wand.*

*At locations with permanent striping restoration work, the Contractor shall*

*schedule the work such that the traffic loop detector restoration will occur prior to the permanent striping, markings, and marker installation.*

b. Measurement and Payment: Detector loops shall be measured by each replaced loop from actual count. The contract unit price paid per each for "Type E Traffic Detection Loops" and "Type E (Modified) Traffic Detection Loops" shall include full compensation for furnishing all labor, materials, equipment, tools and incidentals and for doing all the work involved in furnishing and installing traffic loops, complete in place, including lead- in cables, home-runs and functionality testing of new loop systems, sealing loops in place, and as described herein and as directed by the Engineer.

## **19.0 Clean-Up**

a. Description: Contractor shall clean-up each street location after the completion of all work and remove all debris/material generated during the course of construction. This includes street sweeping each street location in its entirety. Commercial heavy duty sweeping truck(s) should follow immediately behind the milling operation and street sweeping shall include truck routes during both milling and paving operations.

b. Contractor shall also perform minor surface grading, as necessary or as directed by the Engineer or his designated representative, to ensure the smooth pavement transition with existing ADA ramps, driveway approaches, and other city streets.

c. Measurement and Payment: Full compensation for complying with the requirements of this section will be considered as included in the various items of work per location and no separate payment will be allowed therefore.

## **20.0 CONCRETE SIDEWALK, CURB, CURB & GUTTER, AND ADA RAMP**

A. Description: This work consists of the removal and replacement of concrete sidewalk and the removal and replacement of concrete curb, concrete curb and gutter, and ADA ramp installation.

Sidewalk replacement Work includes: sawcutting, removal and disposal of existing sidewalk, sawcutting, expansion joints if required, score joints, removal/replacement & compaction of base material, formwork, curing compound, rebar doweling, and fine grading behind sidewalk to blend with existing landscaping per 2007 City Standard Details, to the dimensions at the locations listed in these special provisions or as directed by the Engineer and in conformance with Section 73 AConcrete Curbs and Sidewalks@, Section 15 AExisting Highway Facilities@ of the CSS and the City's Standard Details for Construction.

Curb and Curb and gutter replacement work includes, removal and disposal of existing curb and gutter, saw-cutting, expansion joint if required, score joints, removal/replacement & compaction of base material, formwork, curing compound, and rebar doweling and asphalt removal and replacement, per 2007 City Standard Details, to the dimensions at the locations listed in these special provisions or as directed by the Engineer and in conformance with Section 73 AConcrete Curbs and Sidewalks@, Section 15 Existing Highway Facilities@, and Section 90 "Portland Cement Concrete" of the CSS and the 2007 City Standard Details for Construction.

1. Submittals: Concrete mix design, concrete curing compound, asphalt mix design, and aggregate baserock mix design
2. Order of Work: Contractor shall demo concrete sidewalk or curb & gutter.  
Contractor shall perform demo and replacement of sidewalk and all incidentals at each location within 10 working days.

3. Materials:

Portland Cement Concrete: Portland cement concrete shall be in conformance with Section 90 "Portland Cement Concrete" of the CSS for Class B Concrete.

Curing Compound: shall be in conformance with Section 90 "Portland Cement Concrete" of the CSS.

Asphalt Concrete: Asphalt concrete used shall be Type A, 1/2" medium in accordance with Section 39 of the CSS.

Aggregate base: AB shall be Class 2, 3/4" maximum grading, and shall conform to the provisions in Section 26, "Aggregate Bases", of the Standard Specifications and these special provisions.

Measurement and Payment: The contract linear foot price paid under "Remove Curb and Gutter" shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions.

The contract linear foot price paid under "Remove Sidewalk and Curb Ramp" shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions.

The contract square foot price paid under "Concrete Sidewalk" and "Concrete Curb Ramp" shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions.

The contract linear foot price paid under "Concrete Curb (Type A1)" and "Curb and Gutter (Type A2)" shall include full compensation for furnishing all labor, materials, tools,

equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions.

The contract square foot price paid under “Install Roadway Paving in front of Curb and Gutter” and “Asphalt Concrete” under **Bid Schedule II, Bid Item #13, Bid Schedule III, Bid Item #21 and Bid Schedule IV, Bid Item #23** shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions.

## 21.0 Detectable Warning Surface

- A. Description: Detectable Warning Surface panels shall be of vitrified polymer composite construction, embedded type, manufactured by Armor Tile Tactile Systems, Buffalo, New York, or approved equal. The dimensions and interval of the truncated domes within the panel shall conform to Caltrans Standard Plan RNSP A88 and Division of the State Architect Accessibility Reference Manual, Figure No. 31-23A. The orientation of the dome pattern for all panels shall be parallel with the panel edges, not diagonal as shown in the preceding referenced details.
- B. Payment: The contract cost per square foot for Detectable Warning Surface shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions.

## 22.0 Signage with Telespar

A. Description: New signage on new post, relocate existing signage with new post, and removal of existing signposts shall include all labor and materials required to install the improvements including but not limited to removal and disposal of existing and posts and traffic control.

B. Locations: The locations for new signs and post and the relocation of existing sign and post shall be identified or marked and approved by the Public Works Department prior to any installation work. The locations of new signs shall not block any existing traffic control devices or signs.

C. Mounting Heights and Order: Sign mounting heights and display order shall be in conformance with the California Manual Uniform Traffic Control Devices (MUTCD).

F. Materials: Signs shall be in conformance with the California Manual Uniform Traffic Control Devices (MUTCD). Posts shall be “telespar” 1 ¾” square

signpost with “telespar” 2” square anchor or approved equal, refer to City of Morgan Hill’s Standard Detail A-29.

**G. Measurement and Payment:**

The contract cost of each “New Signage with Post, including Foundation” shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions.

The contract cost of each “Remove and Relocate Signage with New Post, including Foundation” shall include full compensation for furnishing all labor, materials, tools, equipment, and incidentals, and for doing all work involved in compliance with the CSS and these Technical Provisions.

**23.0 CALTRANS Encroachment Permit**

- a. **Description:** The Contractor shall be required to obtain and execute the necessary agreement(s), permit(s), and/or endorsements from CALTRANS to perform work within the CALTRANS right of way at East Dunne Avenue Overpass Project. The Contractor shall be responsible for applying for the permit (cost of permit to be paid by the City) and Liability Insurance and other insurance required by CALTRANS, and a traffic engineer stamped traffic control for the work involved.
- b. **Measurement and Payment:** The lump sum price for conforming to the provisions in this section "CALTRANS ENCROACHMENT PERMIT," including contractor all necessary protective liability insurance for all work performed within CALTRANS right of way and necessary traffic control plan to satisfy CALTRANS permit. shall be included in Bid Schedule and no additional compensation will be allowed therefore.

**24.0 Supplemental Work**

- a. **Description:** The work shall include any new or unforeseen work not specified for on the plans and specifications. The lump sum dollar amount listed in the bid schedule will be included in each bidder’s proposal. Supplemental work shall be performed only upon direct written authorization from the Project Engineer. Agreed price may be used as an alternate method of payment, if directed by the Project Engineer.